

# Fluctuation-induced forces in confined He and Bose gases

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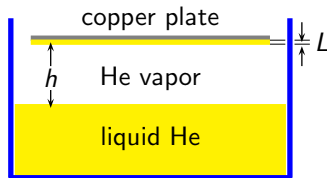
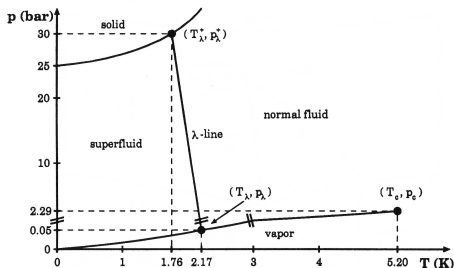
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**Collaborators:** S. Rutkevich, U. Duisburg-Essen  
M. Hasenbusch, Institut für Physik, HU Berlin  
A. Hucht, F. Schmidt, D. Grüneberg U. Duisburg-Essen

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*PRE* **91**, 062114 (2015); *JPA Math & Theor.* **48**, 375201 (2015); and to be published

# Thinning of $^4\text{He}$ wetting films



$$\underbrace{mgh}_{\text{gravitation}} = \underbrace{\frac{\gamma_{\text{vdW}}}{L^3}}_{\text{van der Waals}} \underbrace{\frac{1}{1 + L/L_{1/2}}}_{\text{retardation}} + \underbrace{v k_B T \frac{\vartheta(x)}{L^3}}_{\text{"Casimir"}}$$

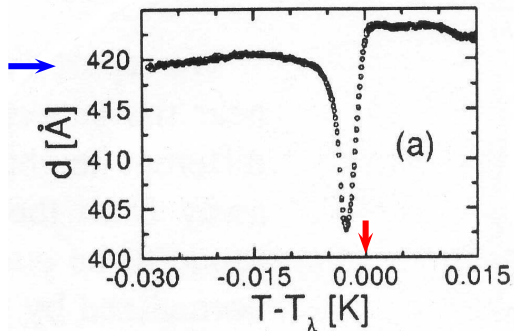
$$f_L \equiv \lim_{A \rightarrow \infty} \beta F(T, L)/A = L f_b(T) + f_s(T) + f_{\text{res}}(T, L)$$

$$f_{\text{res}}(T, L) = L^{-(d-1)} \Theta(x), \quad x = t(L/\xi_+)^{1/\nu}$$

$$\underbrace{\beta \mathcal{F}_C(T, L)}_{\text{fluctuation-induced force}} = -\partial_L f_{\text{res}}(T, L) = L^{-d} \vartheta(x)$$

fluctuation-induced force

# Thinning of $^4\text{He}$ films near $T_\lambda$



Experiment:

Garcia & Chan, *PRL*  
**83**, 1187 (1999)

- Theory: ?
  - Krech & Dietrich 1991/92:  $T \geq T_\lambda$ ,  $\epsilon$  expansion
  - Li & Kardar 1991:  $T \ll T_\lambda$  (noninteracting Goldstone modes)
  - Zandi, Rudnick & Kardar 2004: interface fluctuations
  - Monte Carlo simulations: A. Hucht (PRL 2007);  
Vasilyev, Gambassi, Maciołek & Dietrich (EPL 2007);  
M. Hasenbusch JSTAT 2009, PRB 2010

# Thinning of $^4\text{He}$ wetting layers

(iii)  $\updownarrow$

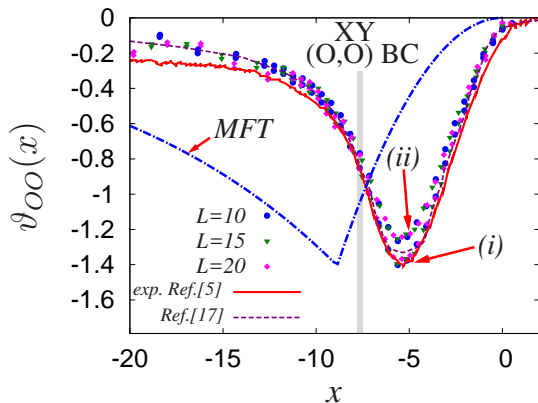


Fig 4 of (ii) Vasilyev *et al*: *PRE* **79**, 041142, (2009)

