

# The axial nucleon charge $g_A$ (and its renormalization constant $Z_A$ ) using the point-split axial vector current operator on the lattice

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1. Introduction

2. Nucleon Axial Charge  $g_A$

3. Summary and Outlook

## Why Lattice QCD?

- fundamental quantum field theory of quarks and gluons
- large energies: asymptotically free → perturbation theory
- small energies: coupling large → **nonperturbative techniques**

## Basic steps towards lattice QCD

- 1 discretize Euclidean space-time by a hyper-cubic lattice  $\Lambda$ 
  - lattice volume:  $V = L^3 \times T$
  - lattice spacing:  $a$
- 2 construct a discrete version of the QCD action
- 3 quantize QCD using Euclidean path integrals
- 4 calculate expectation values using Monte Carlo techniques

# Introduction – Basics

Fermion fields  $\psi_f(x)_\alpha^c$  and  $\bar{\psi}_f(x)_\alpha^c$

- live on lattice sites as  $N_c \otimes N_d$  objects

Link variables  $U_\mu(x)$

- link variables  $U_\mu(x) \in \text{SU}(3)$  as parallel gauge transporters from point  $x$  to a neighboring point  $x + \hat{\mu}$  (Figure 1)
- connected to gauge fields  $A_\mu(x)$  in the continuum by

$$U_\mu(x) = \exp(i a A_\mu(x)) \quad (1)$$



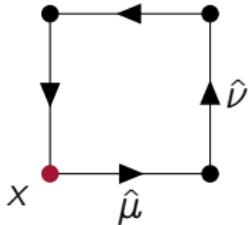
Figure 1: link variables on the links between two sites

# Introduction – Basics

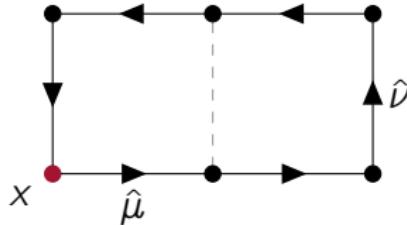
Wilson plaquette  $U^\square(x)$

- closed loops of  $U_\mu(x)$  are gauge invariant
- smallest closed loop is the Wilson plaquette

$$U_{\mu\nu}^\square(x) = U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x) \quad (2)$$



a plaquette  $U^\square(x)$



b planar rectangle  $R_{\mu\nu}^\square(x)$

Figure 2: schematic Wilson plaquette  $U^\square(x)$  and planar rectangle  $R^\square(x)$

# Introduction – Basics

## Integration on lattice

$$\int d^4x \rightarrow \sum_{x \in \Lambda} \quad (3)$$

## Parameters

- Inverse coupling  $\beta = \frac{6}{g^2}$  (4)

- Hopping parameter  $\kappa_f = \frac{1}{2(m_f + 4)}$  (5)

- work with 2 + 1 flavors  $\rightarrow$  two light quarks with isospin symmetry and the strange quark

# Introduction – Improved QCD Action

- gauge fields are tree-level Symanzik improved
- quark fields are clover improved and contain the Wilson term
- $D_f(x, y)$  is the Dirac operator

$$S(U, \psi, \bar{\psi}) = S_F(U, \psi, \bar{\psi}) + S_G(U) \quad (6)$$

$$\begin{aligned} S_G(U) = & \frac{\beta}{3} \int d^4x \left( C_0 \sum_{\mu < \nu} \operatorname{Re} \operatorname{Tr} \left( \mathbb{1} - U_{\mu\nu}^\square(x) \right) \right. \\ & \left. + C_1 \sum_{\mu < \nu} \operatorname{Re} \operatorname{Tr} \left( \mathbb{1} - R_{\mu\nu}^\square(x) \right) \right) \end{aligned} \quad (7)$$

$$S_F(U, \psi, \bar{\psi}) = \sum_f \int d^4x \int d^4y \bar{\psi}_f(x) D_f(x, y) \psi_f(y) \quad (8)$$

# Introduction – Improved QCD Action

Dirac Operator  $D_f(x, y)$  with clover improvement

$$D_f(x, y) = \mathbb{1} \delta_{xy} - \kappa_f \sum_{\mu} (\mathbb{1} - \gamma_{\mu}) (U_{\mu}(x) \delta_{x+\hat{\mu}, y} - U_{-\mu}(x) \delta_{x-\hat{\mu}, y}) \\ - c_{sw} \kappa_f \sum_{\mu, \nu} \frac{1}{2} \sigma_{\mu\nu} \hat{F}_{\mu\nu}(x) \delta_{xy} \quad (9)$$

- $c_{sw}$  is the clover (or Sheikholeslami-Wohlert) coefficient
- $\hat{F}_{\mu\nu}(x)$  is the field strength tensor on lattice
- inverse Dirac Operator is the **quark propagator**  $S(x, y)$

$$S(x, y) = D^{-1}(x, y) = \langle \psi(x) \bar{\psi}(y) \rangle_F \quad (10)$$

- the quark propagates from point  $y$  to point  $x$

# Introduction – Expectation Values

## Expectation value of operator $\mathcal{O}$

- path integral formalism as in continuum

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{O}(U, \psi, \bar{\psi}) e^{-S(U, \psi, \bar{\psi})} \quad (11)$$

- Grassmann numbers (anti-commuting numbers) allow to integrate out the fermionic part
- fermion determinant  $\det D_f(x, y)$  as an effective action

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}U \tilde{\mathcal{O}}(S_f, U) e^{-\tilde{S}(U)} \quad (12)$$

$$\tilde{S}(U) = S_G(U) + S_F^{\text{eff}}(U) \quad (13)$$

$$S_F^{\text{eff}}(U) = - \sum_f \text{Tr} \ln D_f \quad (14)$$

# Introduction – Expectation Values

Configuration ensemble

configuration  $\mathcal{U}_i$  is a set of link variables

$$\mathcal{U}_i = \{U_\mu(x) \mid x \in \Lambda, \mu = 1, \dots, 4\} \quad (15)$$

ensemble  $\mathcal{U}$  is a set of configurations  $\mathcal{U}_i$

- generate ensemble  $\mathcal{U}$  with a Hybrid Monte Carlo algorithm
- configurations  $\mathcal{U}_i$  distributed according to the weight

$$W = \frac{1}{Z} e^{-\tilde{S}(U)} \quad (16)$$

- use importance sampling to obtain expectation values  $\langle \mathcal{O} \rangle$  and errors  $\sigma(\mathcal{O})$

# Introduction – Analysis Steps

- 1 configuration generation
  - used configurations produced by the QCDSF collaboration
- 2 measurements
  - performed at the HRLN supercomputing system
  - used the Chroma C++ package
  - used self-written Chroma module for the vertex function calculation from the point-split axial current operator
- 3 data analysis
  - performed in Leipzig with self-written Julia code

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2. Nucleon Axial Charge  $g_A$

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# Nucleon Axial Charge $g_A$ – Introduction

- axial vector current operator  $A_\mu = \bar{\psi}(x)\gamma_\mu\gamma_5\psi(x)$
- nucleon matrix element  $\langle N(p', s') | A_\mu | \bar{N}(p, s) \rangle$ 
  - proportional to the axial form factor  $G_A(q^2)$
  - proportional to nucleon beta decay rate
- **axial charge** is defined by  $g_A = G_A(q^2 = 0)$
- $g_A$  is a benchmark quantity in lattice QCD
- current lattice measurements usually underestimate the experimental value  $g_A^{\text{exp}} = 1.2723(23)$

# Nucleon Axial Charge $g_A$ – Introduction

Axial vector current operator  $A_\mu$  on the lattice

- local operator  $A_\mu^{\text{loc}}(x)$  or point-split operator  $A_\mu^{\text{ps}}(x)$

$$A_\mu^{\text{loc}}(x) = A_\mu = \bar{\psi}(x)\gamma_\mu\gamma_5\psi(x) \quad (17)$$

$$\begin{aligned} A_\mu^{\text{ps}}(x) = & \frac{1}{2} (\bar{\psi}(x)\gamma_\mu\gamma_5 U_\mu(x)\psi(x + \hat{\mu}) \\ & + \bar{\psi}(x + \hat{\mu})\gamma_\mu\gamma_5 U_\mu^\dagger(x)\psi(x)) \end{aligned} \quad (18)$$

Motivation for  $A_\mu^{\text{ps}}$ :

- at comparable pion masses estimates, that are closer to the experimental value than for  $A_\mu^{\text{loc}}$
- renormalization constant is closer to one

# Nucleon Axial Charge $g_A$ – Introduction

Ratio of correlation functions can be used to determine  $g_A$

$$R(t; \tau) = \frac{G_3(t; \tau)}{G_2(t)} = g_A \quad (19)$$

## Correlation functions

$$G_2(t) = \sum_x e^{-ipx} \Gamma_{\beta\alpha}^{(2)} \langle N_\alpha(x) \bar{N}_\beta(0) \rangle \quad (20)$$

$$G_3(t; \tau) = \sum_{x,y} e^{-ipx} e^{-ip'(x-y)} \Gamma_{\beta\alpha}^{(3)} \langle N_\alpha(x) \mathcal{O}(y) \bar{N}_\beta(0) \rangle \quad (21)$$

with the nucleon interpolators  $N$  and projectors  $\Gamma$

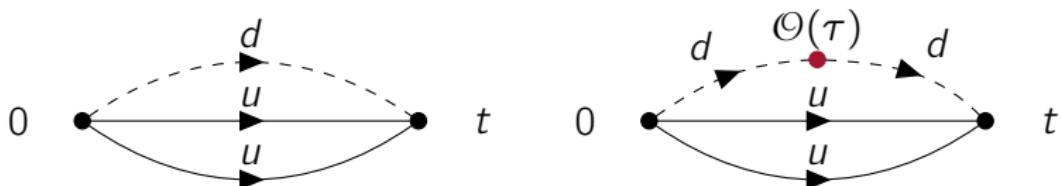


Figure 3: Two-point and three-point function of a nucleon

# Nucleon Axial Charge $g_A$ – Measurements

Performed measurements

$L^3 \times T$	$\beta$	$a / \text{fm}$	$t, m_\pi / \text{MeV}$	meas.
$32^3 \times 64$	5.50	0.0740	$t = 13, 15, 17, 19, 21$ $m_\pi = 465$	$\approx 2600$
$48^3 \times 96$	5.80	0.0558	$t = 15, 17, 19, 21, 23$ $m_\pi = 427$	$\approx 600$

