

Bosonic and SUSY color-flavor transformation for $SU(N_c)$ group

Yi Wei

Yale University

work done with Prof. Tilo Wettig

Outline:

1. Review and motivation
2. Bosonic color-flavor transformation for the special unitary group
3. From bosonic to SUSY
4. Application to lattice QCD
5. Summary and Outlook

“color-flavor” transformation was first derived by [Zirnbauer \(1996\)](#)
 (motivation: disordered systems in condensed matter physics)

$$\int_{U(N_c)} dU \exp \left(\bar{\psi}_{x+\hat{\mu},a}^i U^{ij} \psi_{x,a}^j + \bar{\psi}_{x,b}^j U^{\dagger ji} \psi_{x+\hat{\mu},b}^i \right) \quad \begin{array}{l} \text{color indices coupled,} \\ \text{flavor indices diagonal} \end{array}$$

$$= \int D\mu_{N_c}(\mathbf{Z}, \tilde{\mathbf{Z}}) \exp \left(\bar{\psi}_{x+\hat{\mu},a}^i Z_{ab} \psi_{x+\hat{\mu},b}^i + \bar{\psi}_{x,b}^i \tilde{Z}_{ba} \psi_{x,a}^i \right)$$

flavor indices coupled, color indices diagonal

- $\psi, \bar{\psi}$: \mathbb{Z}_2 -graded tensors (bosonic and fermionic components)
- $i, j = 1, \dots, N_c$: “color” indices
- $a = 1, \dots, n_+$ and $b = 1, \dots, n_-$: “flavor” indices
- $\mathbf{Z}, \tilde{\mathbf{Z}}$ parameterize $U(n_+ + n_- | n_+ + n_-) / [U(n_+ | n_+) \times U(n_- | n_-)]$
- $\tilde{Z}_{BB} = Z_{BB}^\dagger, \tilde{Z}_{FF} = -Z_{FF}^\dagger$
- $D\mu_{N_c}(\mathbf{Z}, \tilde{\mathbf{Z}}) = d\mathbf{Z} d\tilde{\mathbf{Z}} \text{Sdet}(\mathbf{1} - \tilde{\mathbf{Z}}\mathbf{Z})^{N_c}$

Color-flavor transformation for the special unitary group

B. Schlittgen & TW, Nucl. Phys. B 632 (2002) 155

- consider ψ with only fermionic degrees of freedom
- Z parameterizes the coset space $U(2N_f)/[U(N_f) \times U(N_f)]$

$$\int_{SU(N_c)} dU \exp \left(\bar{\psi}_a^i U^{ij} \varphi_a^j + \bar{\varphi}_a^i U^{\dagger ij} \psi_a^j \right) \quad \begin{array}{ll} \bar{\psi} \hat{=} \bar{\psi}(x + \hat{\mu}) & \bar{\varphi} \hat{=} \bar{\psi}(x) \\ \psi \hat{=} \psi(x + \hat{\mu}) & \varphi \hat{=} \psi(x) \end{array}$$

$$= \tilde{C} \int_{Gl(N_f, \mathbb{C})} \frac{dZ dZ^\dagger}{\det(\mathbf{1} + ZZ^\dagger)^{2N_f + N_c}} \exp \left(\bar{\psi}_a^i Z_{ab} \psi_b^i - \bar{\varphi}_a^i Z_{ab}^\dagger \varphi_b^i \right) \sum_{Q=0}^{N_f} \chi_Q$$

where

$$\chi_0 = 1, \quad \chi_{Q>0} = \mathcal{C}_Q \left[\det(\mathcal{M})^Q + \det(\mathcal{N})^Q \right] \quad (Q \text{ baryons})$$

$$\mathcal{M}^{ij} = \bar{\psi}_a^i (\mathbf{1} + ZZ^\dagger)_{ab} \varphi_b^j, \quad \mathcal{N}^{ij} = \bar{\varphi}_a^i (\mathbf{1} + Z^\dagger Z)_{ab} \psi_b^j$$

$$\tilde{C} = \frac{1}{\pi^{N_f^2}} \prod_{n=0}^{N_f-1} \frac{(N_c + N_f + n)!}{(N_c + n)!}, \quad \mathcal{C}_Q = \frac{1}{(Q!)^{N_c} (N_c!)^Q} \prod_{n=0}^{Q-1} \frac{(N_c + n)! (N_f + n)!}{n! (N_c + N_f + n)!}$$