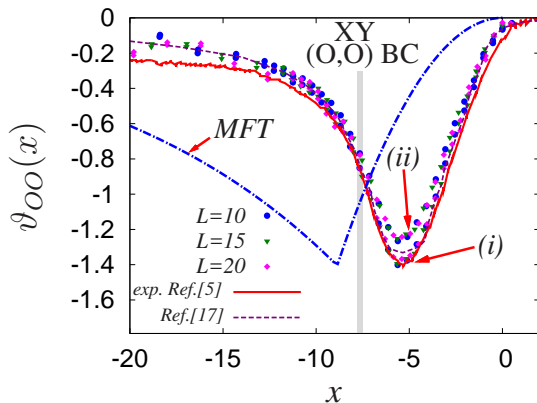
(i) A. Hucht: *PRL* **99**, 185301 (2007).

MFT: Zandi *et al*: *PRE* **76**, 030601 (2007) & (ii);

Experiments: Garcia & M.H.W. Chan: *PRL*, **83** (1999);

Ganshin, Scheidemantel, Garcia & Chan. *PRL*, **97**, 075301 (2006).

# Thinning of $^4\text{He}$ wetting layers



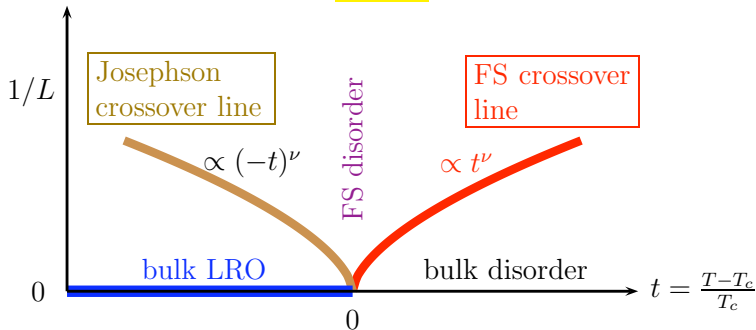
(RG-improved) MFT is **qualitatively wrong**

- predicts sharp finite- $L$  transition
- predicts **incorrect low- $T$  behavior**,  $\lim_{T \rightarrow 0} \mathcal{F}_C \rightarrow 0$   
no “nonperturbative mass generation” for finite  $L$
- predicts **jump discontinuity of  $\vartheta'(x)$  at  $x_{\min}$**

# Finite- $L$ $O(n)$ phase diagram for $d = 3$

$$\mathcal{H} = \int_{\mathbb{R}^{d-1} \times [0, L]} d^d r \left[ \frac{1}{2} (\nabla \phi)^2 + \frac{\tilde{r}}{2} \phi^2 + \frac{g}{4! n} \phi^4 \right] + \text{boundary terms}$$

$$n < \infty$$

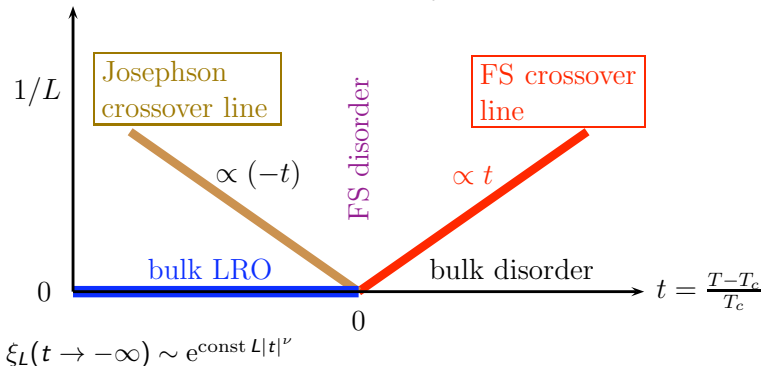


$$\xi_L(t \rightarrow -\infty) \sim e^{\text{const } L |t|^\nu}$$

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$$n = \infty; \quad \nu = \frac{1}{d-2} \stackrel{d=3}{=} 1$$



