

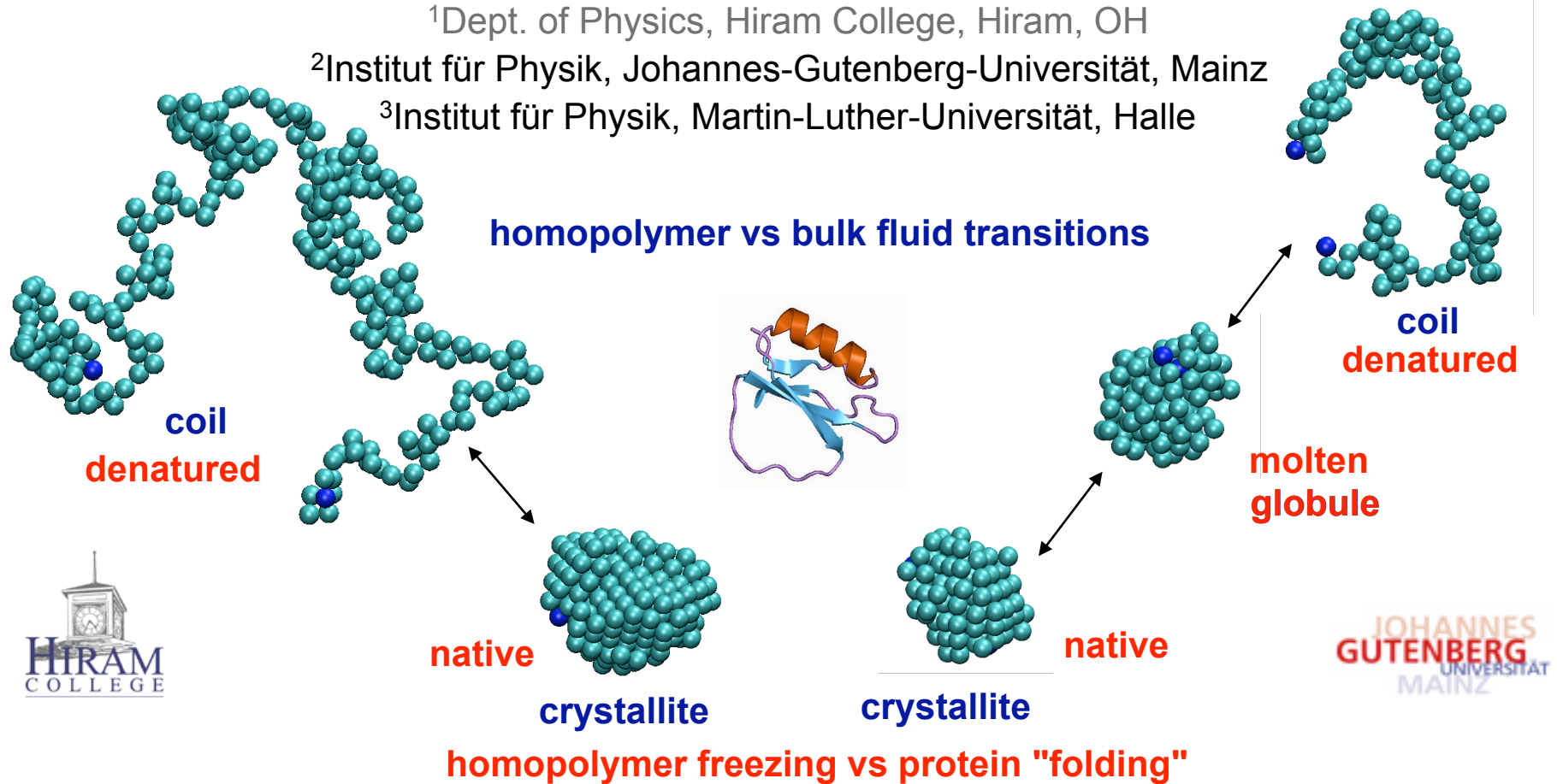
# Partition function zeros and phase transitions of a homopolymer chain

Mark P. Taylor<sup>1</sup>, Pyie Phyo Aung<sup>1</sup>, Wolfgang Paul<sup>3</sup>, and Kurt Binder<sup>2</sup>

<sup>1</sup>Dept. of Physics, Hiram College, Hiram, OH

<sup>2</sup>Institut für Physik, Johannes-Gutenberg-Universität, Mainz

<sup>3</sup>Institut für Physik, Martin-Luther-Universität, Halle

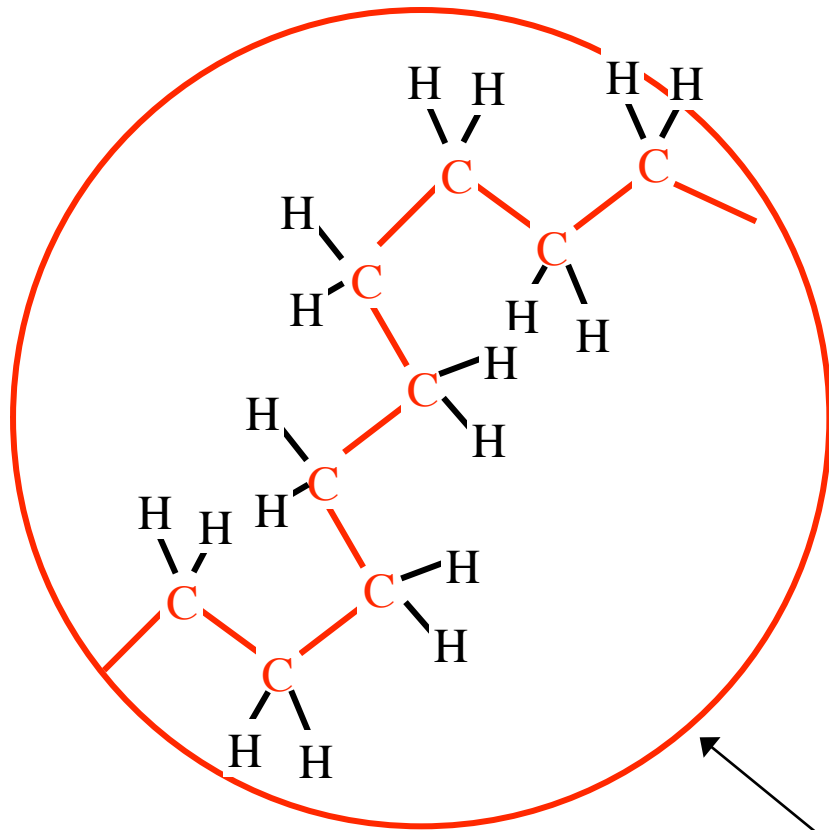


# OHIO



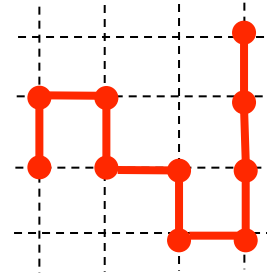
# Coarse-Grained Models of Polymers

The “real” world



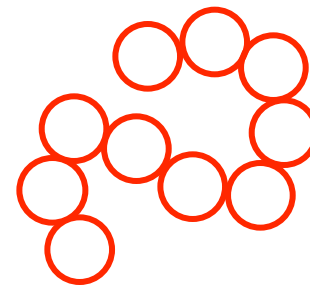
poly(ethylene)

The theorist's world



Study via  
combinatorics

Lattice Self Avoiding Walk



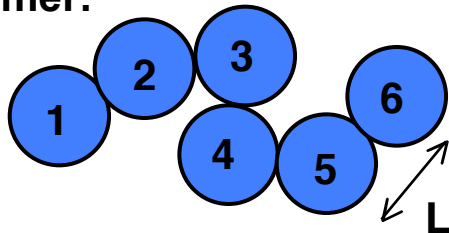
Study via methods  
of liquid-state  
physics

Continuum “pearl necklace”

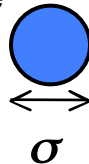
Each site or bead =  
many chemical repeat units

# SW Chain Model

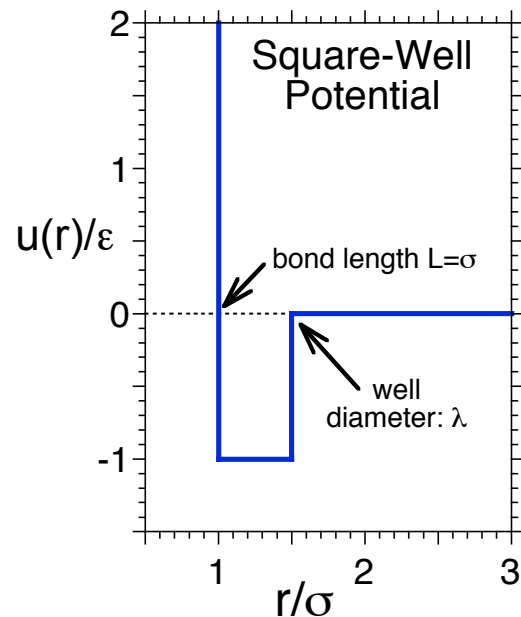
Polymer:



built from simple monomers:



monomer-monomer interaction



Model Parameters:

$\epsilon$  = well depth (sets energy scale)

$\sigma$  = hard-sphere diameter

$L$  = fixed bond length ( $L = \sigma$ )

**$\lambda$  = interaction range/ $\sigma$**

$T^* = k_B T / \epsilon$  = reduced temperature

Can study this model for a continuous range of  $\lambda$

Model has a discrete energy spectrum:  $E_n = n\epsilon$   
( $n$  = number of monomer-monomer interactions)

