

# Kagome lattice structures with charge degrees of freedom

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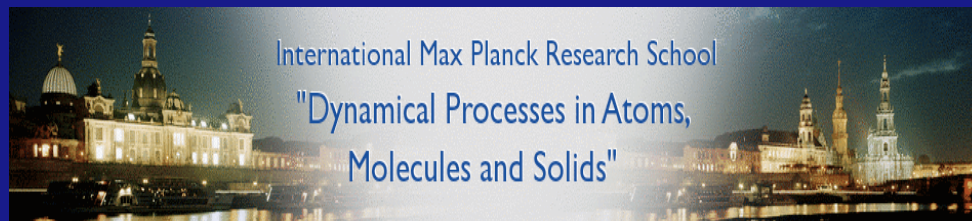
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Physics, Pohang*

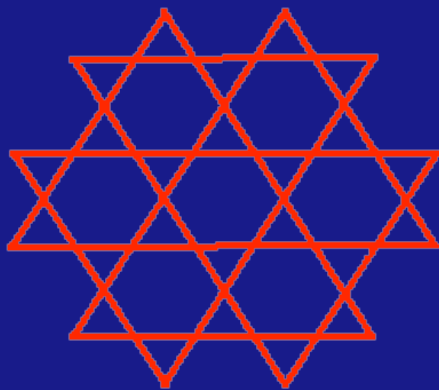
Michael Schreiber, *TU Chemnitz*

NTZ CompPhys08, Nov28 2008



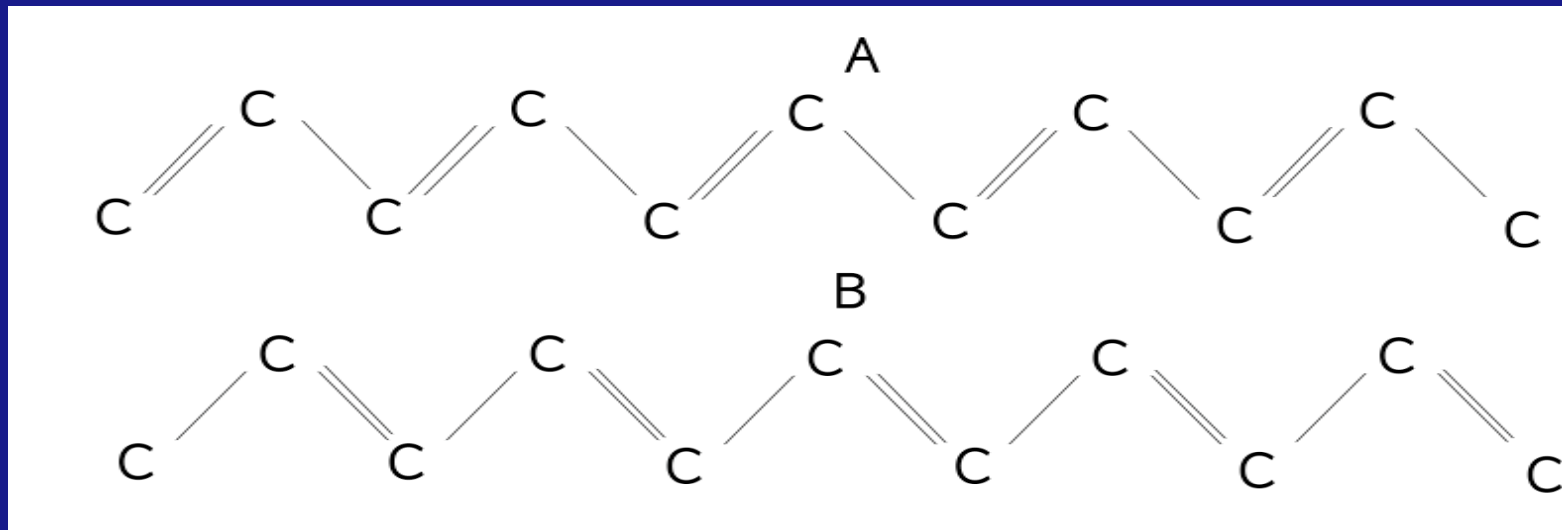
# Outline

- Introduction-Frustration and Fractionalization
- A theoretical model of frustration
- Analysing the model
- Current approaches and Outlook