# Exact $n = \infty$ solution

- $f_L \equiv \lim_{n \rightarrow \infty} \lim_{A \rightarrow \infty} \frac{-\ln \mathcal{Z}}{An}$

$$f_L = \frac{1}{2} \int_{\mathbf{p}}^{(d-1)} \sum_{\nu} \ln(\mathbf{p}^2 + \epsilon_{\nu}) - \frac{3}{2g} \int_0^L dz [\hat{\tau} - v(z)]^2$$
$$[-\partial_z^2 + v(z)]\varphi_{\nu}(z) = \epsilon_{\nu} \varphi_{\nu}(z)$$

$$\frac{\delta f_L}{\delta v(z)} \stackrel{!}{=} 0 \Rightarrow \hat{\tau} - v(z) = \underbrace{\bigcirc}_{\bullet} = -\frac{g}{6} \int_{\mathbf{p}}^{(d-1)} \sum_{\nu} \frac{|\varphi_{\nu}(z)|^2}{\mathbf{p}^2 + \epsilon_{\nu}}$$

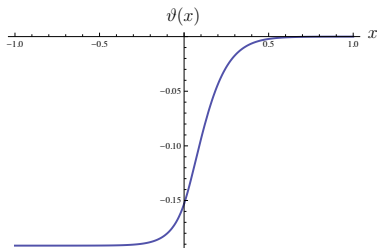
**self-consistency condition!**

- exact closed-form solution known for **periodic boundary conditions** at  $d = 3$  (Danchev 1996)



# Exact $n \rightarrow \infty$ solution?

- Yes for **periodic** boundary conditions:  $v(z) \equiv v_b$   
exact closed-form solution known for  $d = 3$  (Danchev *PRE* **53**, 2104 1996)



$\Rightarrow$  free boundary conditions important!

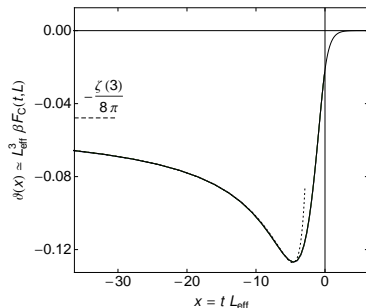
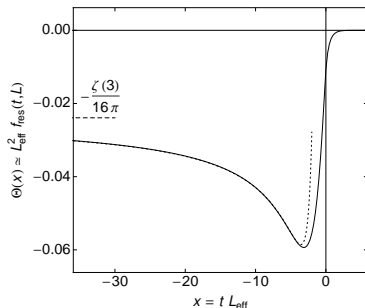
# Exact $n \rightarrow \infty$ solution?

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$\implies$  free boundary conditions important!

- **free** boundary conditions: **by numerical means**

$\implies$  “numerically exact results” for  $\Theta$  and  $\vartheta$  for  $T - T_c \gtrless 0$  and  $L < \infty$



HWD, Grüneberg, Hasenbusch, Hucht, Rutkevich, Schmidt: *EPL* **100**, 10004 (2012); *PRE* **89**, 62123 (2014); **91**, 026101 (2015)  
cf also: Danchev *et al* *PRE* **89** 04216 (2014)

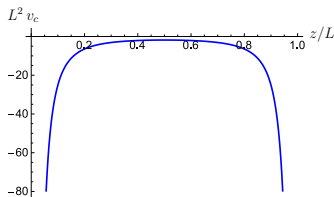
# Exact analytical solutions possible?

- Difficulty:  $v(z) = \text{singular}$  at surface planes!

$$v(z; L, t) \underset{z \rightarrow 0}{=} \underbrace{-\frac{1}{4z^2}}_{\text{Bray \& Moore '77}} + \underbrace{\frac{4t}{\pi^2 z}}_{\text{HWD \& SBR '14}} + \underbrace{\frac{56 \zeta(3)}{\pi^4} t^2}_{\text{SBR \& HWD '15 new trace formula}} + \dots$$

Proper self-adjoint extension? Several lengths:  $z$ ,  $1/|t|$ ,  $L$ !