- varied separation time  $t$  between source and sink at symmetric point ( $\kappa_I = \kappa_S = \kappa$ )
- got curves  $g_A(\tau)$  for each  $t$  and  $\bar{g}_A$  as the mean value in the range  $0 \ll \tau \ll t$

# Nucleon Axial Charge $g_A$ – Measurements

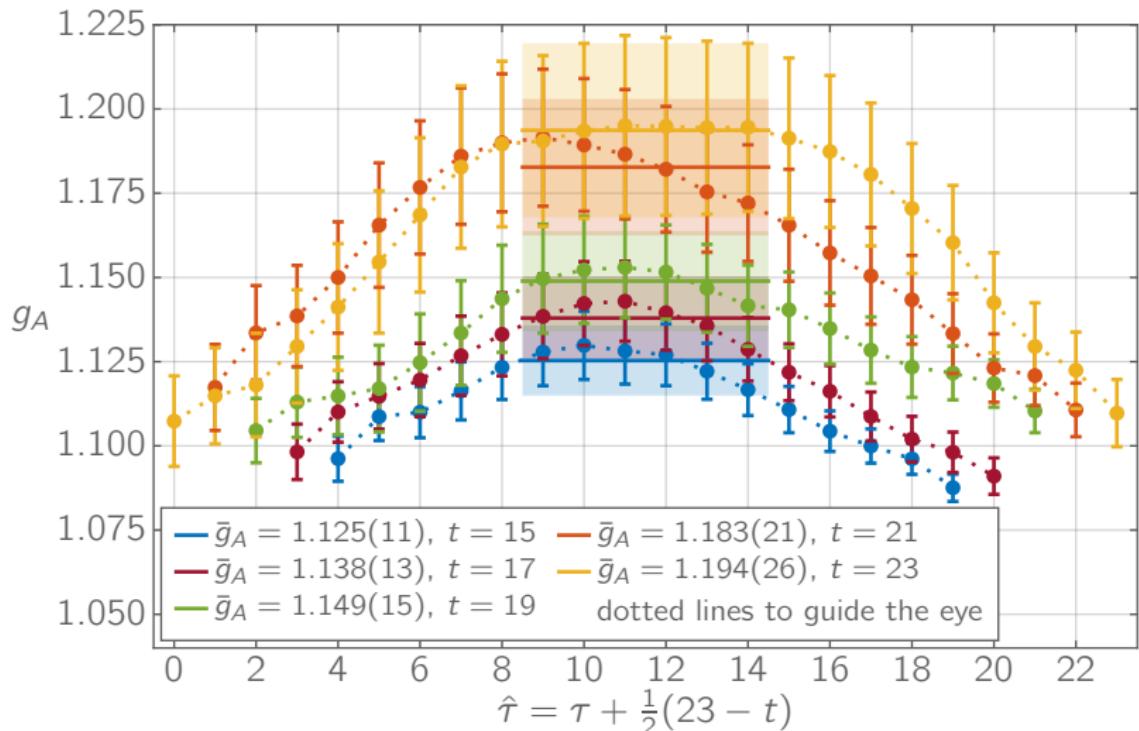


Figure 4:  $\bar{g}_A$  for different separation times  $t$  for  $\beta = 5.80$

# Nucleon Axial Charge $g_A$ – Results

## Excited states contribution

- $g_A$  depends on separation time  $t$
- for small and large  $t$  values the contribution is large
- systematically analyzed with two different methods
  - 1 Summation method
  - 2 Global fit method

## Global fit method

- 1 from  $G_2$  obtain the ground state mass and two excited state masses  $M_i$  with a symmetric fit ansatz
- 2 insert the obtained masses into a global fit function which involves all  $g_A(t, \tau)$  values

# Nucleon Axial Charge $g_A$ – Results

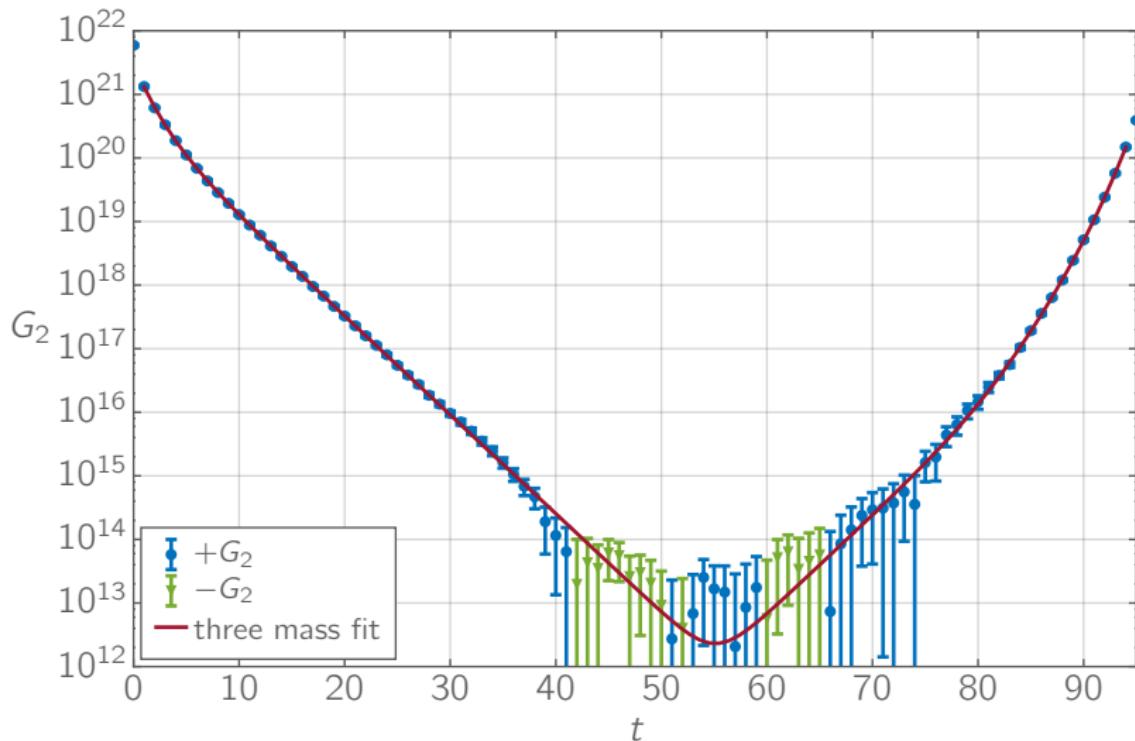


Figure 5:  $G_2$  three mass fit for  $\beta = 5.80$

# Nucleon Axial Charge $g_A$ – Results

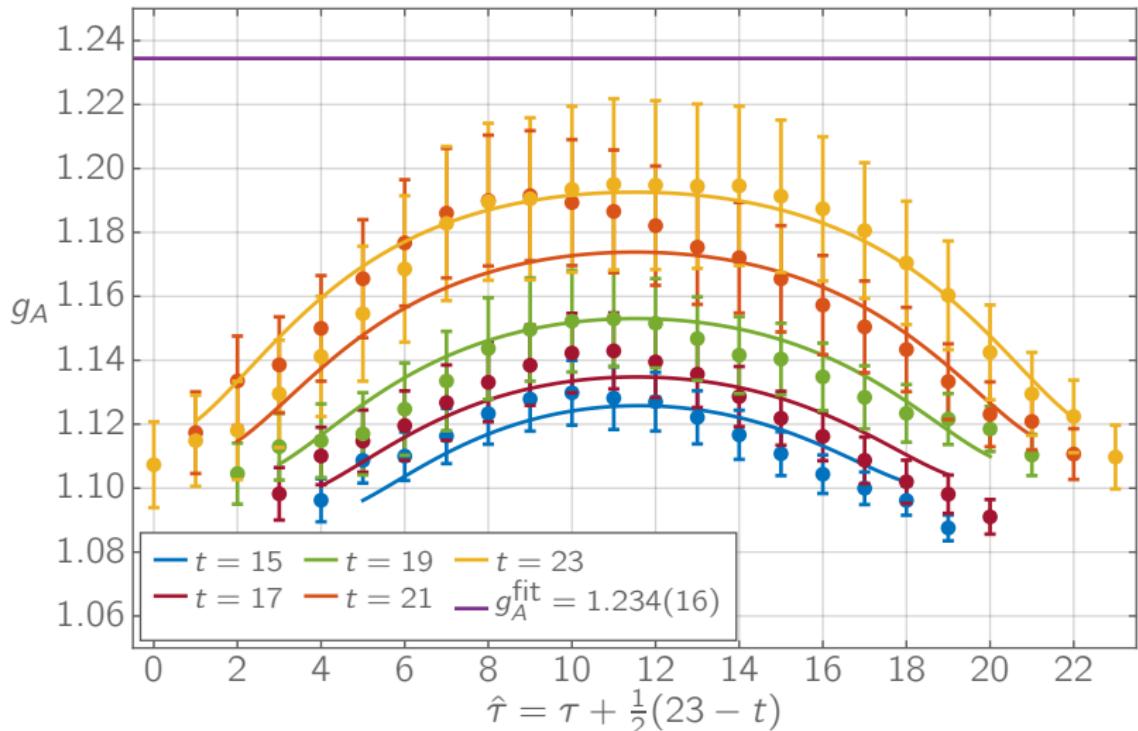


Figure 6: Global fit method result for  $\beta = 5.80$

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## Summary and Outlook

- excited states contributions can be treated with global fit method
- higher  $g_A$  estimate than with the local operator for comparable pion masses ( $\beta = 5.50$ , renormalized)

$$g_A^{\text{ps}} = 1.224(11) \quad g_A^{\text{loc}} = 1.1203(95) \quad g_A^{\text{exp}} = 1.2723(23)$$

- Renormalization constant closer to one ( $\beta = 5.50$ )

$$Z_A^{\overline{\text{MS}}} = 1.0212(12)(47) \quad Z_A^{\overline{\text{MS}},\text{loc}} = 0.8728(06)(27)$$

Outlook:

- Feynman-Hellmann approach could improve the measurement as it does not involve three-point correlation functions
- extrapolate  $g_A$  to the physical point
- measure  $Z_A$  for  $\beta = 5.80$  (expect to be even closer to one)

# Thank You for Your Attention!

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# Backup – Renormalization Constant $Z_A$

- observables measured with lattice QCD must be renormalized to compare them to real physical values
- many observables diverge in the limit  $a \rightarrow 0$