Application to lattice QCD

- color-flavor transformation corresponds to a single link of the lattice
→ apply it to all links
- Dirac indices on ψ and $\bar{\psi}$ have to be treated as flavors as well
→ $\dim(Z) = 4(N_f + N_h) \equiv 4N_q$
- details in Schlittgen and TW, [hep-lat/0208044](#)

Induced QCD or How to generate the plaquette action

- so far, the gauge fields are noninteracting
→ need to find a way to include plaquette (Yang-Mills) action

Idea 1 goes back to [Kazakov & Migdal \(1992\)](#)

- couple a number of additional heavy fermions to the gauge field
integrate out these auxiliary fermions
expand in powers of $1/\text{mass}$
- for definiteness, use N_h heavy Wilson fermions:

$$D_{yx} = \delta_{yx} - \kappa \sum_{\mu=\pm 1}^{\pm 4} \delta_{y, x+\hat{\mu}} (r + \gamma_{\mu}) U_{\mu}(x)$$

$$\kappa = \frac{1}{2Ma + 8r} \rightarrow 0 \quad \text{as } M \rightarrow \infty$$

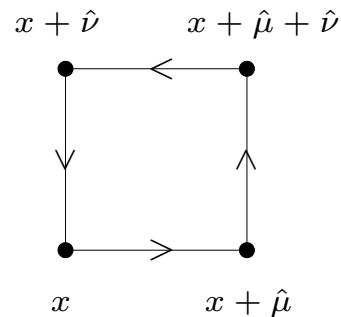
now write $D = \mathbb{1} - \kappa A$ and expand in powers of κ

- after integrating out the quark fields we obtain

$$\begin{aligned} \det^{N_h} D &= \exp(N_h \text{Tr} \log D) = \exp(N_h \text{Tr} \log(\mathbf{1} - \kappa A)) \\ &= \exp \left[-N_h \left(\underbrace{\kappa \text{Tr} A}_{0} + \frac{\kappa^2}{2} \underbrace{\text{Tr} A^2}_{\text{const.}} + \frac{\kappa^3}{3} \underbrace{\text{Tr} A^3}_{0} + \frac{\kappa^4}{4} \text{Tr} A^4 + \dots \right) \right] \end{aligned}$$

(0 for $r=1$)

- $\text{Tr} A^4 = \text{Tr} A_{xy} A_{yz} A_{zw} A_{wx}$ contains many constant terms as well as terms of the form



$$\begin{aligned} &\sim \text{Tr} (r - \gamma_\nu)(r - \gamma_\mu)(r + \gamma_\nu)(r + \gamma_\mu) U_\nu^\dagger(x) U_\mu^\dagger(x + \hat{\nu}) U_\nu(x + \hat{\mu}) U_\mu(x) \\ &= -4(1 + 2r^2 - r^4) \text{Tr} U_p \quad p = (x; \mu\nu) \quad \text{(correct sign!)} \end{aligned}$$

- collecting all terms (for $r = 1$) yields $16N_h\kappa^4 \sum_p \text{Re Tr}U_p$, which is just the familiar plaquette action

→ we can identify $\frac{1}{g^2} = 8N_h\kappa^4$

- to kill the higher-order terms in the exponent ($\sim N_h\kappa^6$, $\sim N_h\kappa^8$, etc.), let $\kappa \rightarrow 0$ and $N_h \rightarrow \infty$ such that $N_h\kappa^4 = \text{const.}$

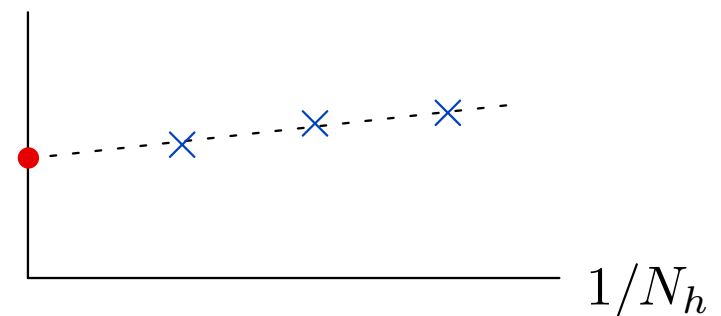
- how large N_h has to be in practice must be determined numerically (one-loop calculation by A.+P. Hasenfratz: $N_h > 11N_c/2$)