# Fractionalization

- First theoretical model supporting fractional excitations-spin-charge separation in polyacetylene molecules [1,2]
- Ground state - idealized chain molecule:



- A bond ( $= -2e$ ) is removed from either ground state - we obtain two defects both with charge  $+e$  and spin 0 (spin charge-separation):

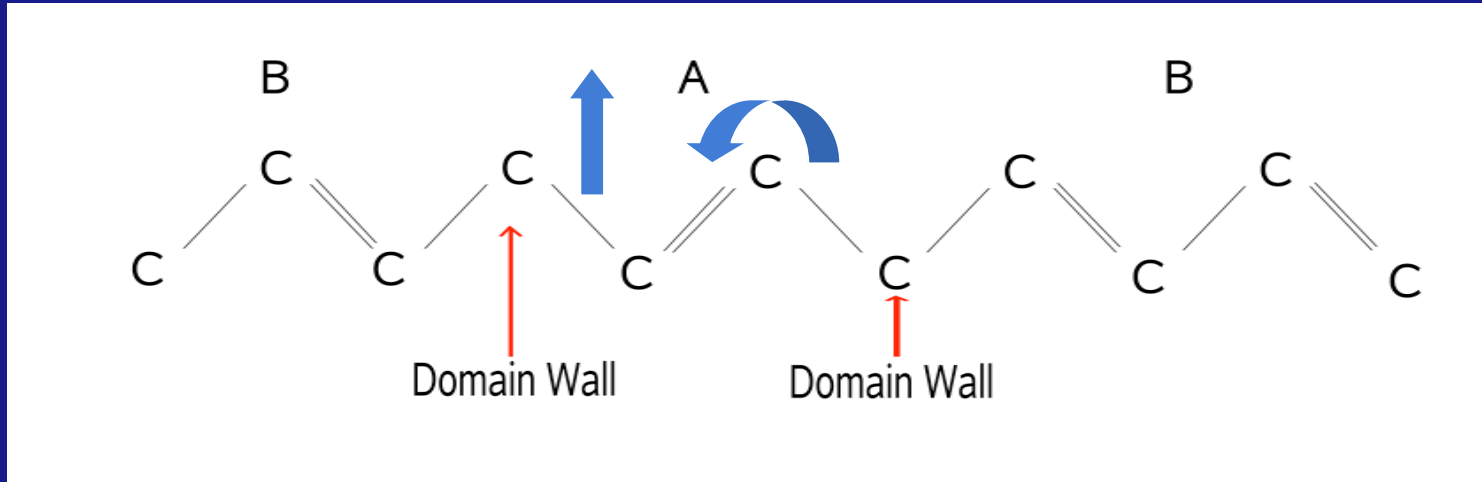
**One excitation-decays into two collective excitations**

[1]W.P.Su,J.R.Schrieffer, and A.J.Heeger,Phys.Rev.Lett.,v42,p1968,1979.

[2]W.P.Su and J.R. Schrieffer, Phys. Rev. Lett.,v46,p738,1981.

# Fractionalization

- Similarly - removed bond would with charge  $-e$  would give rise to fractional charges with charge  $e/2$ !