Necessary steps for a lattice renormalization scheme

- 1 remove the ultraviolet divergence in the observables
  - perturbatively: in general bad convergence
  - nonperturbatively: used RI'-MOM scheme  
(regularization independent momentum subtraction)
- 2 match a convenient continuum scheme
  - common choice:  $\overline{\text{MS}}$  scheme (minimal subtraction)
  - typical comparison scale  $\mu_R = 2 \text{ GeV}$

# Backup – Renormalization Constant $Z_A$

## Axial current renormalization constant $Z_A$

- $Z_A = 1$  for chirally symmetric actions and conserved current
- stays finite in the continuum limit  $a \rightarrow 0$

## Calculation steps

- 1 calculate  $Z_A^{\text{RI}'}(\hat{p}^2; \kappa_i)$  in RI'-MOM scheme for quark masses along the symmetric line  $\kappa_l = \kappa_s = \kappa$
- 2 calculate  $Z_A^{\text{RI}'}(\hat{p}^2; \kappa_{\text{cr}}^{\text{sym}})$  by taking the chiral limit  $m \rightarrow 0$  of  $Z_A^{\text{RI}'}(\hat{p}^2; \kappa_i)$  at each  $\hat{p}^2$
- 3 rescale the  $Z_A^{\text{RI}'}(\hat{p}^2; \kappa_{\text{cr}}^{\text{sym}})$  with a scale function  $R_{\text{RI}' \rightarrow \overline{\text{MS}}}(\hat{p}^2)$  which lead to  $Z_A^{\overline{\text{MS}}}(\hat{p}^2)$
- 4 analyze the  $Z_A^{\overline{\text{MS}}}(\hat{p}^2)$  curve and obtain the final  $Z_A^{\overline{\text{MS}}}$

# Backup – Renormalization Constant $Z_A$

## RI'-MOM scheme

- applicable in a scale window

$$\Lambda_{QCD}^2 \ll \mu_R^2 \ll \frac{1}{a^2} \quad (22)$$

- needs gauge fixed configurations (used Landau gauge)
- ideally,  $Z_A^{\overline{MS}}$  is scale independent but due to lattice artifacts we see a dependence
- lattice artifacts considered to be small: linear fit ansatz
- choice of the fit range  $\hat{p}_{\min}^2 \dots \hat{p}_{\max}^2$  leads to systematic error

## Backup – Measurements

### Performed measurements

$\kappa$	0.12090	0.12092	0.12095	0.12099	0.121021
$m_\pi / \text{MeV}$	465	439	402	343	290
cfg.	18	9	18	10	9

- $\beta = 5.50$ , lattice size:  $32^3 \times 64$ ,  $a = 0.0740 \text{ fm}$
- diagonal momenta in the range  $0 \leq \hat{p}^2 \leq 10$
- total number of momenta increased to 32 by using twisted boundary conditions

$$\hat{p}_i = \frac{2\pi}{L}(n_i + \theta_i) , \quad \hat{p}_4 = \frac{2\pi}{T}\left(n_4 + \theta_4 + \frac{1}{2}\right) \quad (23)$$

## Backup – Results

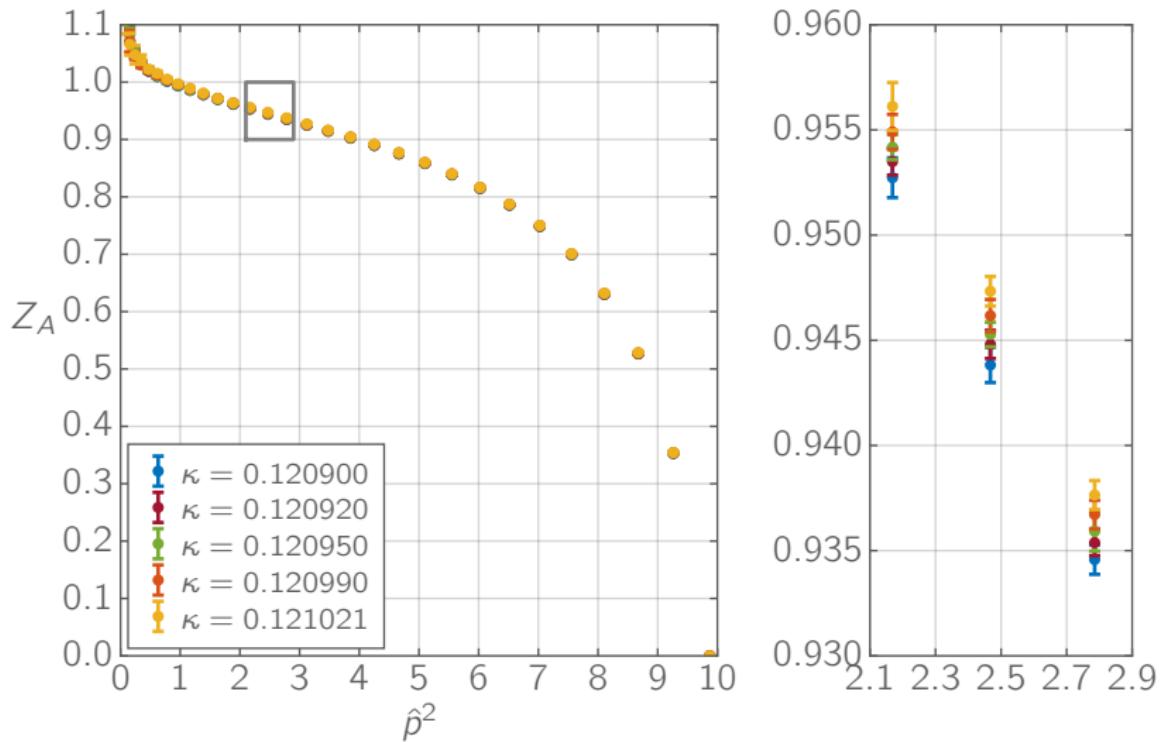


Figure 7:  $Z_A(\hat{p}^2)$  for different kappa values (zoomed region highlighted)

## Backup – Results

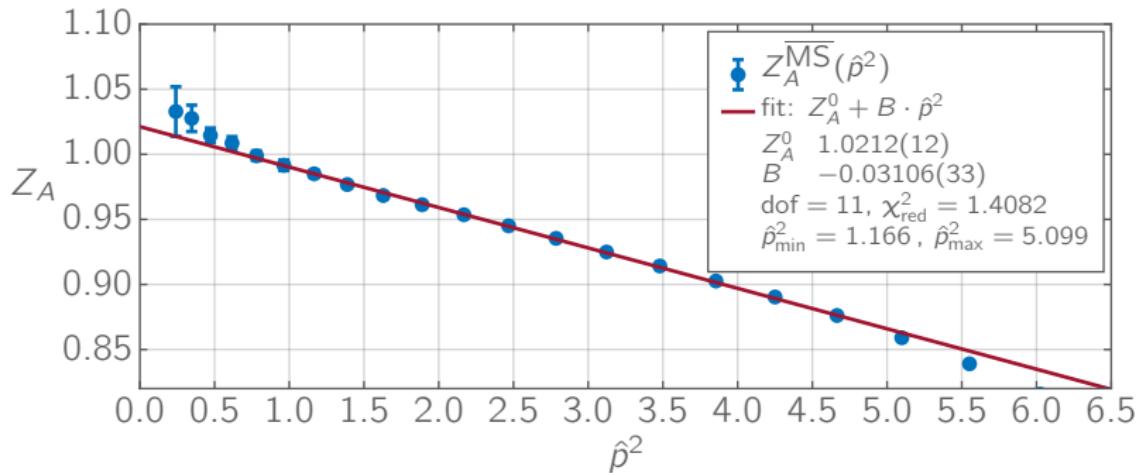


Figure 8:  $Z_A^{\overline{\text{MS}}}(\hat{p}^2)$  curve and linear fit

Renormalization constant  $Z_A$  result for  $\beta = 5.50$

$$Z_A^{\overline{\text{MS}}} = 1.0212(12)(47)$$

# Backup – Sequential Propagator Technique

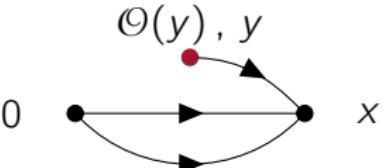
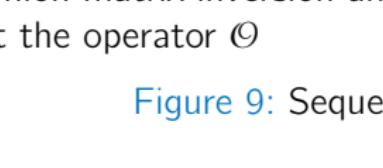
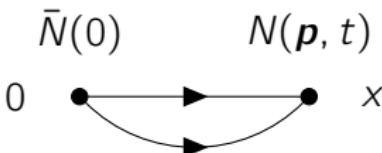
- a Calculate the ordinary quark propagator  $S^\psi(x, t; 0)$
- 
- b Construct the sequential source  $S_{\text{seq}}^\psi(x, t; 0; \mathbf{p})$
- 
- c Compute the sequential propagator  $\Sigma^\psi(0; \mathbf{y}, \tau; \mathbf{p}, t)$  with a fermion matrix inversion and insert the operator  $\mathcal{O}$
- 
- d Complete the correlation function with the ordinary quark propagator  $S^\psi(y, \tau; 0)$
- 

Figure 9: Sequential propagator technique

# Backup – Sequential Propagator Technique

Ratio of correlation functions can be used to determine  $g_A$

$$R(t; \tau; A_\mu; \Gamma_\mu^{(3)}) = \frac{\frac{1}{3} \sum_{\mu=1}^3 \text{Im } G_3^{u-d}(t; \tau; A_\mu; \Gamma_\mu^{(3)})}{\text{Re } G_2(t; \Gamma_\mu^{(2)})} = g_A \quad (24)$$

- polarized projector for the three-point function

$$\Gamma_\mu^{(3)} = \frac{1}{2}(\mathbb{1} + \gamma_4)i\gamma_5\gamma_\mu \quad (25)$$

- unpolarized projector for the two-point function

$$\Gamma_\mu^{(2)} = \frac{1}{2}(\mathbb{1} + \gamma_4) \quad (26)$$

# Backup – Sequential Propagator Technique

Two-point correlation function

$$G_2(\mathbf{p}, t) = \int d^3x e^{-i\mathbf{p}x} \epsilon_{abc} \epsilon_{a'b'c'} \left\langle \text{Tr} \left( \Gamma^{(2)} S^u(x, 0)^{aa'} \right) \text{Tr} \left( \tilde{S}^d(x, 0)^{bb'} S^u(x, 0)^{cc'} \right) + \text{Tr} \left( \Gamma^{(2)} S^u(x, 0)^{aa'} \tilde{S}^d(x, 0)^{bb'} S^u(x, 0)^{cc'} \right) \right\rangle \quad (27)$$