- Critical potential:



- Distant-wall correction:

$$v(z; L, 0) \underset{z \ll L}{=} \frac{(d-3)^2 - 1}{4z^2} \left[ 1 + \underbrace{B_d (z/L)^d}_{\text{distant-wall correction}} \right]$$

BOE & SDE + results from Cardy and McAvity & Osborne  
 HWD & SBR '14

$$\implies B_3 = -\frac{1024}{\pi} \Delta_C, \quad \Delta_C = \Theta(0) = -0.01077340685024782(1)$$

# Inverse scattering approach ( $L = \infty$ )

$$\varphi(z, k) \underset{z \rightarrow \infty}{\simeq} \frac{A(k)}{k} \sin[kz + \eta(k)]$$

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- Self-consistency equation:  $\delta f[v_*, \delta v] = \int_0^\infty dz \frac{\delta f[v]}{\delta v_*(z)} \delta v(z) \stackrel{!}{=} 0$ .
- Express  $\delta v$  in terms of  $\delta \eta_\pm(k)$ ,  $\sigma_\pm(k) = \ln A_\pm(k)$ , where  $k = k/|t|$  ( $t \gtrsim 0$ ).
- $\delta \sigma_\pm(k) \xleftrightarrow{\text{Kramers-Kronig}} \delta \eta_\pm(k)$
- $\implies$  Integral equations for  $\sigma_\pm(k)$

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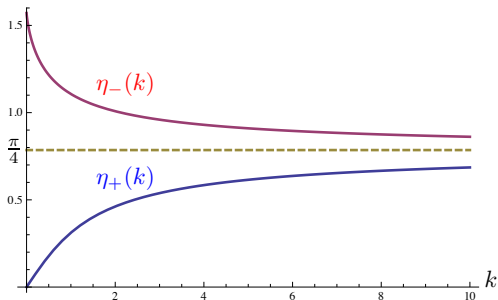
$$A_+(k) = \sqrt{\frac{k}{\arctan k}}, \quad \eta_+(k) = \int_0^\infty du \frac{2 \arctan(k \tanh u)}{4u^2 + \pi^2},$$

$$A_-(k) = \frac{|k|}{\sqrt{1 + \pi|k|/2}},$$

$$\eta_-(k) = \text{sgn}(k) \left\{ \frac{\pi}{2} + \frac{1}{2\pi} \left[ \text{Li}_2\left(-\frac{\pi|k|}{2}\right) - \text{Li}_2\left(\frac{\pi|k|}{2}\right) - \ln\left(\frac{\pi|k|}{2}\right) \ln\frac{2 - \pi|k|}{2 + \pi|k|} \right] \right\}$$

- $\implies$  **Jost functions exactly known!**
- $v(z)$  could be reconstructed from scattering data (Povzner, Levitan, Marchenko integral equation)

# Exact phase shifts for all temperature values $t \gtrless 0$



**Figure:** Phase shifts  $\eta_-(k)$  for  $t < 0$  (red) and  $\eta_+(k)$  for  $t \geq 0$  (blue), and  $t = 0$  (yellow)

S. B. Rutkevich and H. W. Diehl, *PRE* **91**, 062114 (2015)

# Other exact analytical results

- Exact two-point correlation functions  $G^{(2)}(\mathbf{y}, z, z'; \infty, m)$ ,  $G^{(0,2)}(\mathbf{y}; \infty, m)$ , and bulk correlation function  $G_b^{(2)}(\mathbf{x}; m)$ ,  $z_{\pm} \equiv z \pm z'$  and  $\mathbf{x} = (\mathbf{y}, z)$ .

	$G^{(2)}(\mathbf{y}, z, z')$	$G^{(0,2)}(\mathbf{y})$	$G_b^{(2)}(\mathbf{x})$
$t > 0$		$\frac{1}{2\pi y^2} e^{-t\mathbf{y}}$	$\frac{1}{4\pi x} e^{-tx}$
$t = 0$	$\frac{\sqrt{zz'}}{2\pi \sqrt{(y^2+z_+^2)(y^2+z_-^2)}}$	$\frac{1}{2\pi y^2}$	$\frac{1}{4\pi x}$
$t < 0$		$\frac{1}{2\pi y^2} + \frac{ t }{2\pi y} + \frac{t^2}{4\pi}$	$\frac{1}{4\pi x} + \frac{ t }{4\pi}$

- Thermal singularity of surface free energy:  $\implies \vartheta''(0) = -\pi^{-3}$ .
- Universal amplitude difference of surface free energy
- Properly defined excess order parameter