# Density of States and Wang-Landau Sampling I

Density of States:

$g(E_n)$  = volume of configurational phase space for energy state  $E_n$



Thermodynamics:

microcanonical entropy:

$$S(E) = k_B \ln g(E)$$

canonical partition function:

$$Z(T) = \sum g(E) \exp(-E/k_B T)$$

**Wang-Landau algorithm\*** ... an iterative simulation method to compute  $g(E_n)$ :

Starting w/  $g(E_n)=1, H(E_n)=0 \forall n, f_0 = e$

Generate sequence of chain conformations using acceptance criteria:

$$P_{acc}(a \rightarrow b) = \min\left(1, \frac{g(E_a)}{g(E_b)}\right)$$

Update DOS:  $g(E_n) \rightarrow f_m g(E_n)$

Update visitation

histogram:  $H(E_n) \rightarrow H(E_n)+1$

When histogram  $\sim$ flat ...

reduce modification factor:  $f_{m+1} = (f_m)^{1/2}$

reset histogram to zero:  $H(E_n) = 0 \forall n$

iterate  
m levels

m=20 is  
standard

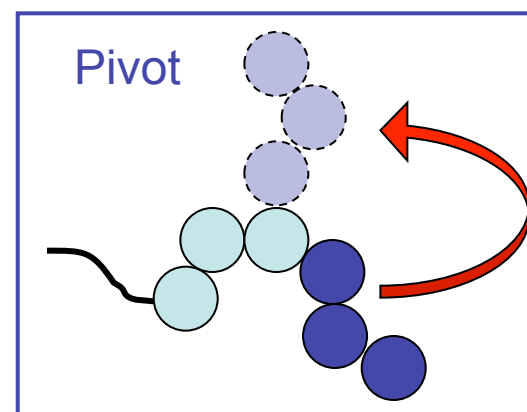
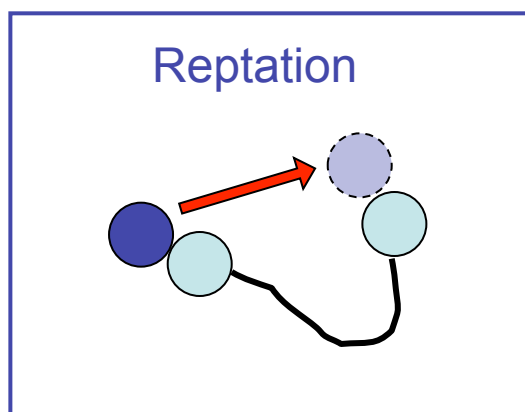
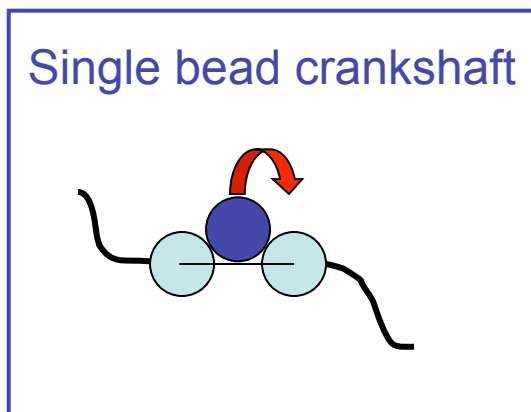
we need  
m>25

\*Wang & Landau, PRL 86, 2050 (2001); PRE 64, 056101 (2001).

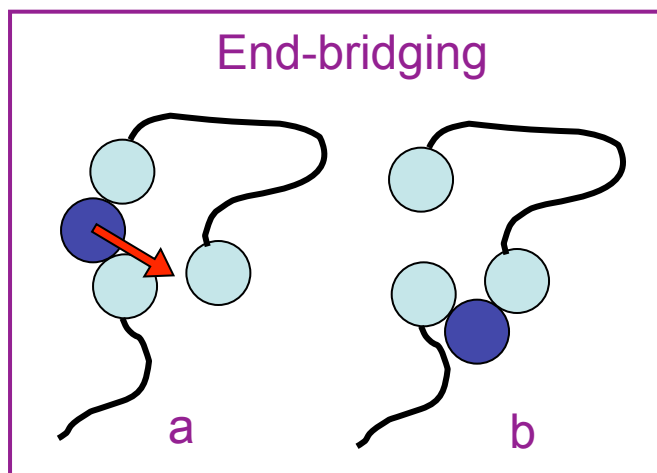
# Wang-Landau Sampling II

Success of the WL methods depends critically on underlying MC move set

These "standard" moves easily sample most of configuration space:



... However, we need **this move** to access the lowest energy regions of phase space:



This move requires weight factors in the acceptance criteria:

$$w_b = n_a J_b$$

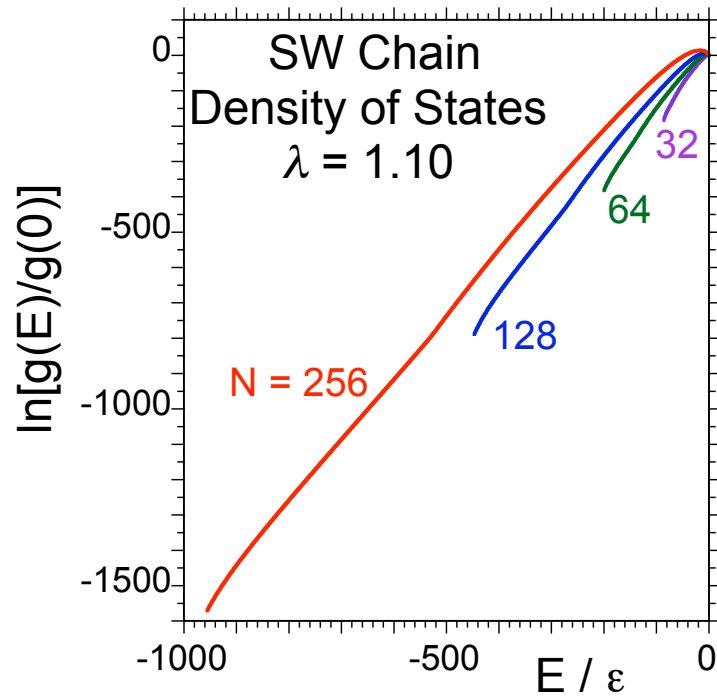
# of bridgable sites in state a

Jacobian factor for state b

Escobedo & de Pablo, JCP **102**, 2636 (1995)

# Single Chain DOS and Canonical Analysis I

Algorithm validated via comparison with exact DOS results for short ( $n \leq 6$ ) SW chains.