- the tilde operation is defined by

$$\tilde{S} = (C\gamma_5 S C\gamma_5)^T \quad (28)$$

- only one-to-all propagators
- low effort calculation

# Backup – Sequential Propagator Technique

Three-point correlation function

$$G_3^\psi(\mathbf{p}, t; \mathbf{p}', \tau; \mathcal{O}; \Gamma^{(3)}) = \int d^3y e^{iqy} \left\langle \text{Tr} \left[ \Sigma^\psi(0; \mathbf{y}, \tau; \mathbf{p}, t) \mathcal{O}^\psi(\mathbf{y}, \tau) S^\psi(\mathbf{y}, \tau; 0) \right] \right\rangle \quad (29)$$

- sequential propagator  $\Sigma^\psi$

$$\Sigma^\psi(0; \mathbf{y}, \tau; \mathbf{p}, t) = \int d^3x S_{\text{seq}}^\psi(\mathbf{x}, t; 0; \mathbf{p}) S(\mathbf{x}, t; \mathbf{y}, \tau) \quad (30)$$

- in sequential sources  $S_{\text{seq}}^\psi$  skip disconnected terms as they cancel each other in

$$G_3^{u-d} = G_3^u - G_3^d \quad (31)$$

# Backup – Sequential Propagator Technique

Sequential sources  $S_{\text{seq}}^{\psi}$  for the nucleon

$$\begin{aligned} S_{\text{seq}}^d(\mathbf{x}, t; 0; \mathbf{p})^{a' a} &= e^{-i\mathbf{p}\mathbf{x}} \epsilon_{abc} \epsilon_{a'b'c'} \\ &\times \left[ \tilde{S}^u(\mathbf{x}, t; 0)^{bb'} \tilde{\Gamma}^{(3)} \tilde{S}^u(\mathbf{x}, t; 0)^{cc'} \right. \\ &\left. + \text{Tr} \left( \Gamma^{(3)} S^u(\mathbf{x}, t; 0)^{bb'} S^u(\mathbf{x}, t; 0)^{cc'} \right) \right] \quad (32) \end{aligned}$$

$$\begin{aligned} S_{\text{seq}}^u(\mathbf{x}, t; 0; \mathbf{p})^{a' a} &= e^{-i\mathbf{p}\mathbf{x}} \epsilon_{abc} \epsilon_{a'b'c'} \\ &\times \left[ \tilde{S}^d(\mathbf{x}, t; 0)^{bb'} \tilde{S}^u(\mathbf{x}, t; 0)^{cc'} \Gamma^{(3)} \right. \\ &+ \text{Tr} \left( \tilde{S}^d(\mathbf{x}, t; 0)^{bb'} S^u(\mathbf{x}, t; 0)^{cc'} \right) \Gamma^{(3)} \\ &+ \Gamma^{(3)} \tilde{S}^u(\mathbf{x}, t; 0)^{bb'} \tilde{S}^d(\mathbf{x}, t; 0)^{cc'} \\ &\left. + \text{Tr} \left( \Gamma^{(3)} S^u(\mathbf{x}, t; 0)^{bb'} \tilde{S}^u(\mathbf{x}, t; 0)^{cc'} \right) \right] \quad (33) \end{aligned}$$

# Backup – Sequential Propagator Technique

$\bar{g}_A(t = 13)$  quark mass dependence

- no mass dependence measured (in the given error range)
- compared **only one** separation time  $t = 13$

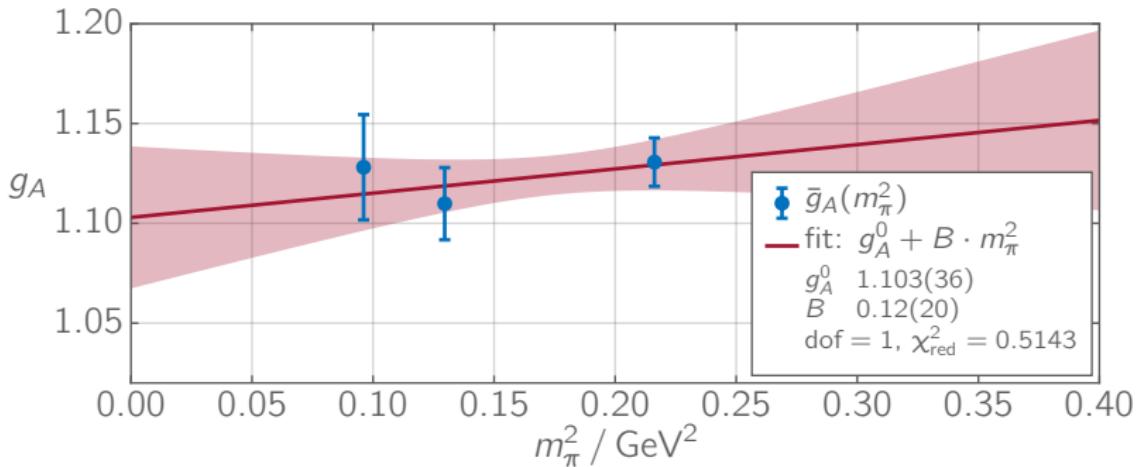


Figure 10:  $\bar{g}_A$  for different quark masses

## Backup – Plateau results for $\beta = 5.80$

Plateau values  $\bar{g}_A$  for  $\beta = 5.50$  and  $\beta = 5.80$

- chosen symmetrical time range  $\tau_{\min} \dots \tau_{\max}$
- to remove contributions from excited states one has to fulfill

$$0 \ll \tau \ll t$$

- chosen the range according to this condition and to the good fit condition  $\chi^2_{\text{red}} \approx 1$
- $\bar{g}_A$  is the mean value in the  $\tau$  range

# Backup – Plateau results for $\beta = 5.80$

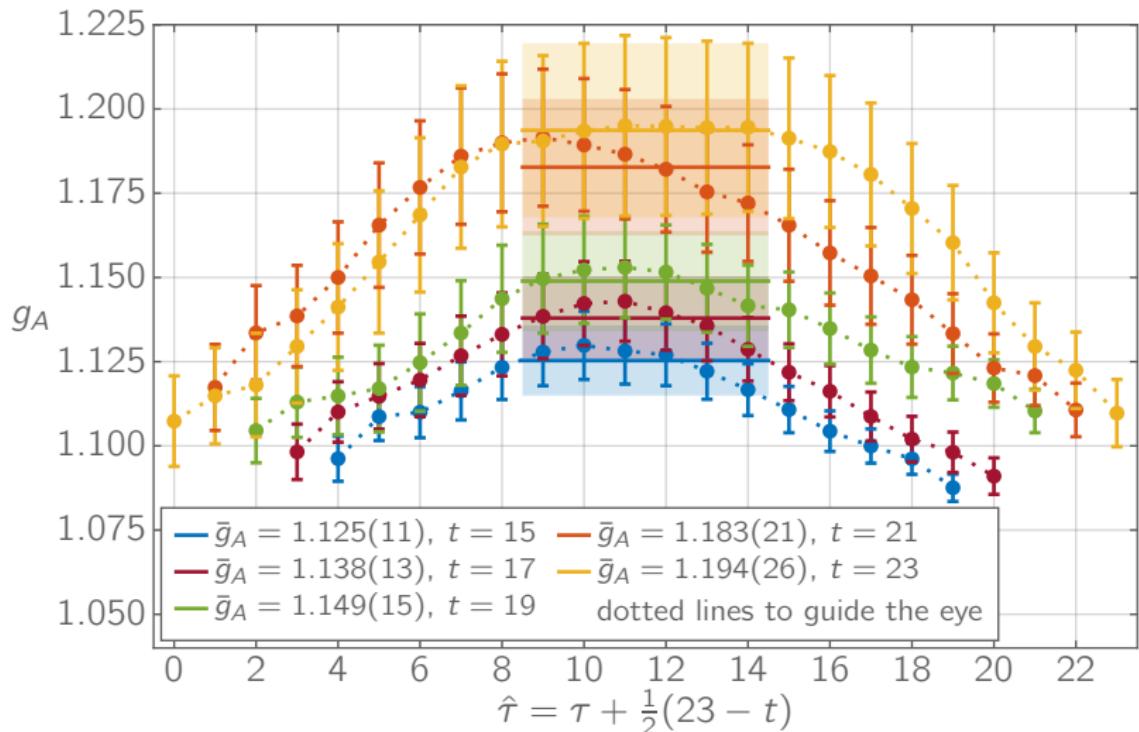


Figure 11:  $\bar{g}_A$  for different separation times  $t$  for  $\beta = 5.80$

# Backup – Results for $\beta = 5.50$

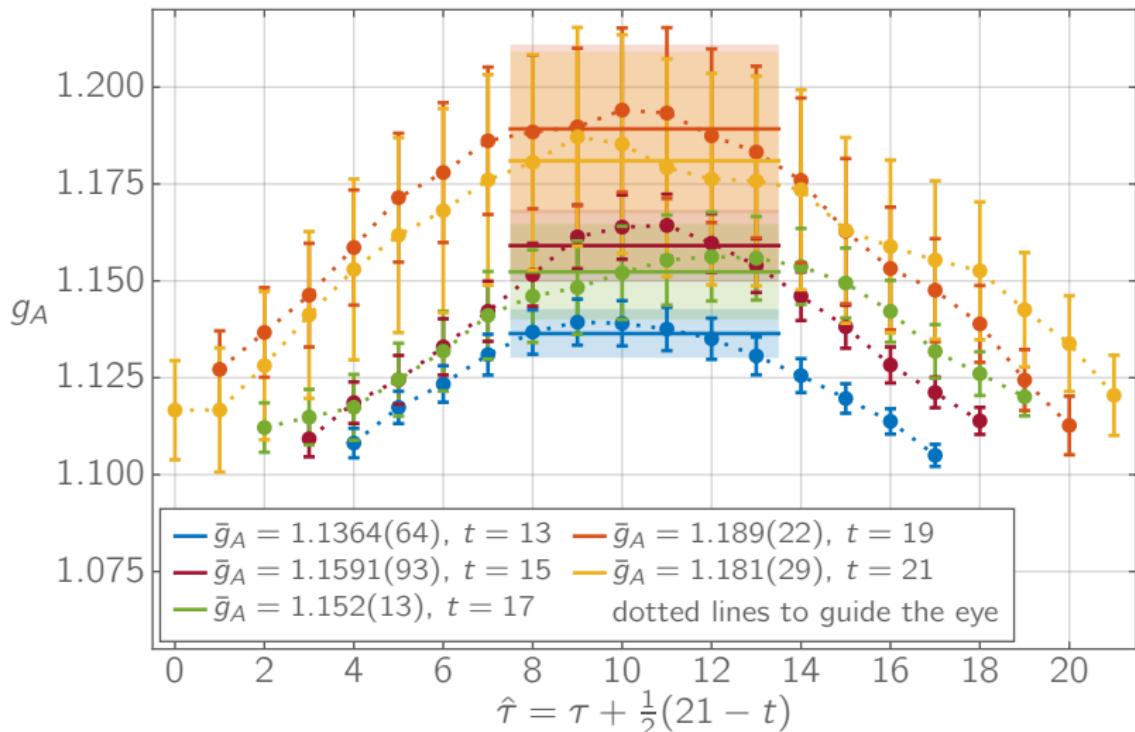


Figure 12:  $\bar{g}_A$  for different separation times  $t$  for  $\beta = 5.50$