- “safe” procedure:

– fix a

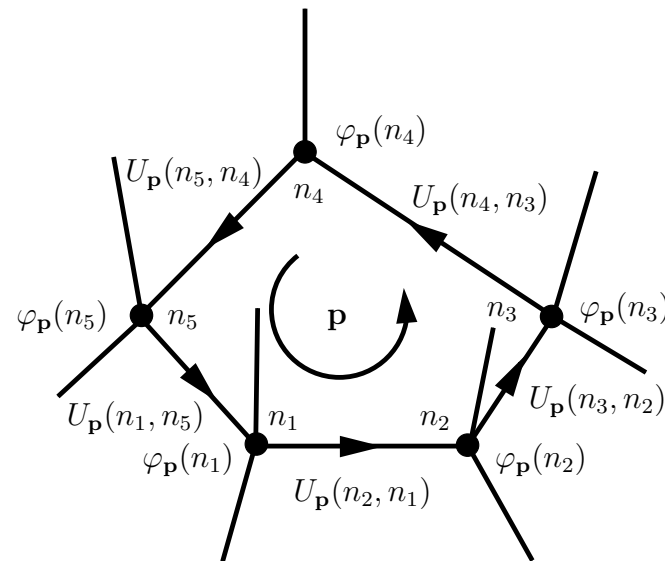
– fix $8N_h\kappa^4 = \frac{1}{g^2}$, let $N_h \rightarrow \infty$

– let $a \rightarrow 0$



Idea 2 uses a few (N_c) auxiliary bosons instead of (infinitely) many fermions
 Budczies and Zirnbauer, [math-ph/0305058](https://arxiv.org/abs/math-ph/0305058)

- auxiliary boson fields don't propagate all over the lattice but hop along the boundary of a plaquette



- action:

$$S_b(\varphi, \bar{\varphi}, U) = \sum_{\pm p} \sum_{j=1}^{L_p} \left[m_b \bar{\varphi}_{\mathbf{p}}(n_j) \varphi_{\mathbf{p}}(n_j) - \bar{\varphi}_{\mathbf{p}}(n_{j+1}) U_{\mathbf{p}}(n_{j+1}, n_j) \varphi_{\mathbf{p}}(n_j) \right]$$

- after integration over auxiliary bosons

$$Z_{\text{aux}} = \int dU \prod_p |\det(m_b - U(\partial p))|^{-2N_b}$$

- Recall how continuum limit is taken:

weight function $w_t(U)$ such that $\lim_{t \rightarrow t_c} w_t(U) = \delta(I - U)$

(usual plaquette action: $t = \beta$ and $\beta_c = \infty$,
continuum limit is Yang-Mills theory)

- Using the Peter-Weyl theorem, they show that

$$w_\alpha(U) = \frac{|\det(1 - \alpha U)|^{-2N_b}}{\int_G dU |\det(1 - \alpha U)|^{-2N_b}}$$

goes to $\delta(I - U)$ as $\alpha \rightarrow 1$ for any $N_b \geq N_c$ (thus $m_c = 1$).

I.e. the boson-induced gauge theory admits a continuum limit

- What is this continuum limit?

They analyze the $d = 1 + 1$ case and find

- for $N_b > N_c$: Yang-Mills theory (by matching to a combinatorial result of [Witten 1991](#))
- for $N_b = N_c$: a different, exotic theory (Cauchy distribution)

For $d \geq 4$, the continuum limit is Yang-Mills theory for all $N_b \geq N_c$.