- Similarly - add/remove one charged particles on a frustrated lattice - gives two fractionally charged excitations

## One excitation-decays into two collective excitations

- Fractionalization-observed experimentally in **Fractional Quantum Hall Effect** [3]

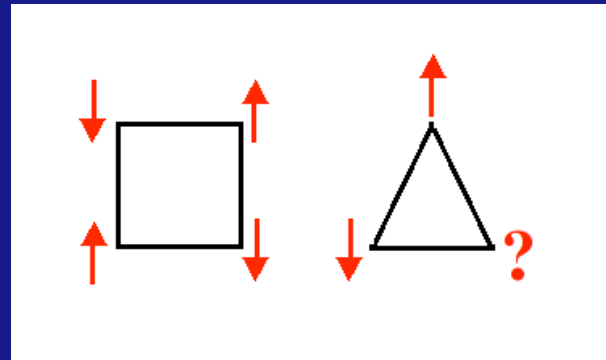
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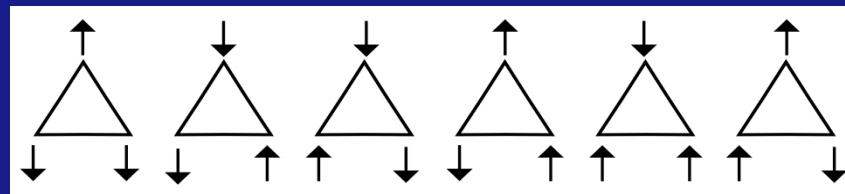
[3]D.C.Tsui,H.L.Stormer, and A.C.Gossard, Phys.Rev.Lett. 53, 722-723(1984)

# Geometric Frustration

- Fractional charges -arise also in theoretical models of **geometrically frustrated systems** [1]
- Occur in lattice structures where it is impossible to minimize the energy of all local interactions:



- Characterised by a **macroscopic ground-state degeneracy**-> high density of low-lying excitations:




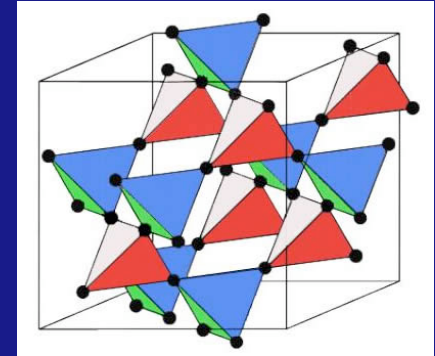
# Geometric Frustration in nature

- Spinel minerals form **pyrochlore** structures:

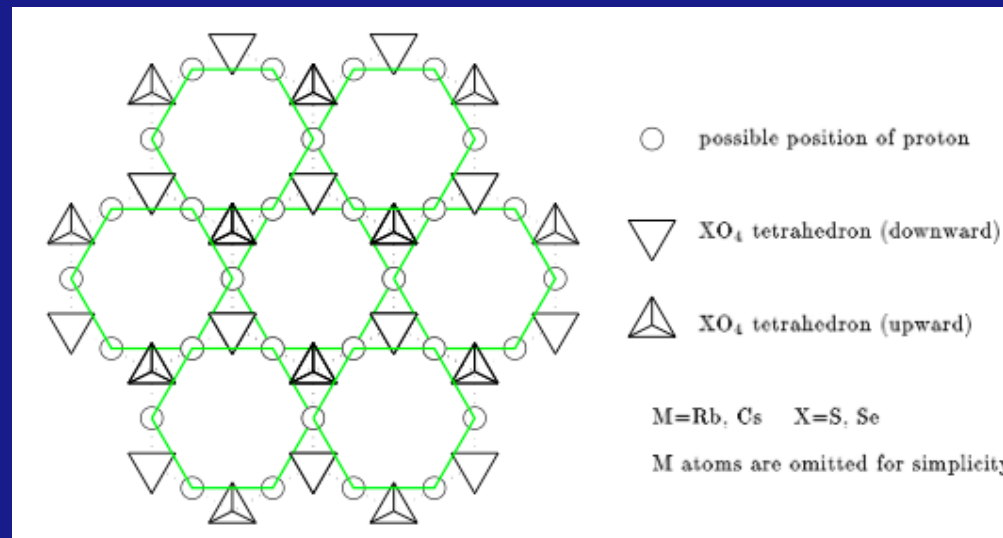


“Samarian Spinel”  
(Iranian Crown Jewels)