## Backup – Results for $\beta = 5.50$

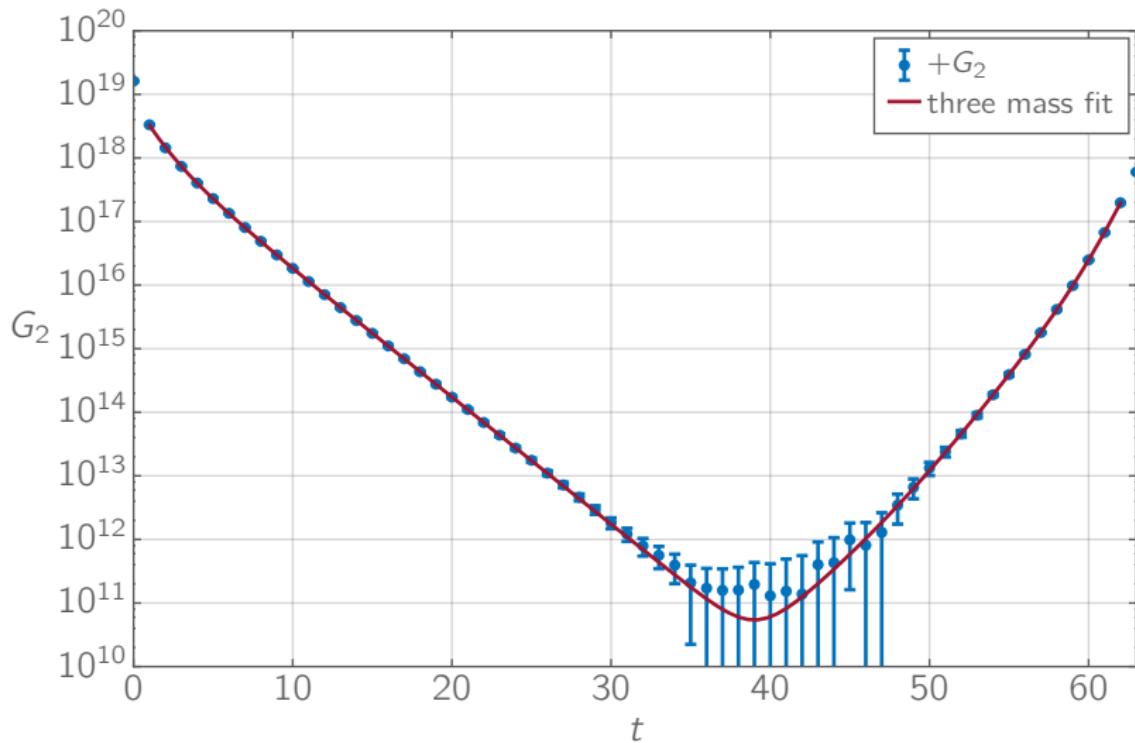


Figure 13:  $G_2$  three mass fit for  $\beta = 5.50$

## Backup – Results for $\beta = 5.50$

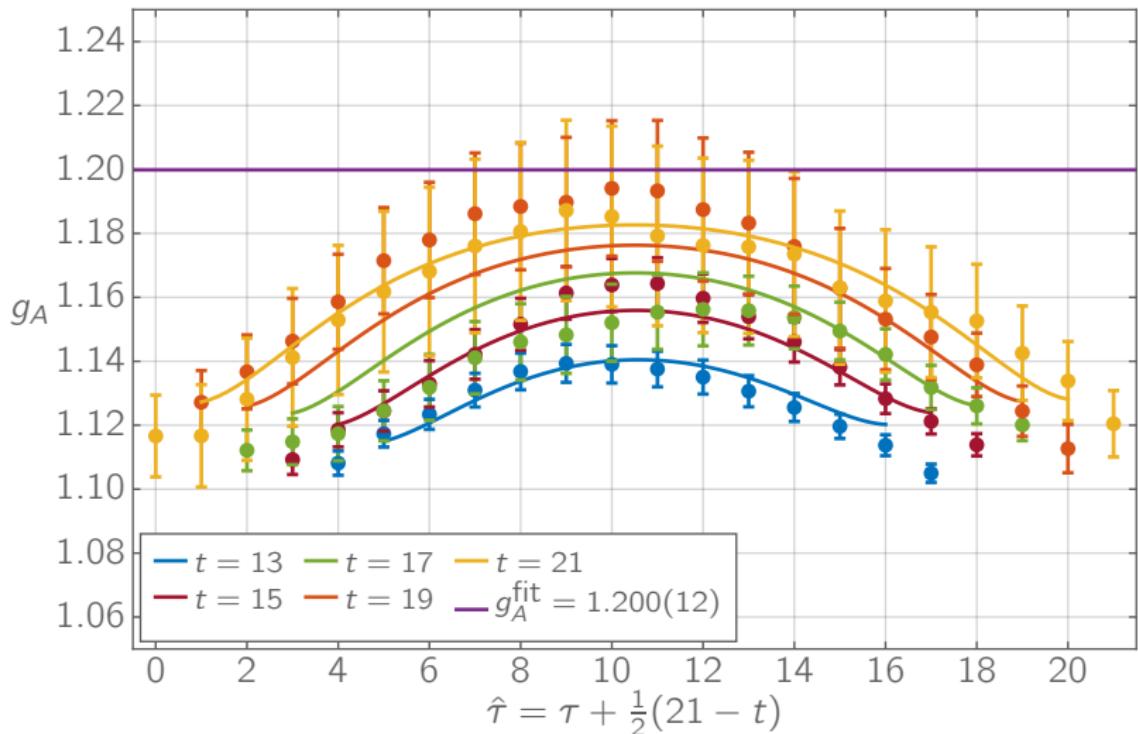


Figure 14: Global fit method result for  $\beta = 5.50$

# Backup – Summation method

## Summation method

- ansatz for the ratio ( $\Delta$  is the energy gap between ground state and first excited state)

$$R(\tau, t) = g_A + C_1 e^{-\Delta \cdot \tau} + C_2 e^{-\Delta \cdot (t - \tau)} + C_3 e^{-\Delta \cdot t} + \dots \quad (34)$$

- sum over all ratio values  $R(\tau, t)$  for each  $t$  (with cut  $t_c$ )

$$S(t) = \sum_{\tau=t_c}^{t-t_c} R(\tau, t) \quad (35)$$

$$S(t) \xrightarrow{t \rightarrow \infty} S(t) = g_A^{\text{sum}}(t + 1 - 2t_c) + C^{\text{sum}} \quad (36)$$

- linear fit to  $S(t)$  yields  $g_A^{\text{sum}}$
- still a systematic dependence on  $t_c$