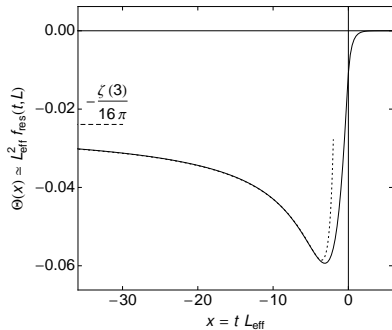


# Exact $n = \infty$ Casimir force scaling function for free boundary conditions and $d = 3$

- introduce  $x = [L/\xi_b^+]^{1/\nu} \tau = \frac{24\pi\tau}{g} L = tL$  with  $\nu = \frac{1}{d-2} \underset{d=3}{=} 1$

- selfconsistent equations (A):  $t = \langle z | \ln \mathbf{H} | z \rangle$

$$f_{\text{ex}}(L, t) = \frac{1}{8\pi} \text{Tr}[\mathbf{H}(1 + t - \ln \mathbf{H})] - L \frac{t}{4\pi} - L \underbrace{\frac{\theta(t)}{4\pi} [\sinh(t) - t]}_{=f_b(t)}$$



- $L = 2^5, \dots, 2^8$
- data collapse in scaling plot

- low- $T$  behavior:

$$d_1 = 2 \left[ \gamma_E + \ln \frac{4}{\pi} \right] - 1 - 2 \frac{\zeta'(3)}{\zeta(3)} \\ = 0.967205644660601 \dots$$

$$\Theta(x) \underset{x \rightarrow -\infty}{\approx} -\frac{\zeta(3)}{16\pi} \left[ 1 + \frac{d_1 + 2 \ln |x|}{|x|} + o(|x|^{-1}) \right]$$

- Inverse-Scattering Approach + Matched semi-classical expansions  $\implies$

- 1 Exact  $x \rightarrow -\infty$  asymptotic behavior of  $\Theta(x)$  &  $\vartheta(x)$

$$\Theta(x) \underset{x \rightarrow -\infty}{\simeq} -\frac{\zeta(3)}{16\pi} \left[ 1 + \frac{d_1 + 2 \ln |x|}{|x|} + o(|x|^{-1}) \right]$$

$$d_1 = 2 \left[ \gamma_E + \ln \frac{4}{\pi} \right] - 1 - 2 \frac{\zeta'(3)}{\zeta(3)} = 0.967205644660601 \dots$$

- 2 Exact  $x \rightarrow -\infty$  asymptotic behavior of scaled eigenstates and eigenfunctions

$$E_1(x) \underset{x \rightarrow -\infty}{=} (e/\pi) |x| e^{-|x| + o(1/|x|^0)}$$

$$E_{\nu > 1}(x) \underset{x \rightarrow -\infty}{=} \pi^2 (\nu - 1)^2 \left[ 1 + 2|x|^{-1} \ln |x| \right] + O(1/|x|).$$

- Further exact results:

- 3 Thermal singularity of surface free energy:  $\implies \vartheta''(0) = -\pi^{-3}$
  - 4 Universal amplitude difference of surface free energy
  - 5 Properly defined excess order parameter

# Confined ideal and interacting bosons

$$\hat{H} = \frac{\hbar^2}{2m} \int_{\mathfrak{D}} [\nabla \hat{\psi}^\dagger(\mathbf{x})] \nabla \hat{\psi}(\mathbf{x}) + \frac{1}{2} \int_{\mathfrak{D} \times \mathfrak{D}} \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{x}') u(\mathbf{x} - \mathbf{x}') \hat{\psi}(\mathbf{x}') \hat{\psi}(\mathbf{x})$$

- usual choice:  $u(\mathbf{x}) = \tilde{u} \delta(\mathbf{x})$
- commutation relations:

$$[\hat{\psi}(\mathbf{x}), \hat{\psi}^\dagger(\mathbf{x}')] = \delta(\mathbf{x} - \mathbf{x}'), \quad [\hat{\psi}(\mathbf{x}), \hat{\psi}(\mathbf{x}')] = [\hat{\psi}^\dagger(\mathbf{x}), \hat{\psi}^\dagger(\mathbf{x}')] = 0.$$