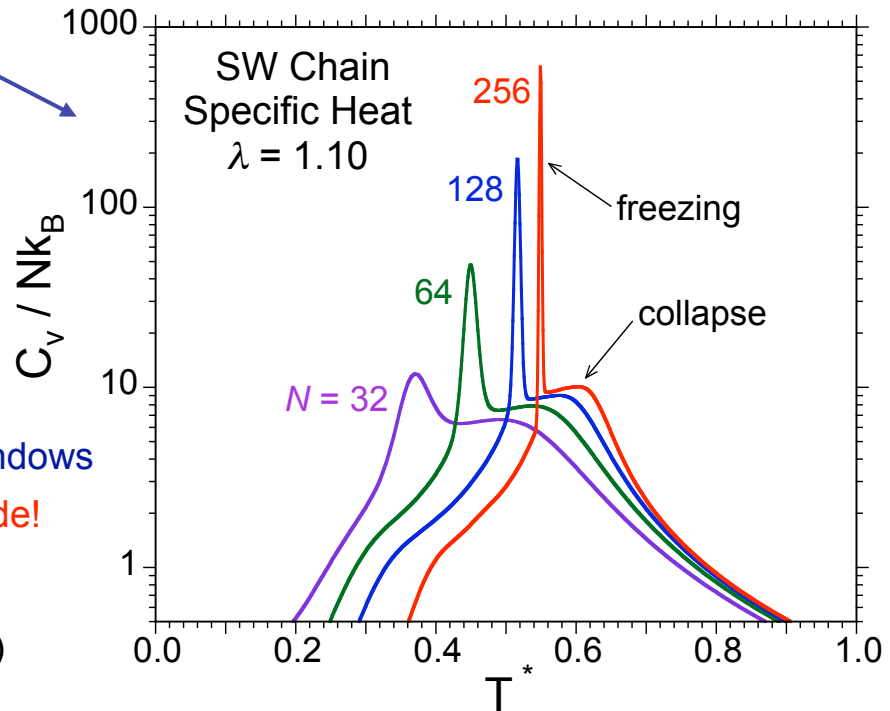
**Canonical Analysis**

**Partition Function:**  $Z = \sum g(E) e^{-E/kT}$

**Probability:**  $P(E,T) = g(E) e^{-E/kT} / Z$

**Average Energy:**  $\langle E(T) \rangle = \sum E P(E,T)$

**Specific Heat:**  $C(T) = d\langle E(T) \rangle / dT$



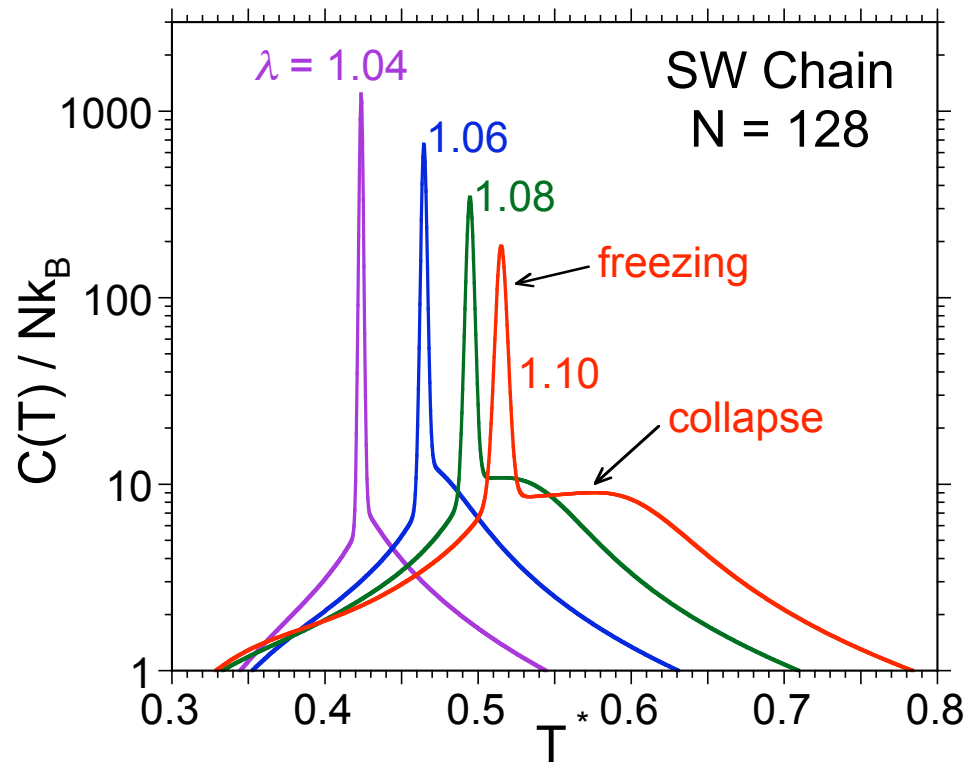
For  $N \geq 128$  sampling done in overlapping energy windows

For  $N = 256$ :  $g(E)$  spans  $\sim 700$  orders of magnitude!

Taylor, Paul, & Binder, PRE **79**, 050801(R) (2009)

## Canonical Analysis II

In the "canonical analysis", collapse and freezing specific heat peaks merge for small  $\lambda$  ...

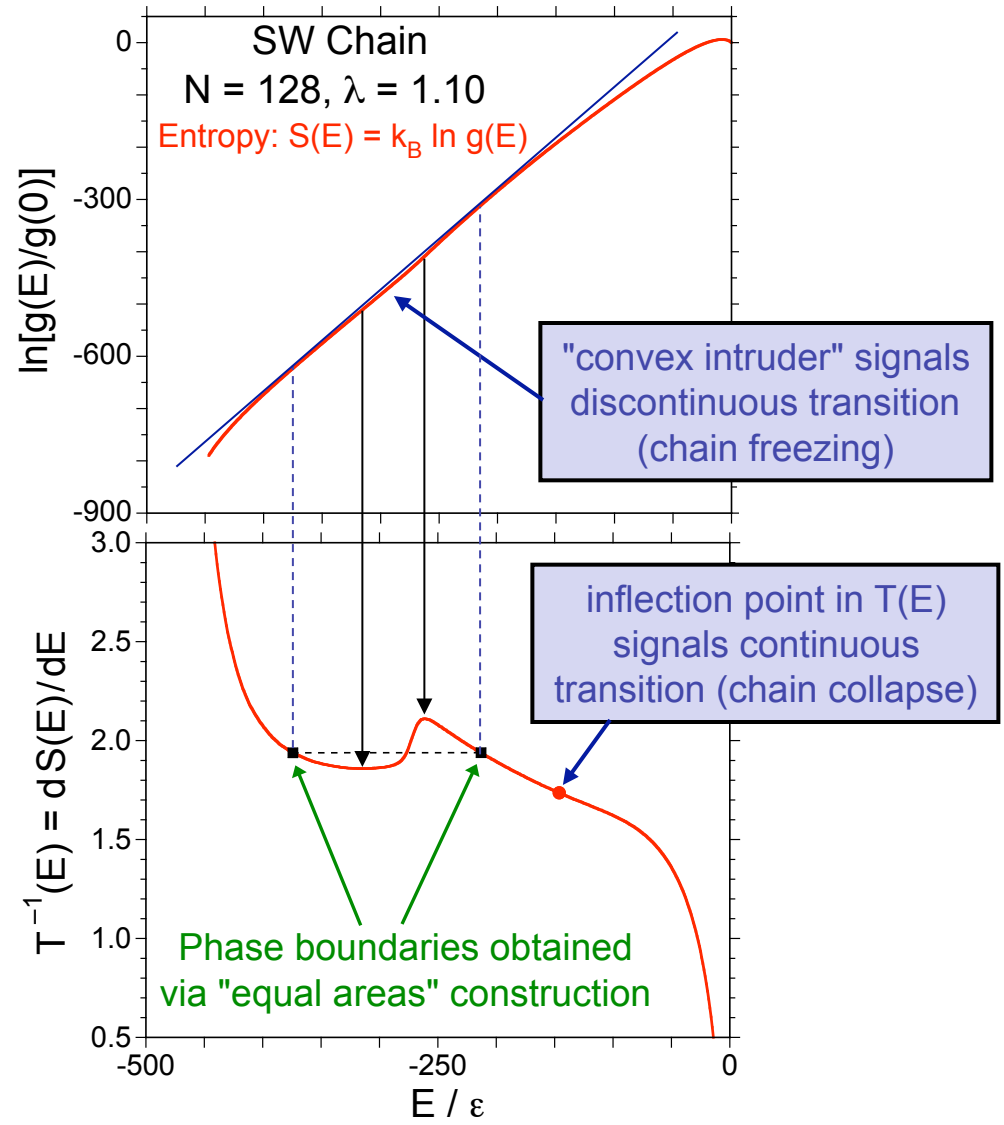
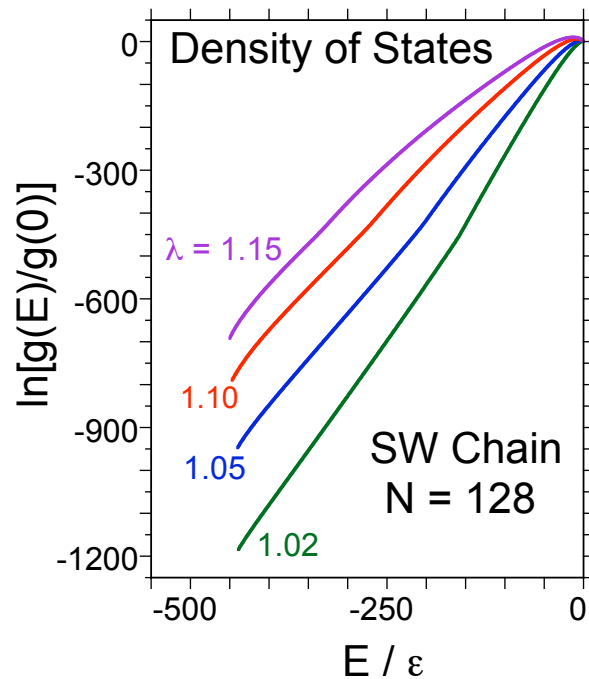


... a "microcanonical analysis" can be used to distinguish these transitions



# Microcanonical Analysis I

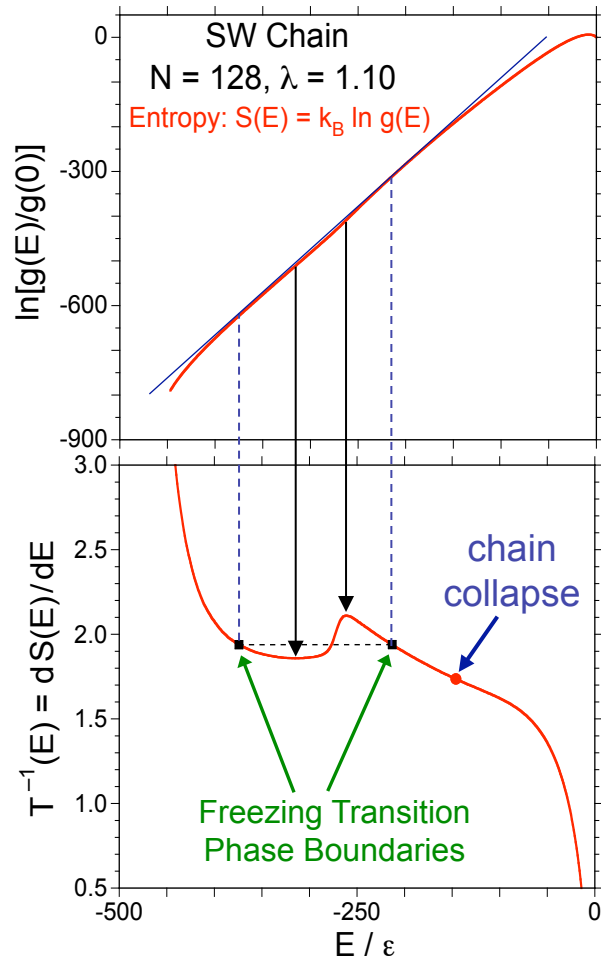
Phase transitions in a finite system determined from **curvature** of the microcanonical entropy:  
 $S(E) = k_B \ln g(E)$