## Backup – Summation method

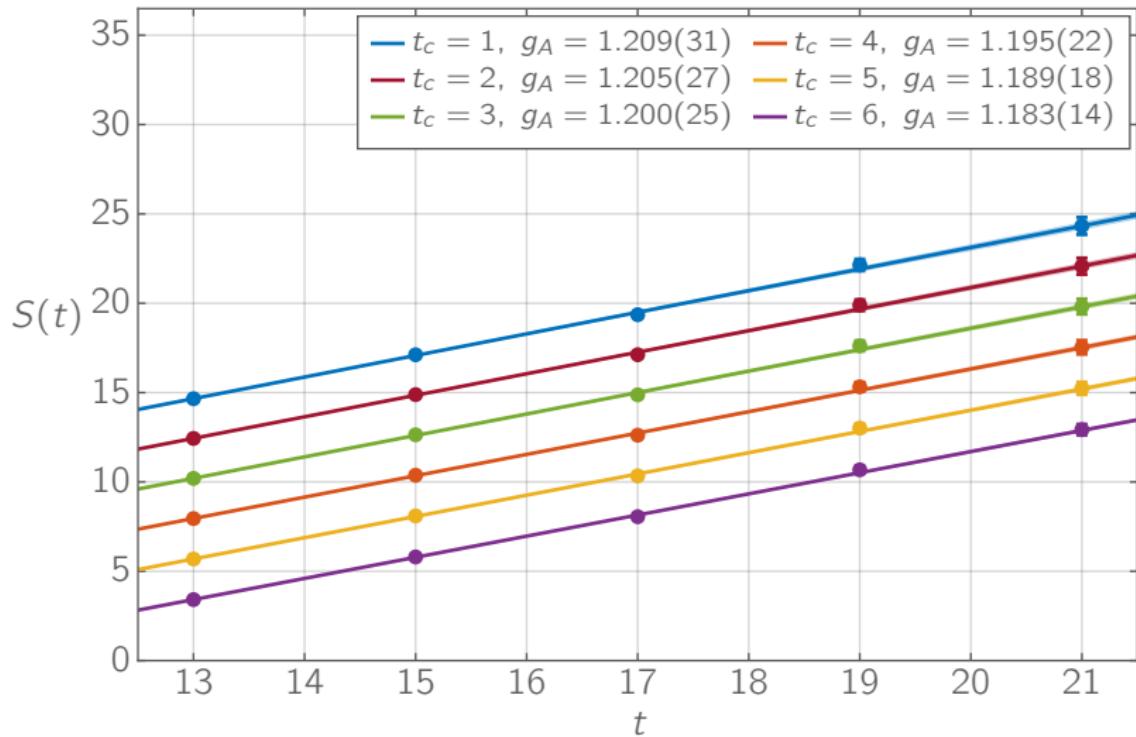


Figure 15: Summation method fit for  $\beta = 5.50$

## Backup – Summation method

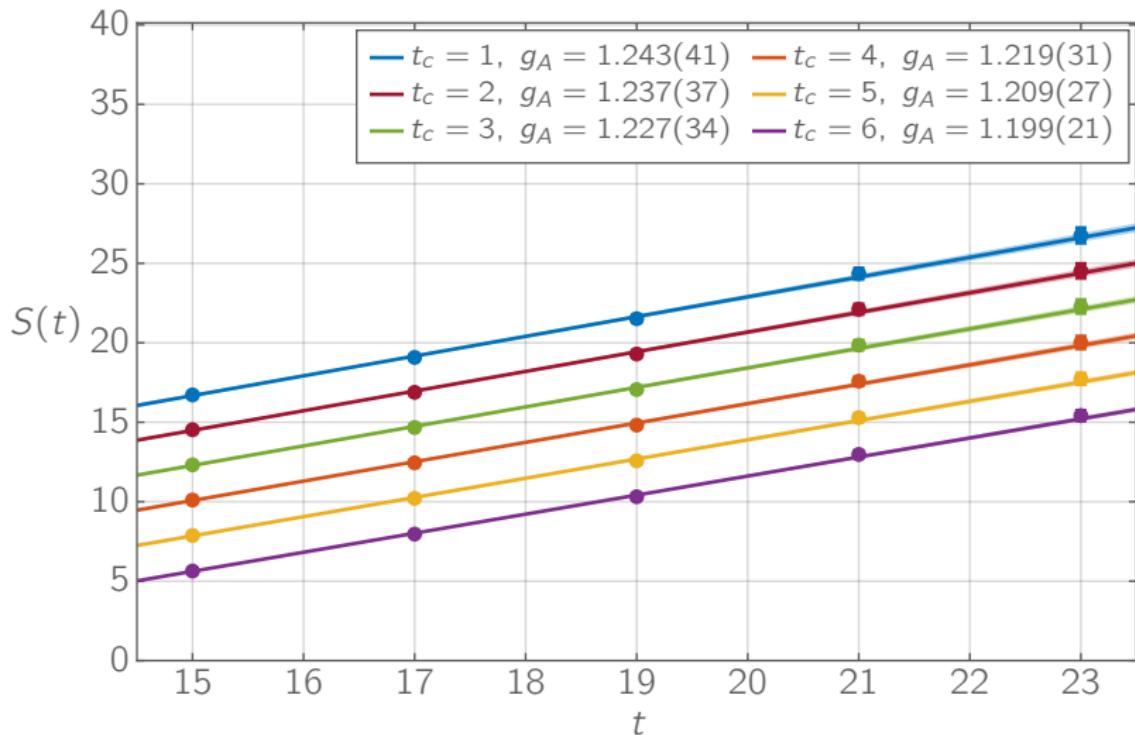


Figure 16: Summation method fit for  $\beta = 5.80$

## Backup – Feynman-Hellmann Approach

- additional effective term in the Lagrangian

$$L \rightarrow L + \lambda O \quad (37)$$

the theorem states for any hadron state  $H$

$$\frac{\partial E}{\partial \lambda} |_{\lambda=0} = \frac{1}{2E} \langle H | O(0) | H \rangle \quad (38)$$

- measurement steps:
  - 1 perform hadron spectroscopy for multiple values of  $\lambda$
  - 2 observe the linear behavior in the resulting energy shifts about  $\lambda = 0$
- advantage: no three-point correlation functions needed and therefore less noticeable excited states contributions
- disadvantage: new configuration are needed for each operator and each  $\lambda$

## Backup – $g_A$ summary

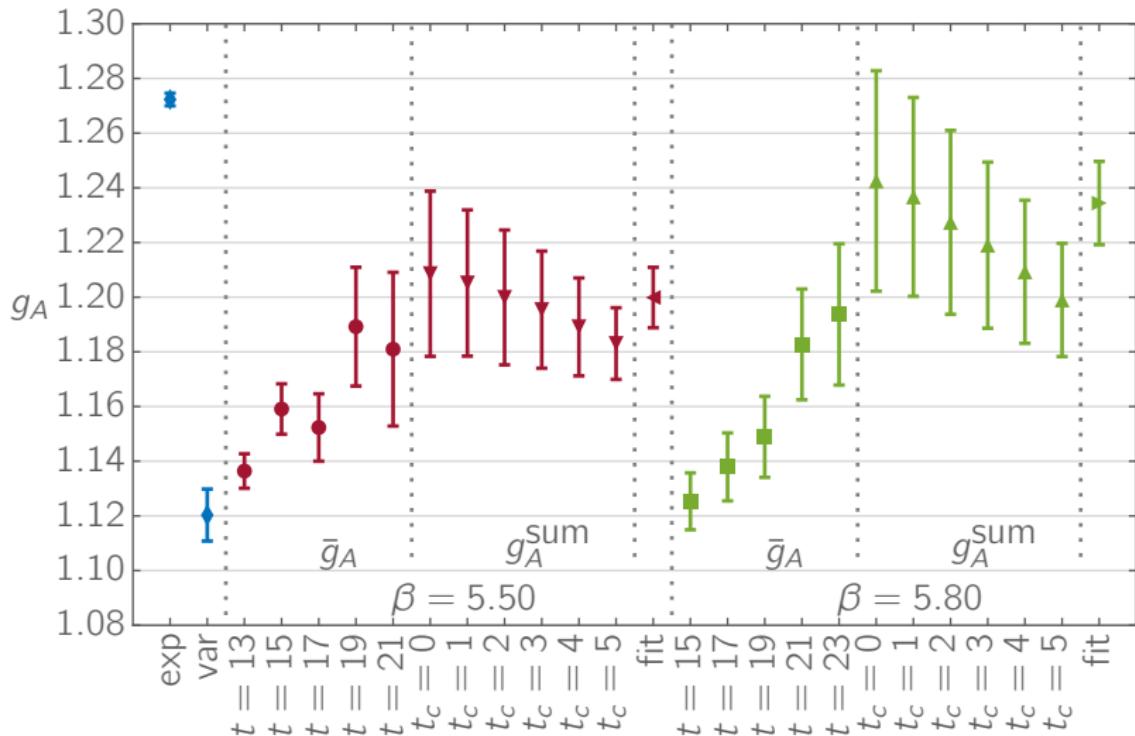


Figure 17: All obtained  $g_A$  values and reference values

# Backup – $g_A$ summary

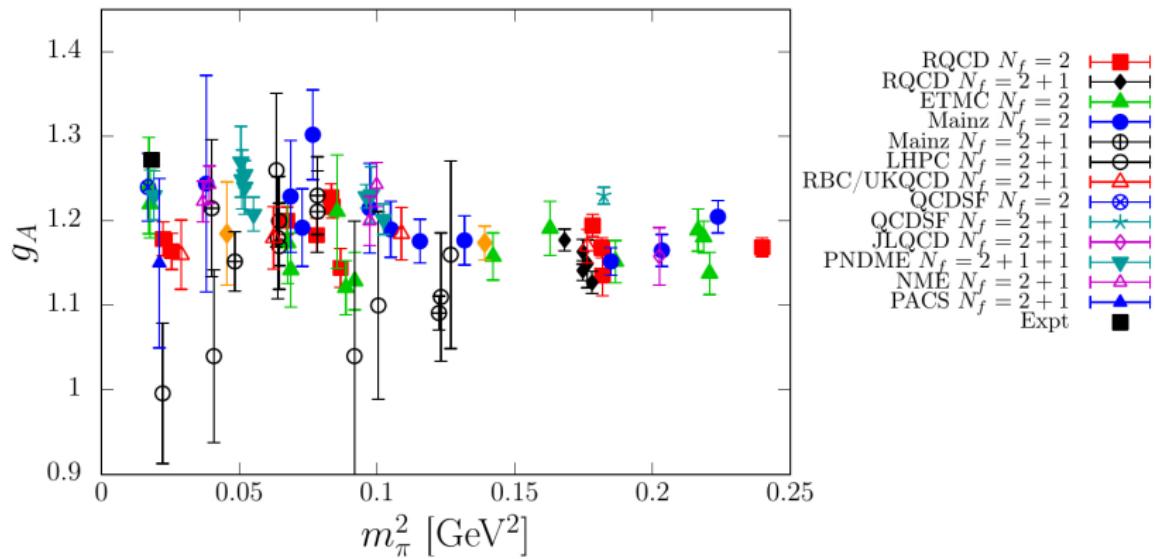


Figure 18:  $g_A$  measurements from different collaborations, point-split operator is used for “QCDSF  $N_f = 2 + 1$ ”

## Backup – RI'-MOM Scheme

consider a quark bilinear operator:  $\mathcal{O}(z) = \bar{u}(z)\Gamma_{\mathcal{O}}d(z)$  (39)

- renormalization condition at a scale  $\mu_R$

$$Z_{\mathcal{O}} \frac{1}{12} \text{Tr} \left( \langle u(p) | \mathcal{O}(z) | \bar{d}(p) \rangle \langle u(p) | \mathcal{O}(z) | \bar{d}(p) \rangle_0^{-1} \right) \Big|_{\substack{p^2=\mu_R^2 \\ m \rightarrow 0}} = 1 \quad (40)$$

- scale window:  $\Lambda_{QCD}^2 \ll \mu_R^2 \ll \frac{1}{a^2}$  (41)
- after some transformations and insertions

$$Z_{\mathcal{O}}(p) = \frac{12 Z_q(p)}{\text{Tr} \left( \Lambda_{\mathcal{O}}(p) \Gamma_B^{-1} \right)} \Big|_{\substack{p^2=\mu_R^2 \\ m \rightarrow 0}} \quad (42)$$

$$Z_q(p) = \frac{\text{Tr} \left( -i \sum_{\mu} \gamma_{\mu} \sin(ap_{\mu}) S^{-1}(p) \right)}{12 \sum_{\mu} \sin^2(ap_{\mu})} \Big|_{p^2=\mu_R^2} \quad (43)$$

## Backup – RI'-MOM Scheme

- Born term is equal to the tree level matrix element

$$\langle u(p) | \mathcal{O}(z) | \bar{d}(p) \rangle_0 = \Gamma_B \quad (44)$$

- amputated vertex function

$$\langle u(p) | \mathcal{O}(z) | \bar{d}(p) \rangle = Z_q^{-1} \Lambda_{\mathcal{O}}(p) \Lambda_{\mathcal{O}}(p) = S^{-1}(p) G_{\mathcal{O}}(p) S^{-1}(p) \quad (45)$$