Spinel structure  $AB_2X_4$   B sites form a pyrochlore lattice



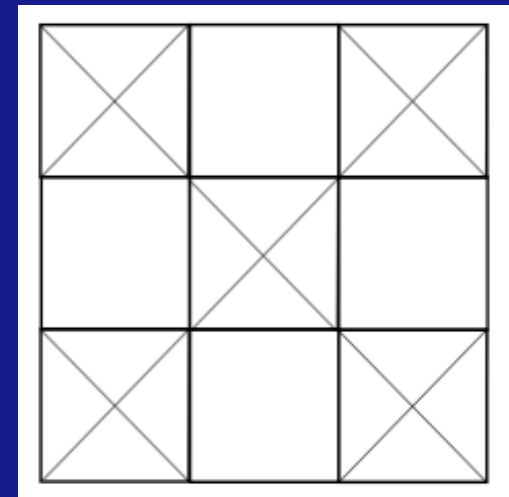
- $M_3 H(XO_4)$  forms a **kagome lattice** structure:



# Fractionalised charges due to geometrical frustration

*What we know already...*

- There are models of 2D lattice structures supporting fractional excitations [5].
- These approaches so far yield fractional excitations that are confined [6].
- 3D lattices have been shown to support deconfined phases [7,8]



[5]P.Fulde, K.Penc, N. Shannon, Ann. Phys.,v11,892 (2002).

[6]F.Pollmann and P.Fulde, Europhys Lett.,v75,133 (2006)

[7]Bergmann, G. Fiete, and L.Balents, Phys. Rev. Lett. v96 (2006)

[8]Olga Sikora et. Al, to be published

# Fractionalised charges due to geometrical frustration

*What we would like to know...!*

- Kagome lattice models-can we investigate the dynamics of systems exhibiting charge fractionalization? Can we determine the **confinement/deconfinement** of the excitations?
- Do these fractionalized excitations exhibit **fractionalised statistics**? What are they?
- Can we use such models to **explain experimental observations** in real materials with such structures?

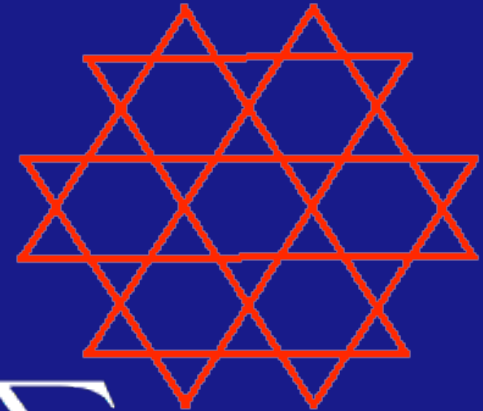
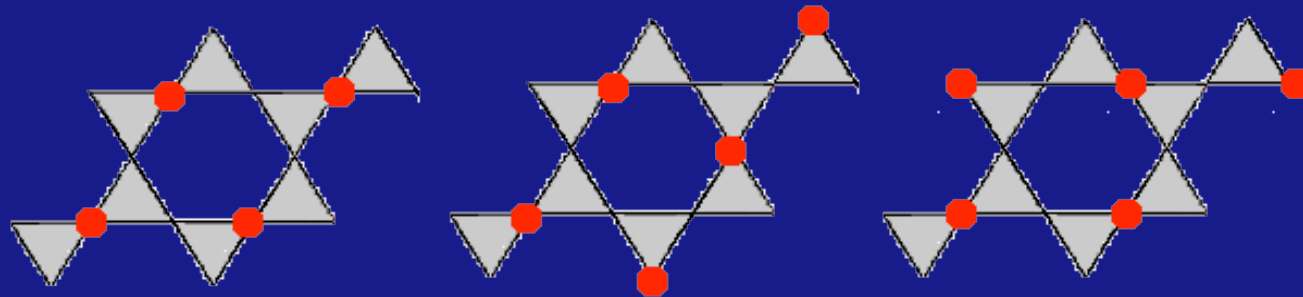