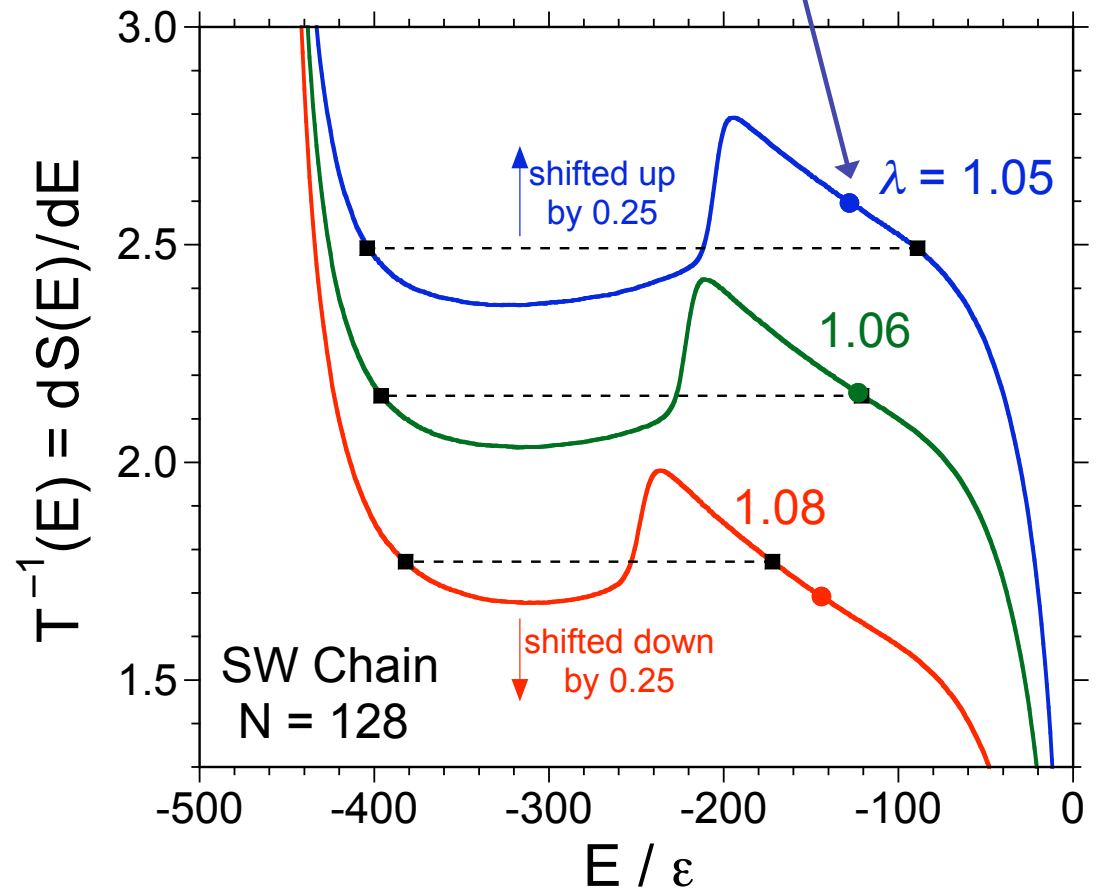
- Gross, "Microcanonical Thermodynamics" (2001)
- Behringer, Pleimling, & Huller, JPA **38**, 973 (2005)
- Junghans, Bachmann, & Janke, PRL **97**, 218103 (2006)
- Taylor, Paul, & Binder, PRE **79**, 050801(R) (2009)

# Microcanonical Analysis II

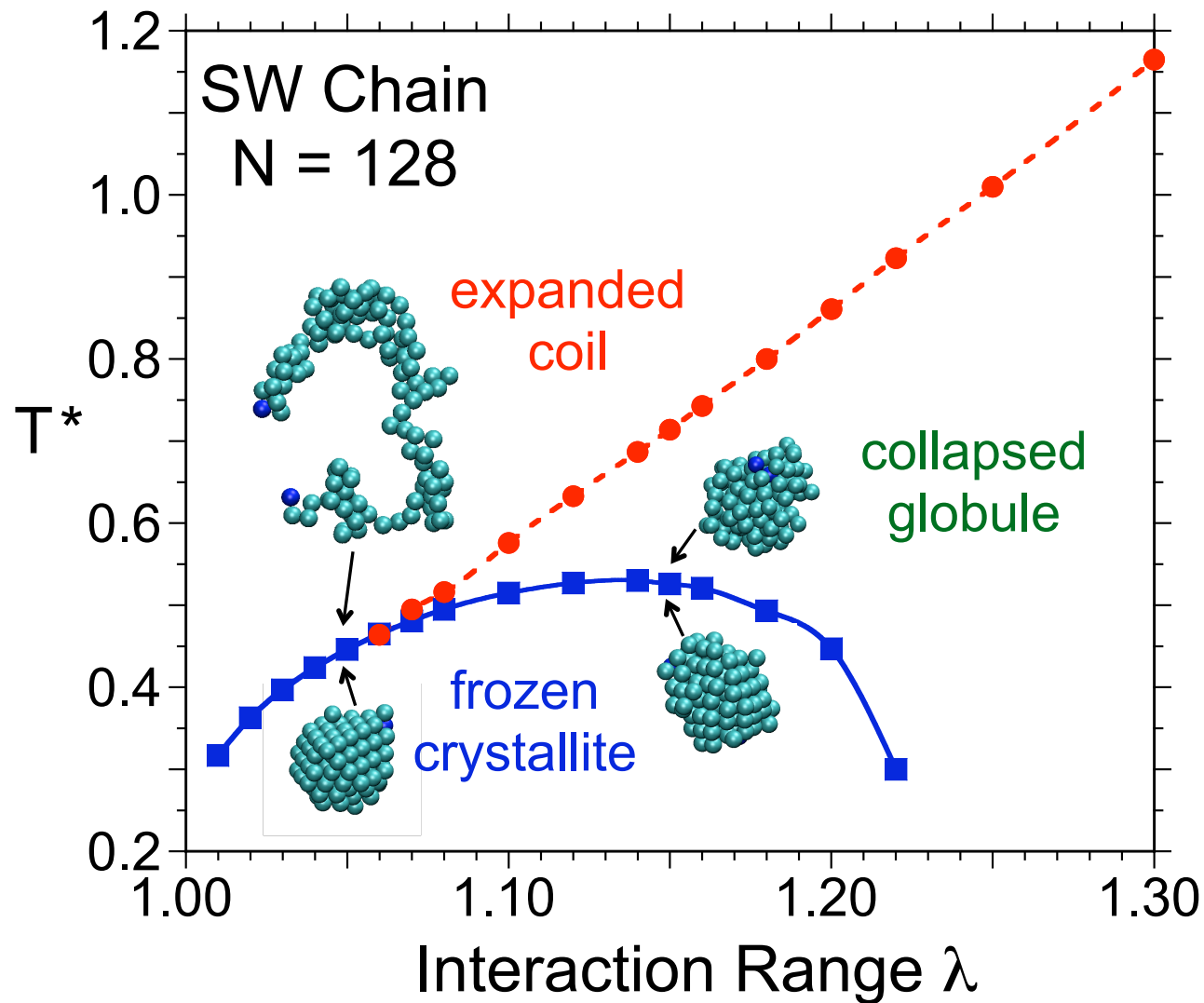
Phase transitions in a finite system determined from **curvature** of  $S(E)$



Collapse transition is preempted by freezing for short-range interaction!



# Single Chain Phase Diagram: T- $\lambda$ Version



Taylor, Paul, & Binder, J. Chem. Phys. **131**, 114907 (2009)

# Partition Function Zeros

Energy states for the SW chain:  $0, -\varepsilon, -2\varepsilon, \dots, -n_{\max}\varepsilon$

SW chain partition function is a polynomial in  $y = \exp(1/T^*)$ :

$$Z(T) = \sum g(E) e^{-E/kT} = \sum_n g_n y^n$$

or

$$Z(T) = \prod (y - y_k)$$

where  $y_k = a_k + ib_k$  are the complex roots of  $Z(T)$

Properties: real roots must be negative

complex roots come in pairs  $a \pm ib$

sum of  $\text{Re}(y_k)$  is negative, i.e.,  $\sum_k a_k < 0$

All thermodynamics can be written in terms of roots  $\{y_k\}$

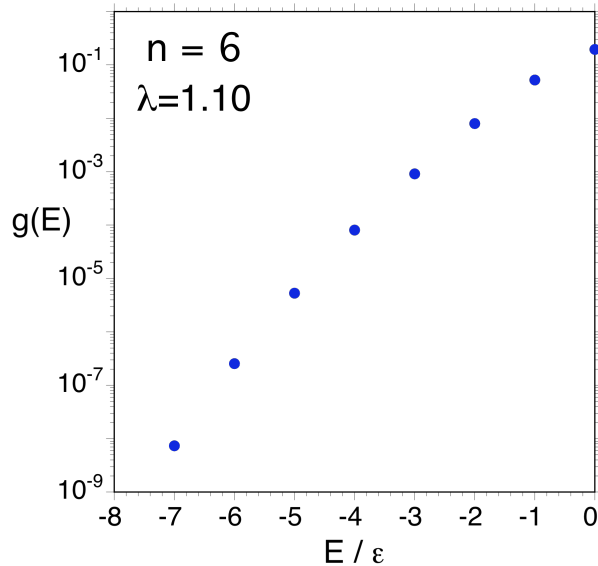
Example: Heat Capacity (physical temp. range:  $y > 1$ )

$$\frac{C(y)}{k_B} = \beta^2 \frac{\partial^2 \ln Z}{\partial \beta^2} = (\ln y)^2 \sum_{k=0}^{k_{\max}} \frac{-yy_k}{(y - y_k)^2}$$