- propagator  $S(p)$

$$S(p) = \int d^4x \int d^4y e^{-ip(x-y)} S(x, y) \quad (46)$$

- vertex function  $G_{\mathcal{O}}$

$$G_{\mathcal{O}}(p) = \frac{1}{V} \int d^4x \int d^4y \sum_z e^{-ip(x-y)} \langle u(x) | \mathcal{O}(z) | \bar{d}(y) \rangle \quad (47)$$

# Backup – RI'-MOM Scheme

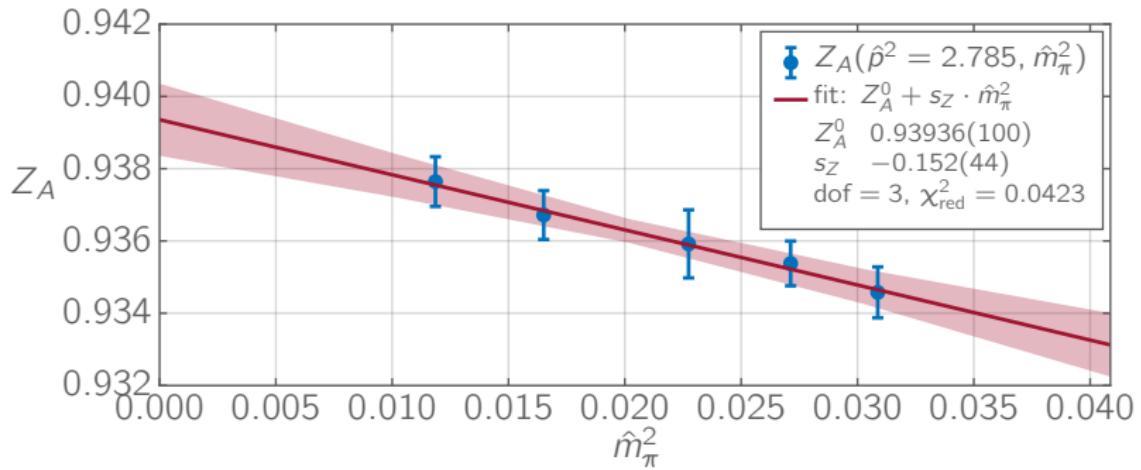


Figure 19: Chiral limit fit example

## Backup – RI'-SMOM Scheme

- In RI'-MOM scheme:

$$p_1^2 = p_2^2 = \mu_R^2, \quad q = p_2 - p_1 = 0 \quad (48)$$

- exceptional channel where  $q^2 \ll \mu_R^2$
- asymmetric subtraction point
- chiral symmetry breaking effects decrease as  $1/p^2$
- In RI'-SMOM scheme:

$$p_1^2 = p_2^2 = q^2 = \mu_R^2, \quad q = p_2 - p_1 \neq 0 \quad (49)$$

- no exceptional channels
- symmetric subtraction point
- chiral symmetry breaking effects decrease as  $1/p^6$
- some changes in the amputated vertex function are needed

## Some applications of lattice QCD

- hadronic matrix elements
- confinement mechanism
- gluon self-interaction
- spontaneous chiral symmetry breaking
- ...

## QCD action in continuum

$$S(A, \psi, \bar{\psi}) = \frac{1}{2g^2} \int d^4x \operatorname{Tr}(F_{\mu\nu}(x)F_{\mu\nu}(x)) + \\ + \sum_f \int d^4x \bar{\psi}_f(x) (\gamma_\mu D_\mu(x) + m_f) \psi_f(x) \quad (50)$$

- fermion fields  $\psi_f$  and  $\bar{\psi}_f$  with mass  $m_f$  and flavor index  $f$
- gauge field  $A$
- gauge coupling constant  $g$
- field strength tensor  $F_{\mu\nu}$
- covariant derivative  $D_\mu$

$$D_\mu(x) = \partial_\mu + iA_\mu(x) \quad (51)$$

## Gauge fields $A_\mu(x)$

- represent the gluons
- elements of Lie algebra  $\text{su}(3)$
- directional index  $\mu$
- $N_c^2 - 1$  ( $= 8$  for  $N_c = 3$ ) generators  $T^B$  of  $\text{su}(3)$

$$A_\mu(x) = \sum_{B=1}^8 A_\mu^B(x) T^B \quad (52)$$

- color components  $A_\mu^B(x)$

## Field strength tensor $F_{\mu\nu}(x)$

- commutator  $[A_\mu(x), A_\nu(x)]$  does not vanish

$$F_{\mu\nu}(x) = -i[D_\mu(x), D_\nu(x)] \quad (53)$$

$$F_{\mu\nu}(x) = \partial_\mu A_\nu(x) - \partial_\nu A_\mu(x) + i[A_\mu(x), A_\nu(x)] \quad (54)$$

- representation with generators and structure constants  $f^{ABC}$

$$F_{\mu\nu}(x) = \sum_{B=1}^8 F_{\mu\nu}^B(x) T^B \quad (55)$$

$$F_{\mu\nu}^A(x) = \partial_\mu A_\nu^A(x) - \partial_\nu A_\mu^A(x) - f^{ABC} A_\mu^B(x) A_\nu^C(x) \quad (56)$$

## Fermion fields $\psi_f(x)$ and $\bar{\psi}_f(x)$

- live on lattice sites
- positional index  $x \in \Lambda$
- physical spatial distance is  $ax$

Note:

- all quantities are measured in lattice units  $a$
- fermion fields, derivatives and masses are dimensionless

$$m \rightarrow am \tag{57}$$

$$\psi(x) \rightarrow a^{3/2}\psi(x) \tag{58}$$

$$\bar{\psi}(x) \rightarrow a^{3/2}\bar{\psi}(x) \tag{59}$$

# Backup – Continuum QCD

Lattice derivative  $\partial_\mu$

forward-backward difference as derivative

$$\partial_\mu \psi(x) = \frac{1}{2} (\psi(x + \hat{\mu}) - \psi(x - \hat{\mu})) \quad (60)$$

Covariant derivative  $D_\mu(x)$

use link variables  $U_\mu$  instead of gauge fields  $A_\mu$  and discretize

$$D_\mu(x)\psi(x) = \frac{1}{2} (U_\mu(x)\psi(x + \hat{\mu}) - U_{-\mu}(x)\psi(x - \hat{\mu})) \quad (61)$$

# Backup – Continuum QCD

Wilson loop  $U^\square(x)$

- closed loops of  $U_\mu(x)$  are gauge invariant
- smallest closed loop is the Wilson loop

$$U_{\mu\nu}^\square(x) = U_\mu(x) U_\nu(x + \hat{\mu}) U_\mu^\dagger(x + \hat{\nu}) U_\nu^\dagger(x) \quad (62)$$

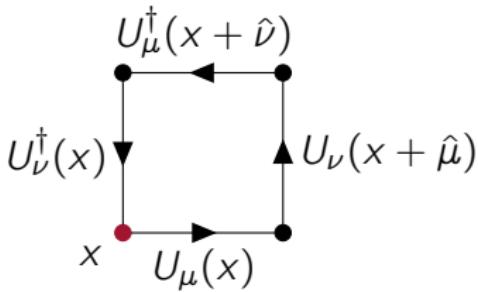


Figure 20: Wilson loop  $U_{\mu\nu}^\square(x)$  starting at position  $x$

# Backup – Wilson QCD Action

Wilson gauge action  $S_G^W(U)$

$$S_G^W(U) = \frac{\beta}{3} \int d^4x \sum_{\mu < \nu} \text{Re Tr} \left( \mathbb{1} - U_{\mu\nu}^\square(x) \right) \quad (63)$$

Wilson fermion action  $S_F^W(U, \psi, \bar{\psi})$

$$S_F^W(U, \psi, \bar{\psi}) = \sum_f \int d^4x \int d^4y \bar{\psi}_f(x) D_f^W(x, y) \psi_f(y) \quad (64)$$

- Wilson Dirac operator  $D_f^W(x, y)$
- full lattice QCD Wilson action

$$S^W(U, \psi, \bar{\psi}) = S_F^W(U, \psi, \bar{\psi}) + S_G^W(U) \quad (65)$$

# Backup – Wilson QCD Action

## Wilson Dirac operator $D_f^W(x, y)$

- contains naive fermion action discretization and Wilson term
- Wilson term removes doublers from the action

$$D_f^W(x, y) = \mathbb{1}\delta_{xy} - \kappa_f \sum_{\mu} (\mathbb{1} - \gamma_{\mu}) (U_{\mu}(x)\delta_{x+\hat{\mu}, y} - U_{-\mu}(x)\delta_{x-\hat{\mu}, y}) \quad (66)$$

- Wilson action ready for calculations
- Wilson gauge action:  $O(a^2)$  artifact effects
- Wilson fermion action:  $O(a)$  artifact effects
- improvements useful

# Backup – Action Improvements

## Clover term

$$S_F^{SW}(U, \psi, \bar{\psi}) = -c_{SW} \sum_f \kappa_f \int d^4x \bar{\psi}_f(x) \frac{1}{2} \sigma_{\mu\nu} \hat{F}_{\mu\nu}(x) \psi_f(x) \quad (67)$$

- improve fermion action to order  $O(a^2)$
- improved action:  $S_F = S_F^W + S_F^{SW}$
- clover coefficient  $c_{SW}$
- matrices:  $\sigma_{\mu\nu} = \frac{1}{2} [\gamma_\mu, \gamma_\nu]$
- field strength tensor on lattice  $\hat{F}_{\mu\nu}(x)$

$$\hat{F}_{\mu\nu}(x) = \frac{1}{8} (Q_{\mu\nu}(x) - Q_{\nu\mu}(x)) \quad (69)$$

- $Q_{\mu\nu}(x)$  is a sum of plaquettes

# Backup – Action Improvements

$$Q_{\mu\nu}(x) = U_{\mu,\nu}^{\square}(x) + U_{-\mu,\nu}^{\square}(x) + U_{\mu,-\nu}^{\square}(x) + U_{-\mu,-\nu}^{\square}(x) \quad (70)$$