- boundary conditions (BC = per, ap, DD, NN, DN, R):

$$\hat{\psi}^{\text{BC}}(\mathbf{r}, z) = \sum_k \mathfrak{h}_k^{\text{BC}}(z) \int \frac{d^{d-1} \mathbf{p}}{(2\pi)^{(d-1)/2}} e^{i\mathbf{p} \cdot \mathbf{r}} b_{\mathbf{p}, k}$$

$$\mathfrak{h}_k^{\text{DN}}(0) = 0, \quad \partial_z \mathfrak{h}_k^{\text{DN}}(L) = 0, \quad (\partial_z - c_1) \mathfrak{h}_k^{\text{R}}(0) = 0$$

- 1 ideal:  $u(\mathbf{x}) = 0$
- 2 imperfect: PE  $\approx a \frac{N(N-1)}{2V} = a \frac{N^2}{2V} [1 + O(N^{-1})]$
- 3  $n$  internal degrees of freedom:

$$\hat{H} = \frac{\hbar^2}{2m} \int_{\mathfrak{R}^3} [\nabla \hat{\psi}_\alpha^\dagger(\mathbf{x})] \nabla \hat{\psi}_\alpha(\mathbf{x}) + \frac{\dot{u}}{2n} \int_{\mathfrak{R}^3} \hat{\psi}_\alpha^\dagger(\mathbf{x}) \hat{\psi}_\beta^\dagger(\mathbf{x}) \hat{\psi}_\beta(\mathbf{x}) \hat{\psi}_\alpha(\mathbf{x})$$

- commutation relations:

$$[\hat{\psi}_\alpha(\mathbf{x}), \hat{\psi}_\beta^\dagger(\mathbf{x}')] = \delta_{\alpha\beta} \delta(\mathbf{x} - \mathbf{x}'), \quad [\hat{\psi}_\alpha(\mathbf{x}), \hat{\psi}_\beta(\mathbf{x})] = [\hat{\psi}_\alpha^\dagger(\mathbf{x}), \hat{\psi}_\beta^\dagger(\mathbf{x})] = 0.$$

# Ideal Bose-gas case

- grand partition sum:  $\Xi_d(T, \mu, L, L_{\parallel}) = \text{Tr} e^{-\beta(\hat{H} - \mu \hat{N})}$
- grand reduced potential per cross-sectional area  $L_{\parallel}^{d-1}$ :

$$\begin{aligned}\varphi_d^{\text{BC}}(T, \mu, L) &= - \lim_{L_{\parallel} \rightarrow \infty} \frac{1}{L_{\parallel}^{d-1}} \ln \Xi_d^{\text{BC}}(T, \mu, L, L_{\parallel}) \\ &= L\varphi_{d,b}(T, \mu) + \varphi_{d,s}^{\text{BC}}(T, \mu) + \varphi_{d,\text{res}}^{\text{BC}}(T, \mu, L)\end{aligned}$$

- length scales:

- thermal de-Broglie wavelength:  $\lambda_{\text{th}} = \hbar \sqrt{2\pi\beta/m}$

- correlation length:  $\xi = \frac{\hbar}{\sqrt{2m(-\mu)}}$

$$\varphi_{d,\text{res}}^{\text{BC}}(T, \mu, L) = L^{-(d-1)} \Upsilon_d^{\text{BC}}(L/\lambda_{\text{th}}, L/\xi)$$

$$\begin{aligned}\varphi_{d,b}(T, \mu) &= -\lambda_{\text{th}}^{-d} \text{Li}_{\frac{d+2}{2}}(e^{-\hbar^2/2m\xi^2}) \\ &= -\varphi_{d,s}^{\text{NN}}(T, \mu) = \frac{1}{2} \lambda_{\text{th}}^{-(d-1)} \text{Li}_{\frac{d+1}{2}}(e^{-\lambda_{\text{th}}^2/4\pi\xi^2})\end{aligned}$$

# Ideal Bose-gas case II

- residual grand potential:

$$\varphi_{d,\text{res}}^{\text{BC}}(T, \mu, L) = L^{-(d-1)} \Upsilon_d^{\text{BC}}(L/\lambda_{\text{th}}, L/\xi)$$

- $d > 2 \implies T_c > 0$ : quantum effects **should not matter in critical regime!**

$$\Upsilon_d^{\text{BC}}(x_\lambda, x_\xi) \underset{x_\lambda \rightarrow \infty}{\approx} \Upsilon_d^{\text{BC}}(\infty, x_\xi) = \underbrace{\Theta_d^{\text{BC}}(x_\xi)}_{O(2) \text{ free field theory}}$$