Note: This approach requires a SUSY version of the color-flavor transformation.

bosonic CFT for the special unitary group

$$\begin{aligned}
 & \int_{\text{SU}(N_c)} dU \exp \left(\bar{\psi}_a^i U^{ij} \psi_a^j + \bar{\varphi}_a^i U^{\dagger ij} \varphi_a^j \right) \\
 = & \sum_{Q \geq 0} \frac{\int_{|Z| < 1} D(Z, Z^\dagger) \exp(\bar{\psi}_a^i Z_{ab}^\dagger \varphi_b^i + \bar{\varphi}_a^i Z_{ab} \psi_b^i) \mathcal{C}_Q}{N_Q \int_{|Z| < 1} D(Z, Z^\dagger) \text{Det}_{N_c}^Q(1 - Z^\dagger Z)} \\
 = & C_0 \sum_{Q=0}^{\infty} \chi_Q \int_{U(N_f)} dU \int_{U(N_f)} dV \text{Det}^{-Q+\delta} \left[\bar{\varphi}_b^i (UAV)_{ac} \psi_c^i \right] \\
 & \exp \left[\bar{\varphi}_a^i (UAV)_{ab} \psi_b^i + \bar{\psi}_a^i (V^\dagger D U^\dagger)_{ab} \varphi_b^i \right]
 \end{aligned}$$

$$D(Z, Z^\dagger) = \frac{dZ dZ^\dagger}{\text{Det}^{2N_f - N_c}(1 - ZZ^\dagger)}, \quad C_0 = \left[\frac{\prod^\delta s! \prod^{N_c} m!}{\prod^{N_f} n!} \right]$$

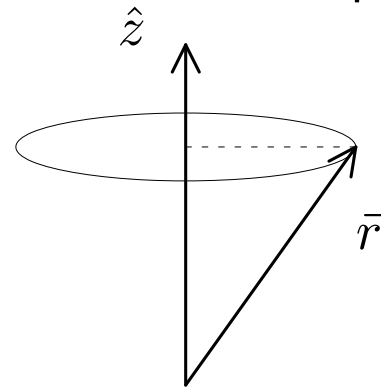
$$\mathcal{C}_{Q>0} = \text{Det}^Q(\bar{\psi}_a^i (1 - Z^\dagger Z)_{ab} \psi_b^j) + \text{Det}^Q(\bar{\varphi}_a^i (1 - Z^\dagger Z)_{ab} \varphi_b^j)$$

$$\delta = N - N_c \quad AD = I_N, \quad \chi_0 = 1, \quad \chi_Q = \text{Det}^Q(\psi_a^i \bar{\psi}_a^j) + \text{Det}^Q(\varphi_a^i \bar{\varphi}_a^j).$$

Z parameterize the coset space $U(N_f, N_f)/U(N_f) \times U(N_f)$

Outline of the proof of bosonic CFT:

- analogy: averaging over rotations of a vector in \mathbb{R}^3 around the z -axis projects out the z -component of that vector, i.e. the part that is invariant under such a rotation

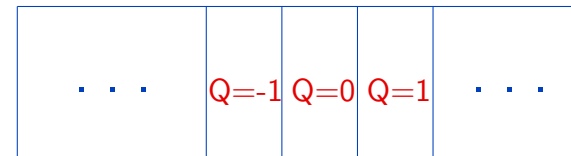


- basic idea:
 - define a Fock space with up to $2N_f N_c$ species of bosons
 - construct two different implementations of a projection operator onto the color-neutral sector of the Fock space (corresponding to LHS and RHS of the transformation)
 - identify the two implementations

- projection to a manifold could be done either **all at once** or **divide the manifold into a few pieces, project onto each piece then sum up**. **For bosonic and SUSY, could be either one or infinity!**



integrate over color group
projection 1



integrate over flavor group
projection 2

- define bosonic creation and annihilation operators \bar{c}_a^i, c_a^i
 $(a = 1, \dots, 2N_f; i = 1, \dots, N_c)$
- Fock space is obtained by acting on vacuum $|0\rangle$ with all the \bar{c}_a^i
 (dimension = $2N_f N_c$)
- define

$$\begin{cases} |\psi_{Q \geq 0}\rangle = (\epsilon_{i_1, \dots, i_{N_c}} \bar{c}_1^{i_1} \bar{c}_2^{i_2} \dots \bar{c}_{N_c}^{i_{N_c}})^Q |0\rangle, \\ |\psi_{Q < 0}\rangle = (\epsilon_{i_1, \dots, i_{N_c}} \bar{d}_1^{i_1} \bar{d}_2^{i_2} \dots \bar{d}_{N_c}^{i_{N_c}})^Q |0\rangle. \end{cases}$$