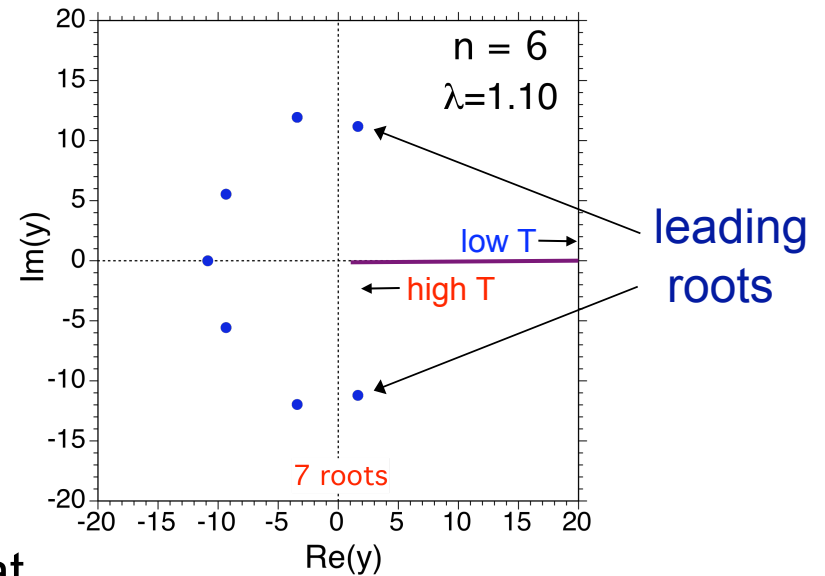
Roots near real axis contribute most

# Thermodynamics from Partition Function Zeros I

Exact Density of States\*



Partition Function Zeros



$$Z(T) = \sum_n g_n y^n$$

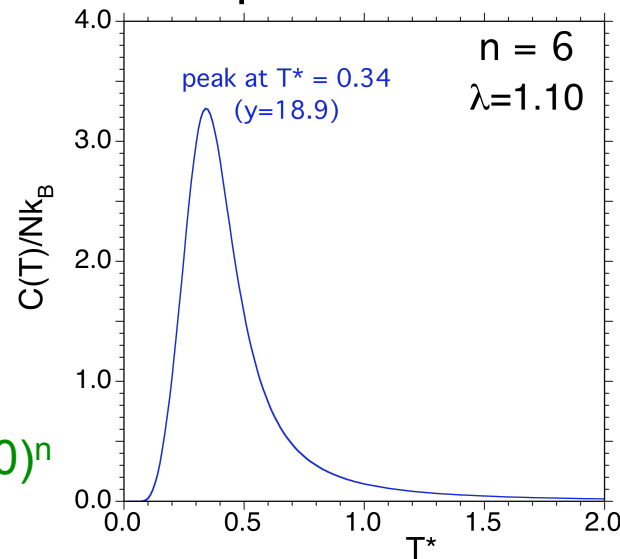
Technical note:

since  $g_n \sim 10^{-n}$

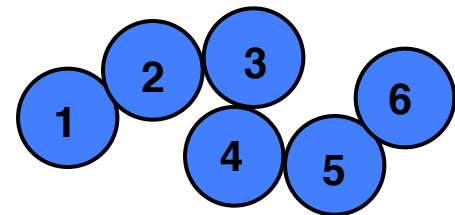
can compute roots of

$$Z(T) = \sum_n (10^n g_n) (y/10)^n$$

Specific Heat



$$Z(T) = \prod_k (y - y_k)$$

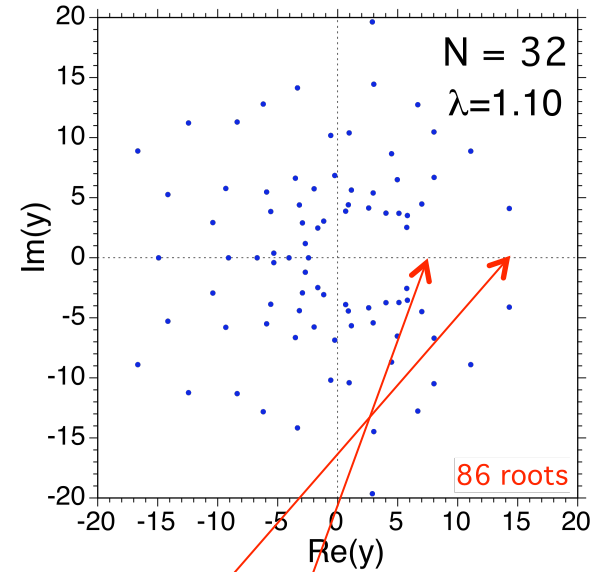
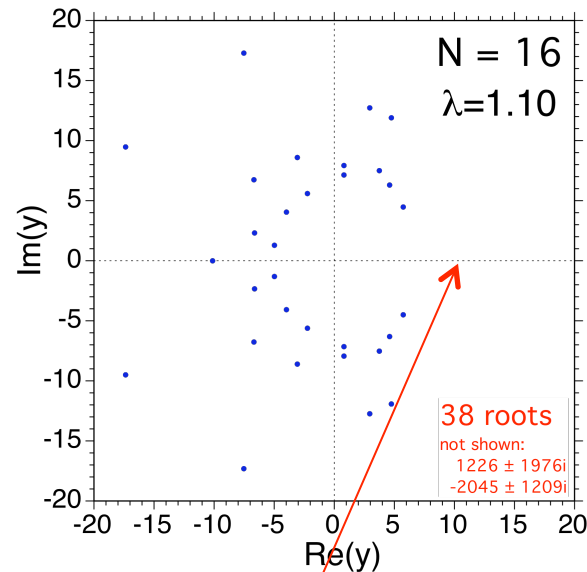


\*J. Chem Phys. **118**, 883 (2003)

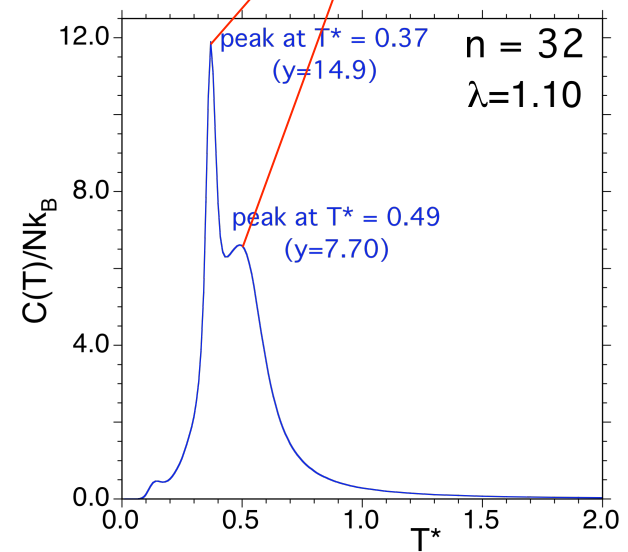
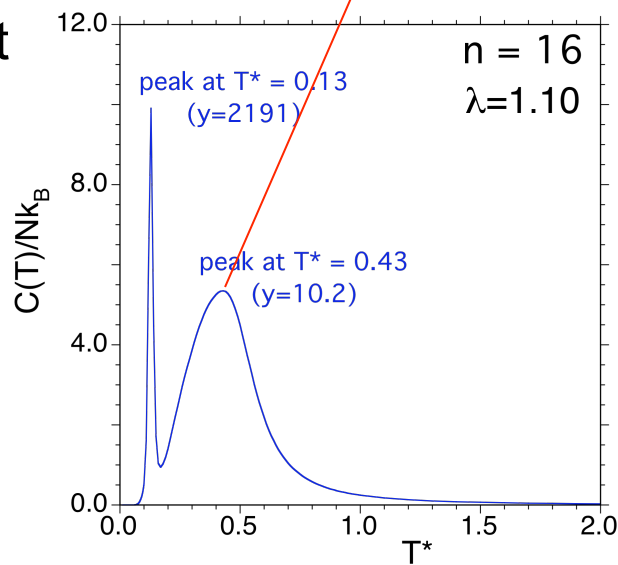
# Thermodynamics from Partition Function Zeros II