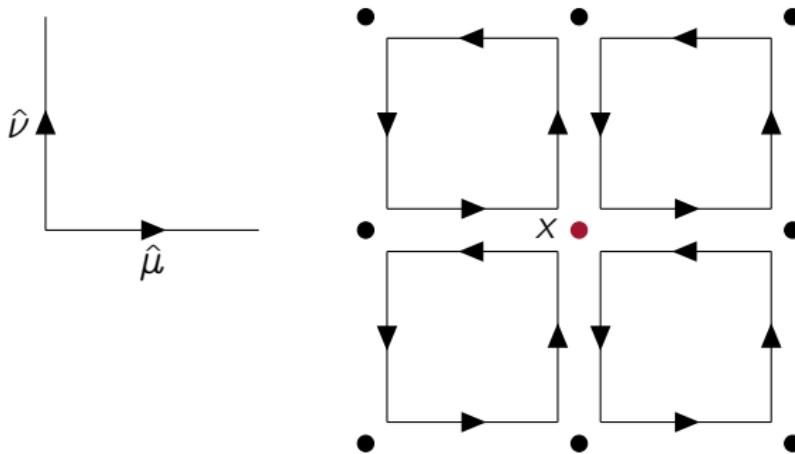


Figure 21: clover term  $Q_{\mu\nu}(x)$  starting at position  $x$

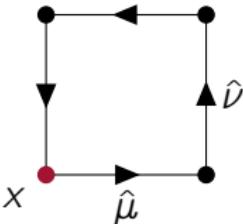
# Backup – Action Improvements

Tree level Symanzik improved gauge action

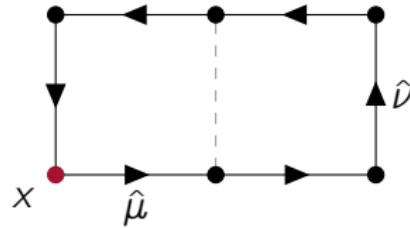
$$S_G(U) = \frac{\beta}{3} \int d^4x \left( C_0 \sum_{\mu < \nu} \text{Re Tr} \left( \mathbb{1} - U_{\mu\nu}^\square(x) \right) + C_1 \sum_{\mu < \nu} \text{Re Tr} \left( \mathbb{1} - R_{\mu\nu}^\square(x) \right) \right) \quad (71)$$

- include planar rectangles  $R_{\mu\nu}^\square(x)$
- constants depend on each other  $C_0 = 1 - 8C_1$
- in tree-level Symanzik improvement  $C_1 = -\frac{1}{12}$
- possible to cancel order  $O(a^2)$  artifacts and stay with  $O(a^4)$

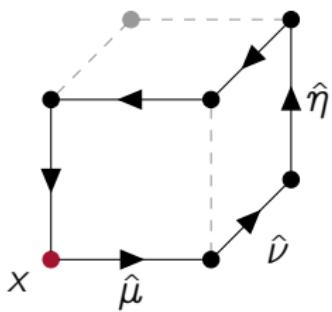
# Backup – Action Improvements



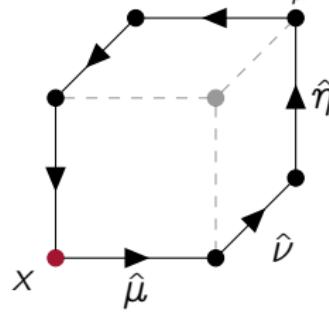
a plaquette  $U^{\square}(x)$



b planar rectangle  $R_{\mu\nu}^{\square}(x)$



c extended rectangle  $R_{\mu\nu\eta}^{\square}(x)$



d extended bent rectangle  $\tilde{R}_{\mu\nu\eta}^{\square}(x)$

Figure 22: schematic Wilson loop  $U^{\square}(x)$  and rectangles  $R^{\square}(x)$

## Backup – Final QCD Action

$$S(U, \psi, \bar{\psi}) = S_F(U, \psi, \bar{\psi}) + S_G(U) \quad (72)$$

$$\begin{aligned} S_G(U) = & \frac{\beta}{3} \int d^4x \left( C_0 \sum_{\mu < \nu} \operatorname{Re} \operatorname{Tr} \left( \mathbb{1} - U_{\mu\nu}^\square(x) \right) + \right. \\ & \left. + C_1 \sum_{\mu < \nu} \operatorname{Re} \operatorname{Tr} \left( \mathbb{1} - R_{\mu\nu}^\square(x) \right) \right) \end{aligned} \quad (73)$$

$$S_F(U, \psi, \bar{\psi}) = \sum_f \int d^4x \int d^4y \bar{\psi}_f(x) D_f(x, y) \psi_f(y) \quad (74)$$

$$\begin{aligned} D_f(x, y) = & \mathbb{1} \delta_{xy} - \kappa_f \sum_\mu (\mathbb{1} - \gamma_\mu) (U_\mu(x) \delta_{x+\hat{\mu}, y} - U_{-\mu}(x) \delta_{x-\hat{\mu}, y}) - \\ & - c_{sw} \kappa_f \sum_{\mu, \nu} \frac{1}{2} \sigma_{\mu\nu} \hat{F}_{\mu\nu}(x) \delta_{xy} \end{aligned} \quad (75)$$

# Backup – Expectation Values

## Expectation value of operator $\mathcal{O}$

- path integral formalism
- definition as in continuum

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{O}(U, \psi, \bar{\psi}) e^{-S(U, \psi, \bar{\psi})} \quad (76)$$

- partition function  $\mathcal{Z}$

$$\mathcal{Z} = \langle \mathbb{1} \rangle = \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S(U, \psi, \bar{\psi})} \quad (77)$$

measures:

$$\mathcal{D}\psi \mathcal{D}\bar{\psi} = \prod_{x \in \Lambda} \prod_{f, \alpha, c} d\psi_f(x)_\alpha^c d\bar{\psi}_f(x)_\alpha^c, \quad \mathcal{D}U = \prod_{x \in \Lambda} \prod_\mu dU_\mu(x) \quad (78)$$

## Backup – Expectation Values

- Grassmann numbers (anti-commuting numbers) allow to integrate out the fermionic part

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}U \prod_f \det D_f(x, y) \tilde{\mathcal{O}}(S_f, U) e^{-S_G(U)} \quad (79)$$

$$\mathcal{Z} = \int \mathcal{D}U \prod_f \det D_f(x, y) e^{-S_G(U)}. \quad (80)$$

- in  $\tilde{\mathcal{O}}$  fermionic part was integrated out
- $\tilde{\mathcal{O}}$  depends only on link variables and quark propagators

Quark propagator  $S_f(x, y)$

$$S_f(x, y) = D_f^{-1}(x, y) = \langle \psi_f(x) \bar{\psi}_f(y) \rangle_F \quad (81)$$

## Backup – Expectation Values

- integral  $\int \mathcal{D}U$  very high dimensional
- use Monte Carlo techniques for calculation
- have to deal with the fermion determinant

Fermion determinant  $\det D_f(x, y)$

- 1 simplest solution – quenched approximation:  $\det D_f = 1$
- 2 treat as an effective action and add to the gluon action

$$S_F^{\text{eff}}(U) = - \sum_f \text{Tr} \ln D_f \prod_f \det D_f = e^{-S_F^{\text{eff}}} \quad (82)$$

$$\tilde{S}(U) = S_G(U) + S_F^{\text{eff}}(U) \quad (83)$$

- 3 other possibilities

## Backup – Expectation Values

$$\langle \mathcal{O} \rangle = \frac{1}{\mathcal{Z}} \int \mathcal{D}U \mathcal{O} e^{-\tilde{S}(U)} \quad (84)$$

$$\mathcal{Z} = \int \mathcal{D}U e^{-\tilde{S}(U)} \quad (85)$$

this form calls for Monte Carlo technique

### Monte Carlo basic simulation steps

- 1 configuration generation
- 2 operator measurement
- 3 data analysis

# Backup – Configuration Generation

## Configuration ensemble

configuration  $\mathcal{U}_i$  is a set of link variables

$$\mathcal{U}_i = \{U_\mu(x) \mid x \in \Lambda, \mu = 1, \dots, 4\} \quad (86)$$

ensemble  $\mathcal{U}$  is a set of  $N_{\text{cfg}}$  configurations  $\mathcal{U}_i$

- generate configuration ensemble  $\mathcal{U}$
- configurations  $\mathcal{U}_i$  distributed according to the weight

$$W = \frac{1}{Z} e^{-\tilde{S}(U)} \quad (87)$$

- main difficulty:
  - local link updates: many updates needed to uncorrelate
  - global updates: low acceptance rate
  - possible solution: Hybrid Monte Carlo algorithm

# Backup – Configuration Generation

## Hybrid Monte Carlo algorithm

- idea: do a step from  $\mathcal{U}$  to  $\mathcal{U}'$  by performing a Hamiltonian molecular dynamics in fictitious time
- use concept of pseudofermions

Basic steps:

- 1 start with configuration  $\mathcal{U}$
- 2 generate momenta  $p$
- 3 evaluate the Hamiltonian  $\mathcal{H}(\mathcal{U}, p)$
- 4 perform molecular dynamic in fictitious time  $t \rightarrow \mathcal{U}', p'$
- 5 evaluate the Hamiltonian  $\mathcal{H}'(\mathcal{U}', p')$
- 6 accept  $\mathcal{U}'$  according to Metropolis probability

$$P = \min(1, e^{\mathcal{H}(\mathcal{U}, p) - \mathcal{H}'(\mathcal{U}', p')})$$

- 7 if accepted:  $\mathcal{U} := \mathcal{U}'$  and go to 1

## Importance sampling

- generated configurations  $\mathcal{U}_i$  are distributed with  $\frac{1}{\mathcal{Z}} e^{-\tilde{S}(U)}$
- expectation value estimator:  $\langle \mathcal{O} \rangle = \frac{1}{N_{\text{cfg}}} \sum_{i=1}^{N_{\text{cfg}}} \mathcal{O}_i$  (88)
- standard error:  $\sigma_{\bar{\mathcal{O}}} = \sqrt{\frac{\langle \mathcal{O}^2 \rangle - \langle \mathcal{O} \rangle^2}{N_{\text{cfg}}(N_{\text{cfg}} - 1)}}$  (89)
- often need bootstrap or jackknife method to obtain errors

use operators as two or three point correlators e.g. pion two point correlation function with pion interpolator  $P(x) = \bar{d}(x)\gamma_5 u(x)$

$$\mathcal{O} = \langle P(x)\bar{P}(y) \rangle_F = \text{Tr}(\gamma_5 S_u(x, y)\gamma_5 S_d(y, x)) \quad (90)$$