Main steps of the proof:

action of Fock operators on $|0\rangle$ and anticommutation relations

$$\int_{\text{SU}(N_c)} dU \exp(\bar{\psi}_a^i U^{ij} \psi_a^j + \bar{\varphi}_a^i U^{\dagger ij} \varphi_a^j)$$

projection onto color-neutral sector by $\text{SU}(N_c)$ rotation

$$= \int_{\text{SU}(N_c)} dU \langle 0 | \exp(\psi_a^i c_a^i + \varphi_a^i d_a^i) \exp(\bar{c}_a^i U^{ij} \psi_a^j + \bar{\varphi}_a^i U^{\dagger ij} d_a^j) | 0 \rangle$$

projection onto color-neutral sector using coherent states

$$= \langle 0 | \exp(\psi_a^i c_a^i + \varphi_a^i d_a^i) \hat{P} \exp(\bar{c}_a^i \psi_a^i + \bar{d}_a^i \varphi_a^i) | 0 \rangle$$

parameterization of G/H , properties of Fock operators, and more algebra

$$= \sum_Q \langle 0 | \exp(\psi_a^i c_a^i + \varphi_a^i d_a^i) \mathbb{1}_Q \exp(\bar{c}_a^i \psi_a^i + \bar{d}_a^i \varphi_a^i) | 0 \rangle$$

$$= \sum_{Q \geq 0} \frac{\int_{|Z| < 1} D(Z, Z^\dagger) \exp(\bar{\psi}_a^i Z_{ab}^\dagger \varphi_b^i + \bar{\varphi}_a^i Z_{ab} \psi_b^i) \mathcal{C}_Q}{N_Q \int_{|Z| < 1} D(Z, Z^\dagger) \text{Det}_{N_c}^Q (1 - Z^\dagger Z)}$$

complete with character expansion

Outline of the method:

- basic idea:
 - LHS: we want to split the integration over $SU(N_c)$ to the same Q .
 - RHS: don't integrate over Λ , put an arbitrary matrix instead. do two integration over to $U(N_f)$.
 - identify the two sides.
 - We notice a difference between the representation of $GL(N)$, $U(N)$ and $SU(N)$.

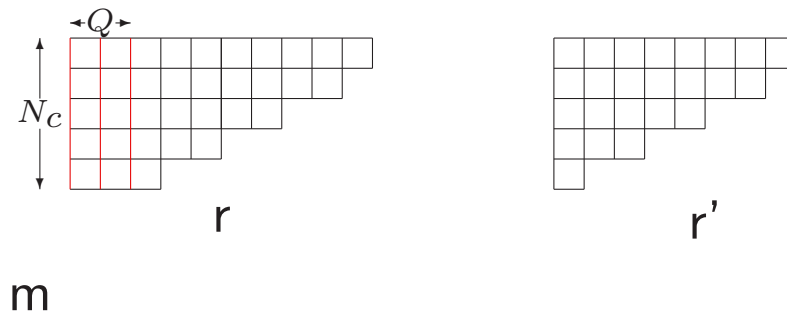


Figure 1: Irreducible representations r' and $r = r' + N_c Q$.

SUSY CFT for the special unitary group

Our result for SUSY CFT:

$$\begin{aligned}
 & \int_{SU(N_c)} dU \exp \left(\bar{\psi}_{+a}^i U^{ij} \psi_{+a}^j + \bar{\psi}_{-b}^j \bar{U}^{ij} \psi_{-b}^i \right) \\
 = & \int D\mu_{N_c}(Z, \tilde{Z}) \exp \left(\bar{\psi}_{+a}^i Z_{ab} \psi_{-b}^i + \bar{\psi}_{-b}^j \tilde{Z}_{ba} \psi_{+a}^j \right) \left\{ 1 + \sum_{Q=1} \mathcal{C}_Q [\text{Det}^Q \mathcal{M} + \text{Det}^Q \mathcal{N}] \right\}
 \end{aligned}$$

$$D\mu_{N_c}(Z, \tilde{Z}) = \text{SDet}^{N_f + N_c - N_b} (1 - \tilde{Z} Z)$$

$$\mathcal{M} = \bar{\psi}_{+a}^i (1 - Z \tilde{Z})_{ab} \psi_{+b}^j$$

$$\mathcal{N} = \bar{\psi}_{-a}^i (1 - \tilde{Z} Z)_{ab} \psi_{-b}^j$$

Z, \tilde{Z} parameterize $U(n_{b+}, n_{b-} | n_{f+} + n_{f-}) / [U(n_{b+} | n_{f+}) \times U(n_{b-} | n_{f-})]$