## Partition Function Zeros

$$Z(T) = \prod_k (y - y_k)$$

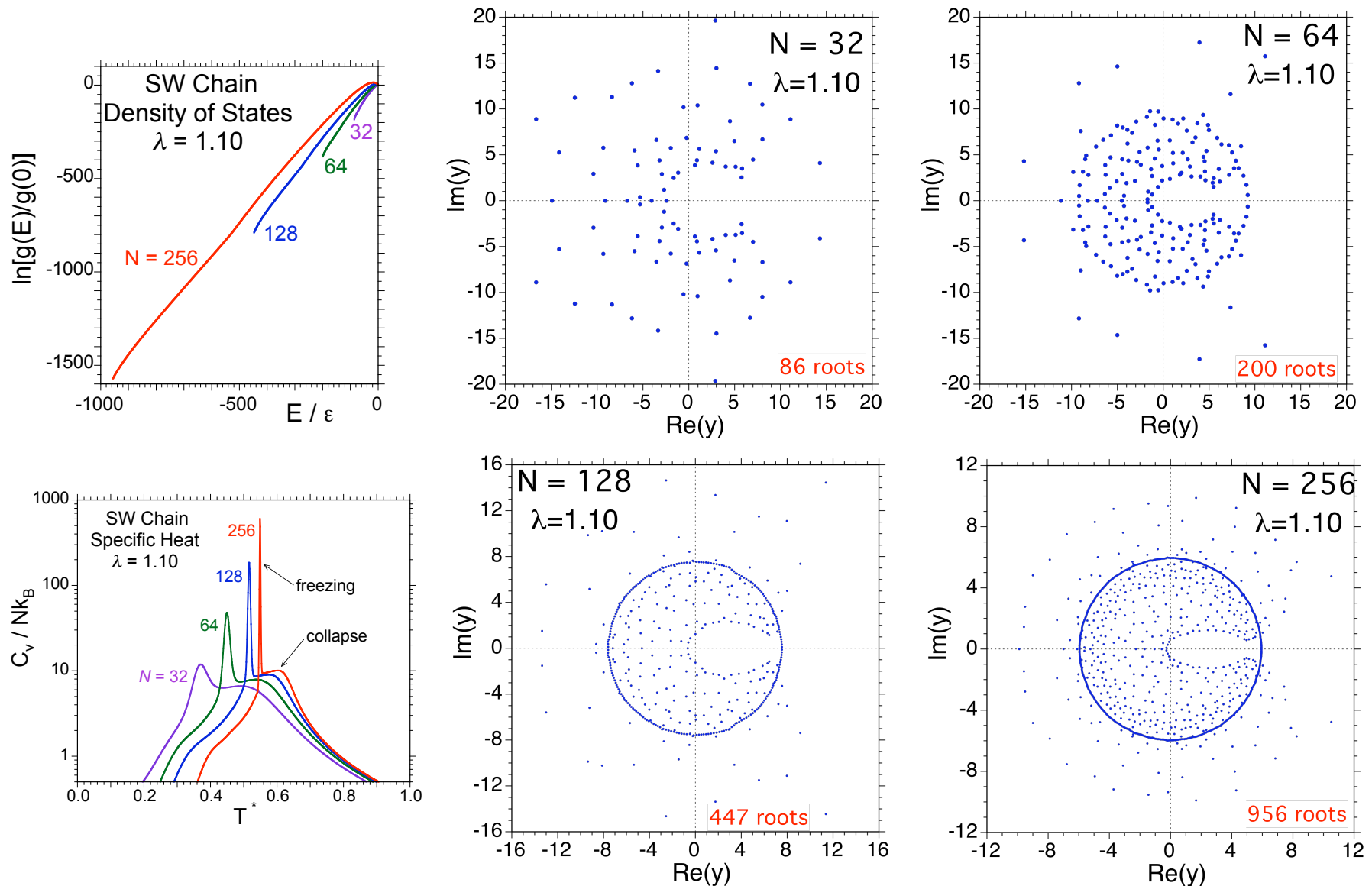


## Specific Heat



# Phase Behavior from Partition Function Zeros I

With increasing  $N$  "root maps" develop distinctive structure:



# Phase Behavior from Partition Function Zeros II

For the SW chain: root maps show distinctive signatures for transitions ...

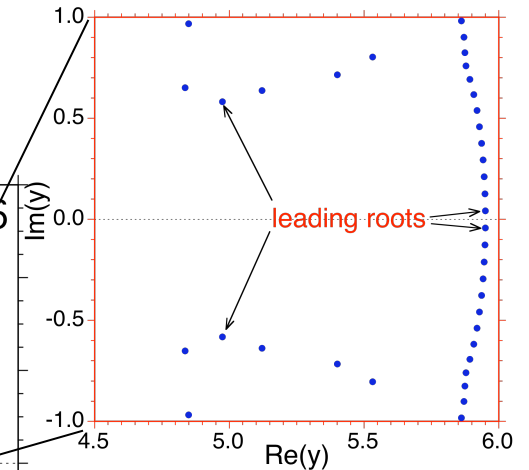
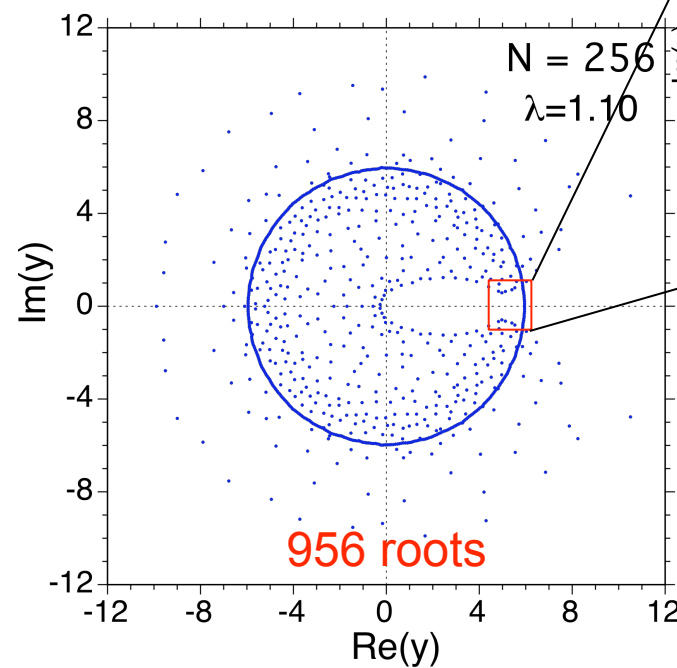
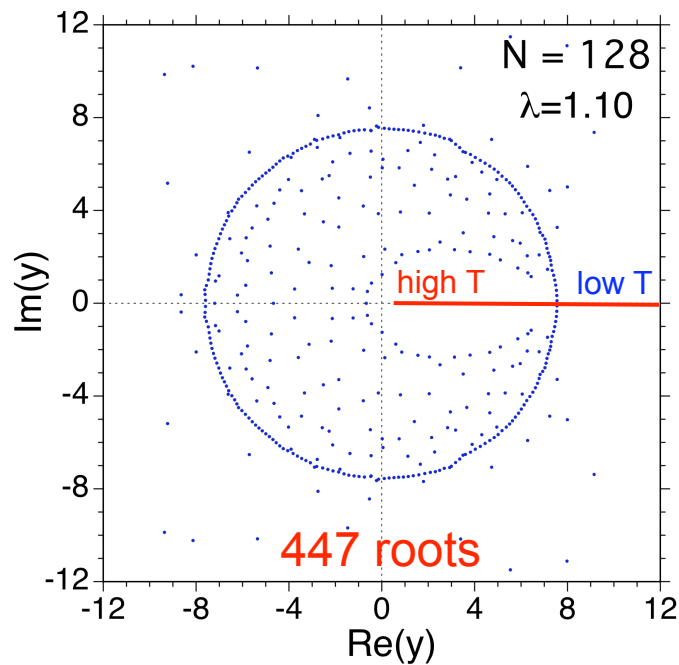
**collapse = elliptical horseshoe ring**

**freezing = circle of roots**

**With increasing N, leading roots approach the real axis**

Follows Yang-Lee behavior\*:

In the thermodynamic limit roots  $y_n$  intersect real  $y$ -axis ...  
gives rise to divergence of thermodynamic properties

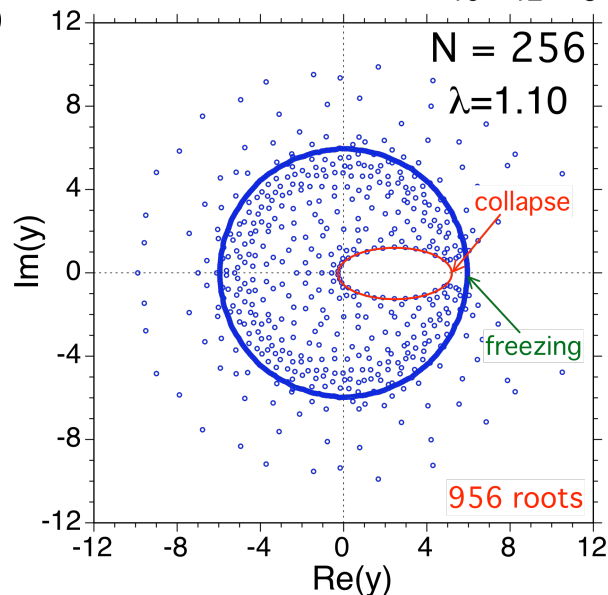
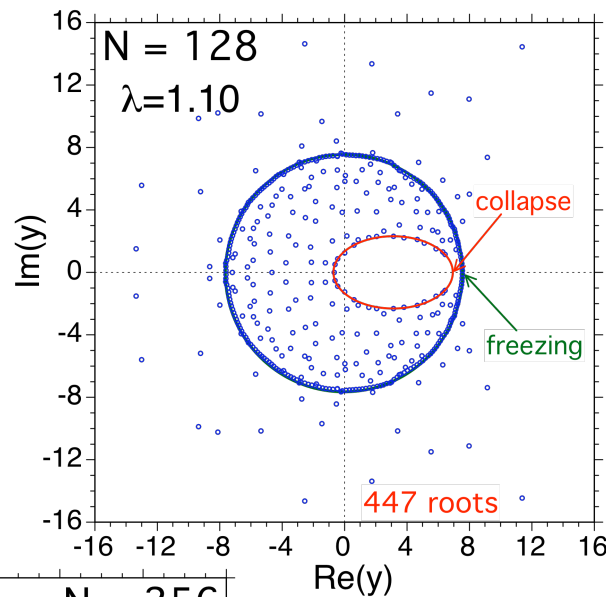
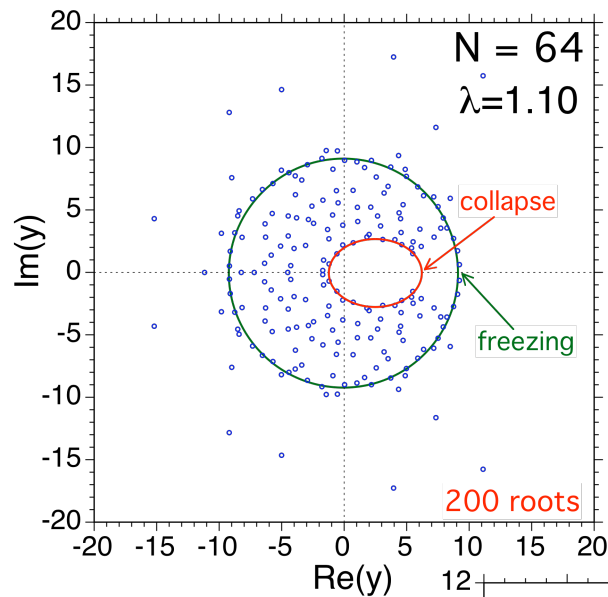


\*Yang & Lee, Phys. Rev. **87**, 404, 410 (1952).