$d = 3$ : Martin & Zagrebnev 06, Gambassi & Dietrich 06;

$d > 2$ , HWD & SBR 16

$$\Theta_3^{\text{DD}}(x_\xi) = \Theta_3^{\text{NN}}(x_\xi) = -\frac{1}{8\pi} [\text{Li}_3(e^{-2x_\xi}) + 2x_\xi \text{Li}_2(e^{-2x_\xi})]$$

- critical exponents?  $\nu_{\text{bg}} = \frac{1}{d-2} \neq \nu_G = \frac{1}{2}$ ,  $\eta_{\text{bg}} = 0$

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- critical exponents?  $\nu_{\text{bg}} = \frac{1}{d-2} \neq \nu_G = \frac{1}{2}$ ,  $\eta_{\text{bg}} = 0$
- density  $\rho$  fixed, not  $\mu \implies$  Fisher-renormalized exponents**

$$\nu_{\text{bg}} = \frac{\nu_G}{1 - \alpha_G} = \frac{1/2}{1 - (2 - d/2)} = \frac{1}{d-2}$$

# Imperfect Bose gas

- $\hat{H} = \int_{\mathbb{R}^{d-1} \times [0, L]} \left[ \frac{\hbar^2}{2m} \nabla \hat{\psi}^\dagger \cdot \nabla \hat{\psi} \right] + a \frac{\hat{N}^2}{2V}$
- exact solution under **periodic BC** (Jacubczyk & Napiorkowski 13)  
↔ saddle-point evaluation of contour integral
- main findings:
  - 1 critical exponents = spherical-model exponents
  - 2 Casimir amplitude  $\Delta_C^{\text{impbg}} = 2\Delta_C^{\text{sph}}$   
 $\Delta_C^{\text{sph}} = \lim_{n \rightarrow \infty} \frac{1}{n} \Delta_C^{O(n)}$
  - 3 scaling function  
 $\Theta_d^{\text{impbg}}(x_\xi) \stackrel{?}{=} 2\Theta^{\text{sph}}(x_\xi)$

Universality class?



## Answers (HWD & SBR 16):

- Imperfect BG corresponds to  $n \rightarrow \infty$  limit of  $n$ -state interacting BG

$$\hat{H} = \frac{\hbar^2}{2m} \int_{\mathfrak{R}^3} [\nabla \hat{\psi}_\alpha^\dagger(\mathbf{x})] \nabla \hat{\psi}_\alpha(\mathbf{x}) + \frac{\hat{u}}{2n} \int_{\mathfrak{R}^3} \hat{\psi}_\alpha^\dagger(\mathbf{x}) \hat{\psi}_\beta^\dagger(\mathbf{x}) \hat{\psi}_\beta(\mathbf{x}) \hat{\psi}_\alpha(\mathbf{x})$$

- Consequences:
  - UC(impbg) = UC[ $O(2\infty)$ -model]  
2 because BG order parameter  $\in \mathbb{C}$
  - holds for (leading) critical behavior of  $\varphi_{d,b}^{\text{per}}$  and  $\varphi_{d,\text{res}}^{\text{per}}$ !
  - $\implies$  natural **non-translation invariant** generalizations of imperfect BG models for BC = DD, NN, DN, R.  
Scaling functions  $\Theta_d^{\text{BC}}$  of  $O(2\infty)$  models
  - quantum fluctuations: exponentially small corrections

- 1 Classical systems:
  - large- $n$  limit of classical  $O(n)$  theory can **simultaneously handle**:
    - (i) bulk, surface, and finite-size critical behavior,
    - (ii) dimensional crossover,
    - (iii) low- $T$  Goldstone fluctuations
  - All **qualitative features** of  $\Theta(x)$  for  ${}^4\text{He}$  ( $XY$ ) case **recovered**:
  - **exact analytical results** for fluctuation-induced forces by a combination of methods
  - Full finite-size scaling functions by numerical means
- 2 Bose gases  
Imperfect BG model  $\leftrightarrow n = \infty$ -state interacting BG

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*Thank you for your attention!*