Outline of the proof for SUSY CFT

- Positive and negative particles. From operators to generators.

$$\bar{c}_A^i = \begin{pmatrix} \bar{b}_+^i \\ \bar{f}_+^i \\ b_-^i \\ f_-^i \end{pmatrix}, \quad c_A^i = \begin{pmatrix} b_+^i \\ f_+^i \\ -\bar{b}_-^i \\ \bar{f}_-^i \end{pmatrix} \longrightarrow E_{AB}^{ij} = \begin{pmatrix} \bar{b}_{+a}^i b_{+b}^j & \bar{b}_{+a}^i f_{+b}^j & -\bar{b}_{+a}^i \bar{b}_{-b}^j & \bar{b}_{+a}^i \bar{f}_{-b}^j \\ \bar{f}_{+a}^i b_{+b}^j & \bar{f}_{+a}^i f_{+b}^j & -\bar{f}_{+a}^i \bar{b}_{-b}^j & \bar{f}_{+a}^i \bar{f}_{-b}^j \\ b_{-a}^i b_{+b}^j & b_{-a}^i f_{+b}^j & -b_{-a}^i \bar{b}_{-b}^j & b_{-a}^i \bar{f}_{-b}^j \\ f_{-a}^i b_{+b}^j & f_{-a}^i f_{+b}^j & -f_{-a}^i \bar{b}_{-b}^j & f_{-a}^i \bar{f}_{-b}^j \end{pmatrix}$$

Lie superalgebra $gl(N_b|N_f)$, where $N_b = N_{b_+} + N_{b_-}$, $N_f = N_{f_+} + N_{f_-}$.

- Choose Hermitian basis, we get unitary representation of $U(N_{b_+}, N_{b_-} | N_{f_+} + N_{f_-})$.

$$E_{AB}^{ij} = \begin{pmatrix} BB & BF \\ FB & FF \end{pmatrix}, \quad BB = \begin{pmatrix} \bar{b}_{+a}^i b_{+b}^j & -\bar{b}_{+a}^i \bar{b}_{-b}^j \\ b_{-a}^i b_{+b}^j & -b_{-a}^i \bar{b}_{-b}^j \end{pmatrix}, \quad FF = \begin{pmatrix} \bar{f}_{+a}^i f_{+b}^j & \bar{f}_{+a}^i \bar{f}_{-b}^j \\ f_{-a}^i f_{+b}^j & f_{-a}^i \bar{f}_{-b}^j \end{pmatrix}$$

- There are two sets of subgroups here!

1. BB block $\longrightarrow U(N_{b_+}, N_{b_-})$, FF block $\longrightarrow U(N_{f_+} + N_{f_-})$.

2. B_+F_+ block $\longrightarrow U(N_{b_+}|N_{f_+})$, B_-F_- block $\longrightarrow U(N_{b_-}|N_{f_-})$.

Summary and Outlook

- The bosonic CFT for $U(N_c)$ is to be used in the duality transformation of the boson induced $U(N)$ Yang-Mills theory. While in Zirnbauer's original paper, only the $N_f = N_c$ and $2N_f < N_c$ cases are solved. **Our results apply to all values of N_f for $U(N)$ and $SU(N)$ induced theory!**
- Our result for SUSY CFT is aimed at boson induced $SU(N)$ Yang-Mills gauge theory. And the $U(N)$ theory corresponds to $Q=0$.
- Super symmetry can cue the divergent problem of the first method.
 $N_{fermion} + N_c - N_{boson} \geq 0$, which is well satisfied in the boson induced theory!
- Currently we have met a problem in constructing the color-neutral projector with generalized super-coherent states. That is about **Schur's lemma for infinity dimensional unitary representation of non-compact supergroup**. This may be caused by **boundary terms** since we are integrating over non-compact supermanifold.
- Due to the difference between representation theory of classical and super group, **the character expansion method may not work for SUSY**.