# Using Partition Function Roots Maps I

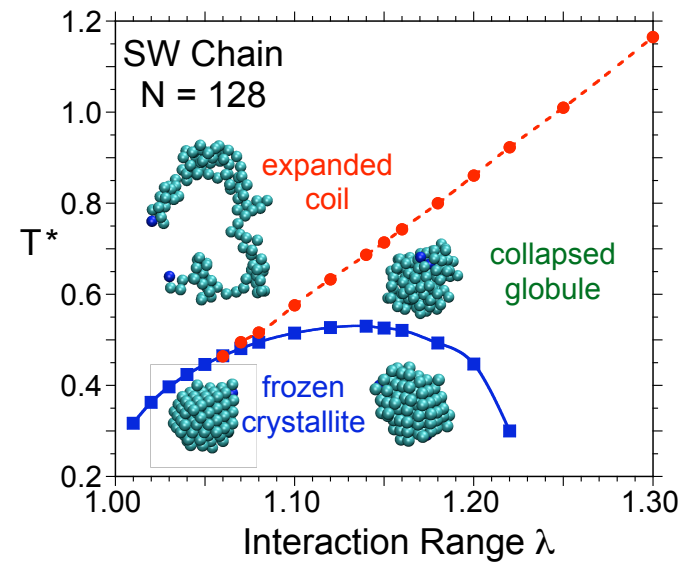
Transition temperatures for finite chains can be obtained by:  
fitting **ellipse** and **circle** to root maps



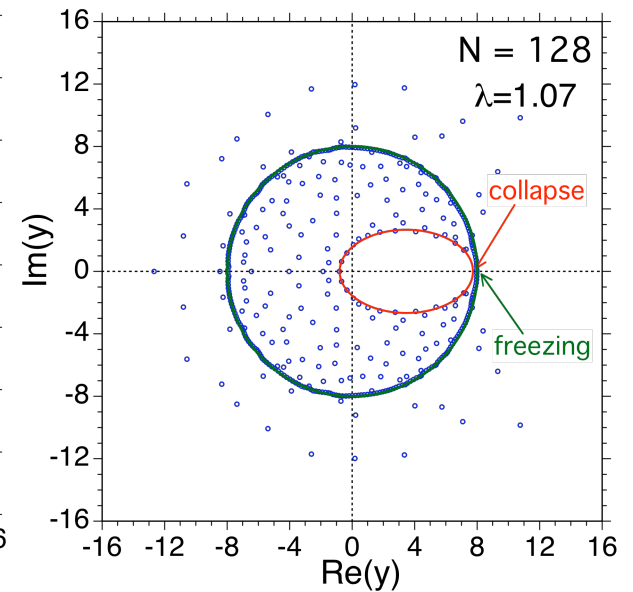
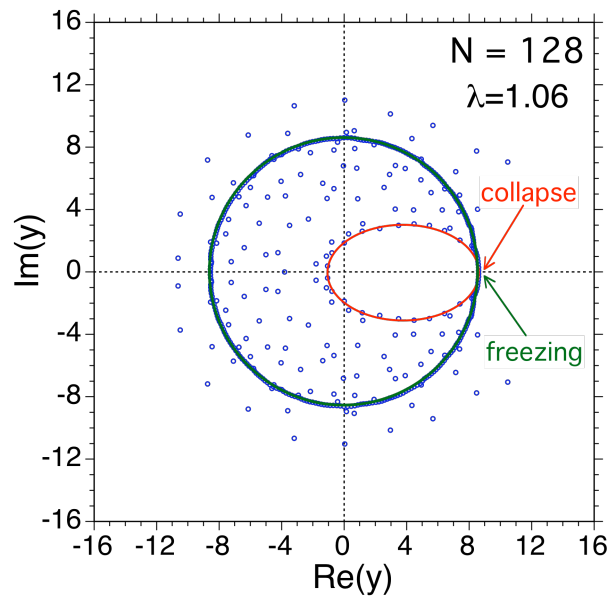
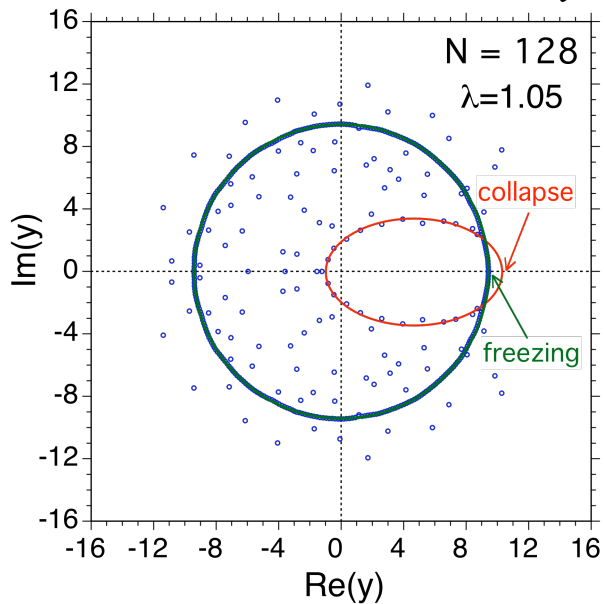
With increasing  $N$ , root density on rings increase  
... scaling of the root density can be used to determine transition strength.  
Janke & Kenna, J. Stat. Phys. **102**, 1211 (2001)

# Using Partition Function Roots Maps II

Roots maps clearly show disappearance of chain collapse for short range interactions



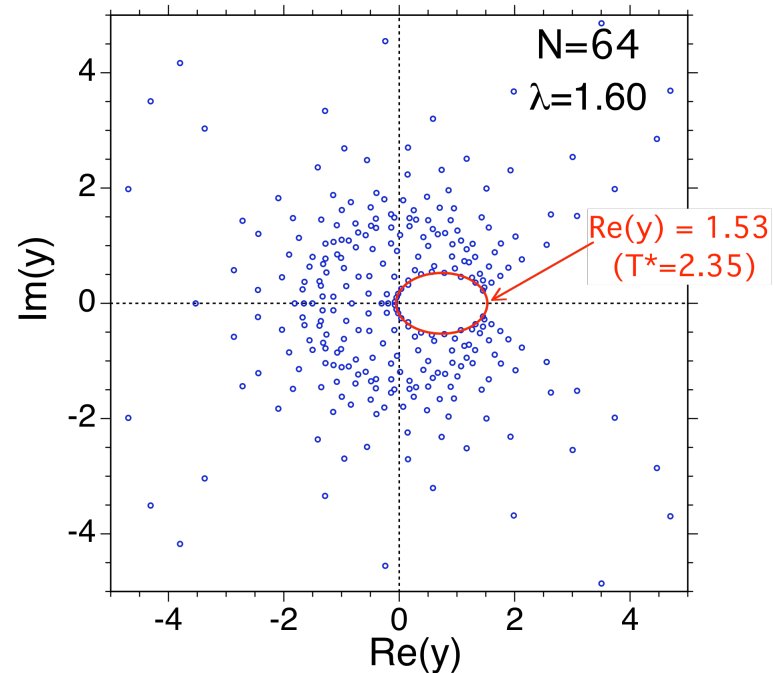
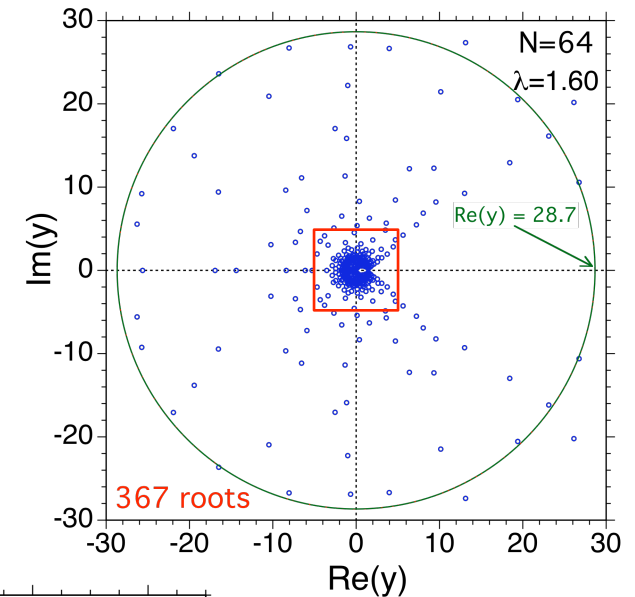
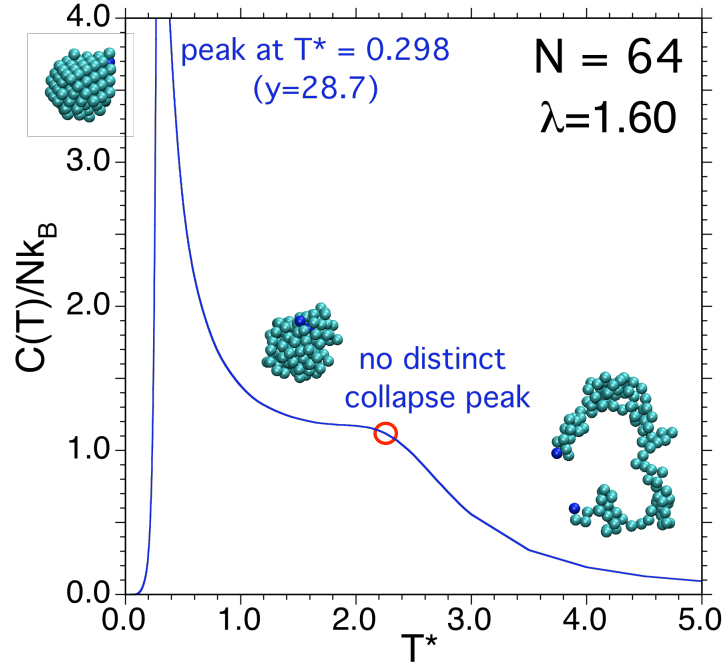
decreasing T  
→



# Using Partition Function Roots Maps III

Collapse transitions often have very weak  $C(T)$  or  $c(E)$  peaks

Root maps can precisely locate these weak transitions



# Properties of the Partition Function Zeros

## Origin of the circle:

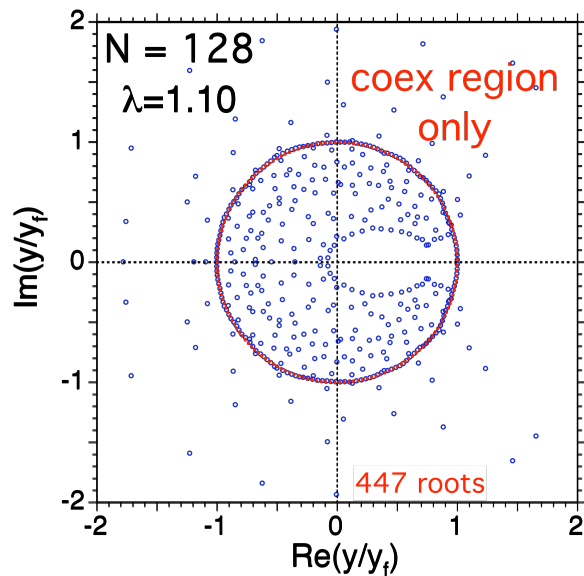
Number of roots forming circle equals number of energy states in "coexistence" region of  $S(E)$

This portion of the  $Z(T)$  polynomial can be approx. mapped onto a polynomial of the form:

$$Z = 1 + c_1 y + c_2 y^2 + \dots + c_{n-1} y^{n-1} + y^n$$

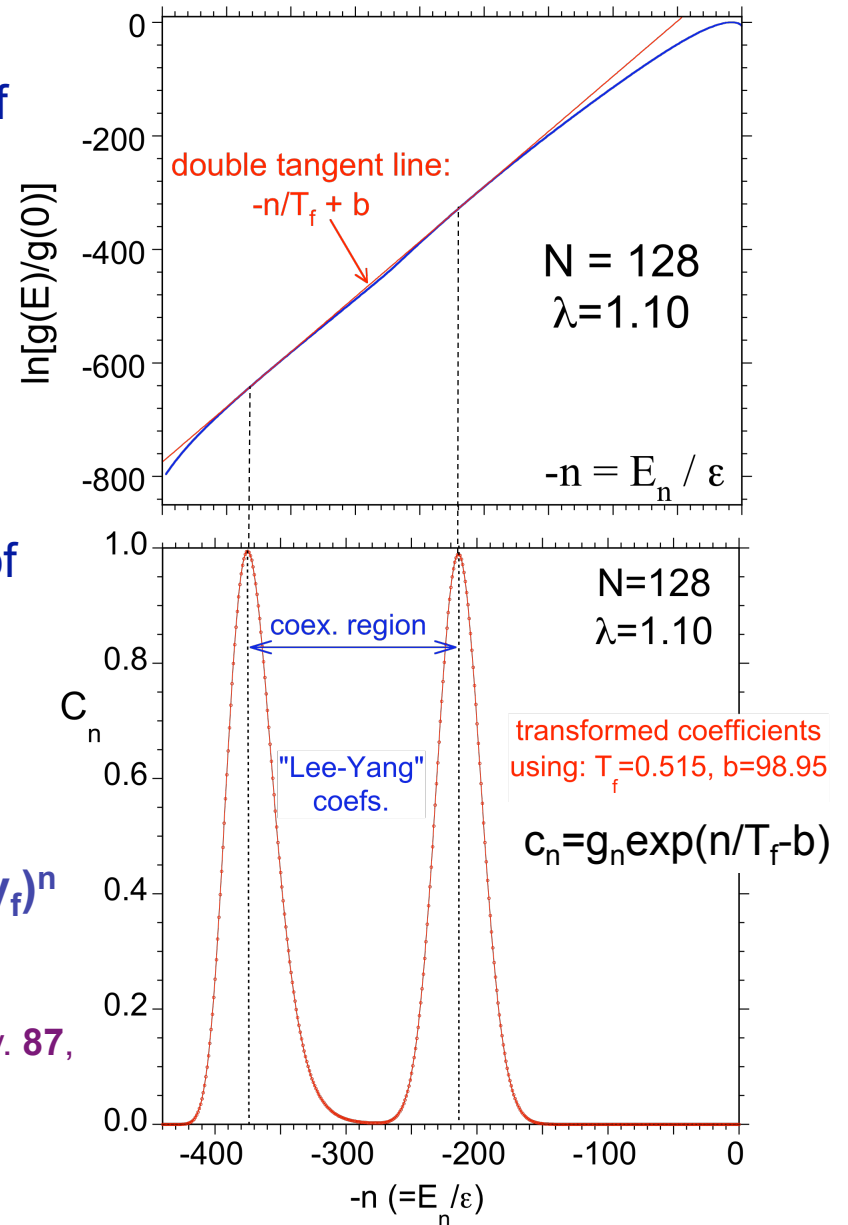
where  $c_i < 1$  and  $c_i = c_{n-i}$

Yang and Lee have shown that any polynomial of this form has roots confined to the unit circle\*



$$Z(T) = e^b \sum_n c_n (y/y_f)^n$$

\*Lee & Yang, Phys. Rev. **87**, 410 (1952).



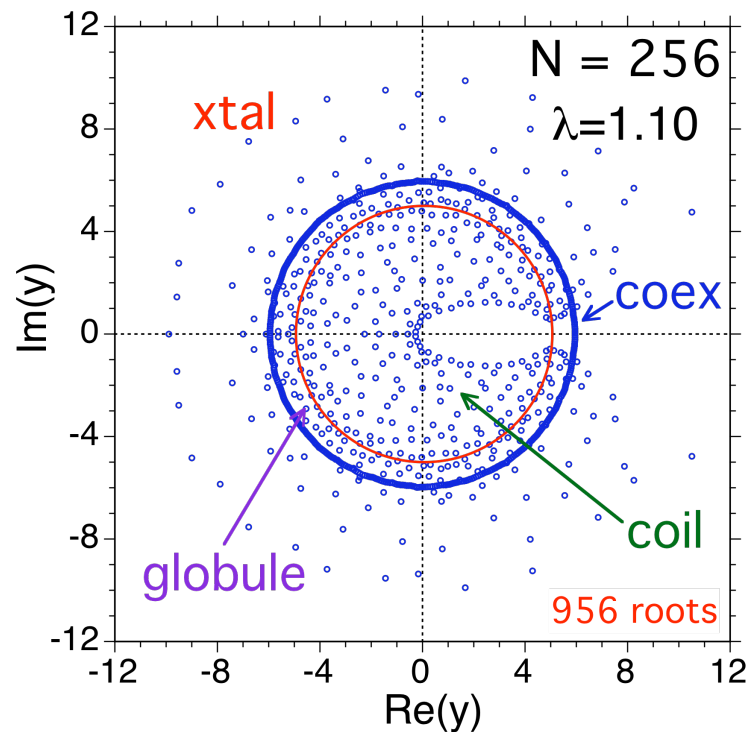
# Properties of the Partition Function Zeros

$$Z(T) = \sum g(E) e^{-E/kT} = \sum g_n y^n \quad \text{where } y = \exp(1/T^*)$$

Transitions divide the complex y-plane into circular regions

$Z(T)$  can be divided into "sub-polynomials"  
that span the energy range for each phase:

$$Z(y) = Z_{\text{coil}} + Z_{\text{globule}} + Z_{\text{coex}} + Z_{\text{crystal}}$$



# Summary and Outlook

## Flexible SW Chain Model

**Findings:** Partition function zeros provide clear signatures for chain freezing and collapse transitions. Chain collapse located more robustly than from  $C(T)$  or  $c(E)$ .

**To do:** Study more fully relation between curvature properties of  $S(E)$  and distribution of zeros in the complex plane. Carry out finite size scaling analysis with these roots.

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**NSF (DMR-0804370)**

**Hiram College**

**Special thanks to the Binder and Paul groups for their hospitality!**

**Happy "American" Thanksgiving**