# A model of fractionalization

- Consider a model of **spinless fermions** on the kagome lattice
- Extended Hubbard model with **charge degrees of freedom**

$$H = -t \sum_{\langle i,j \rangle} (c_i^\dagger c_j + H.c.) + V \sum_{\langle i,j \rangle} n_i n_j$$

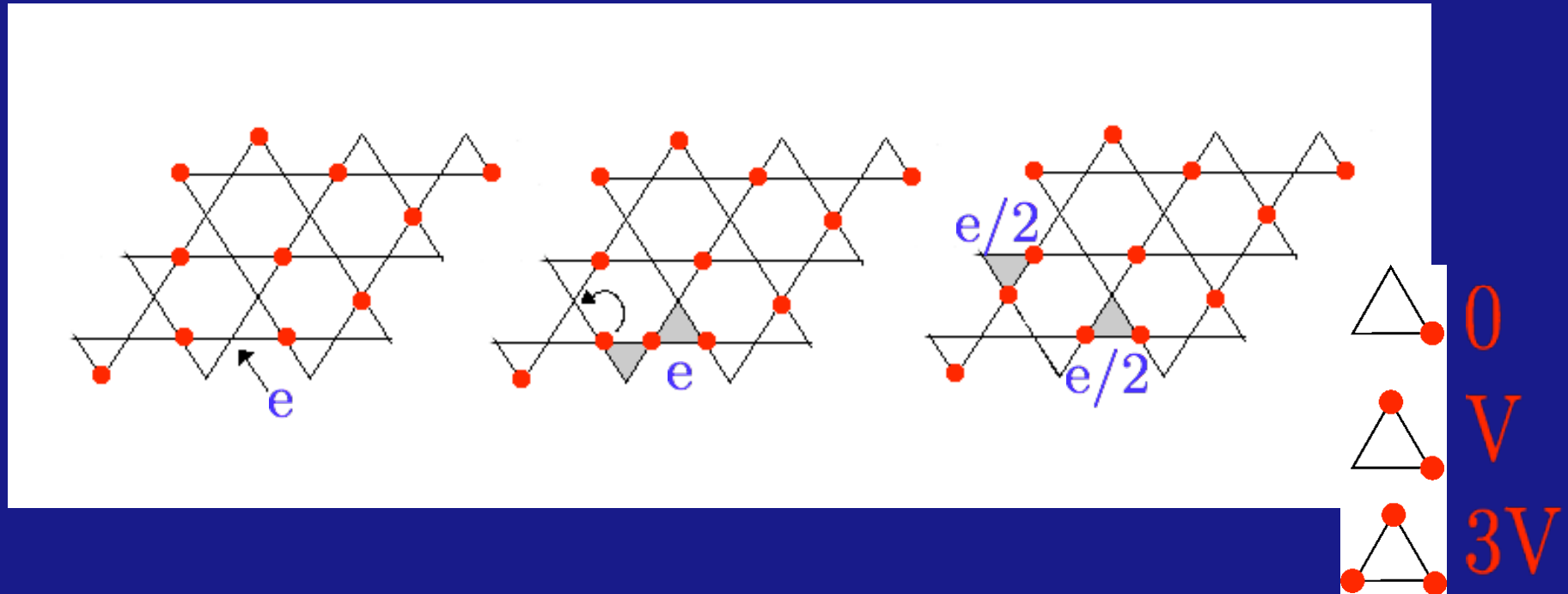
- Consider **1/3 filling**
- At  $t=0$ ,  $V>0$ , **macroscopic number of ground states**



# A model of fractionalization

- **Strong correlation limit** (large nearest-neighbour repulsions  $V$ ) -> **local constraint** of 1 particle per triangle on the lattice -> **“triangle rule”**
- Finite hopping of fractional charges in strongly correlated limit where  $0 < |t| \ll V$
- Add one particle -> increase system energy by  $2V$

# A model of fractionalization



- One particle with charge  $e$  is added to the system - it can decay into two defects each carrying the charge  $e/2$  -> **2 fractional charges are created**

**One excitation-decays into two collective excitations**

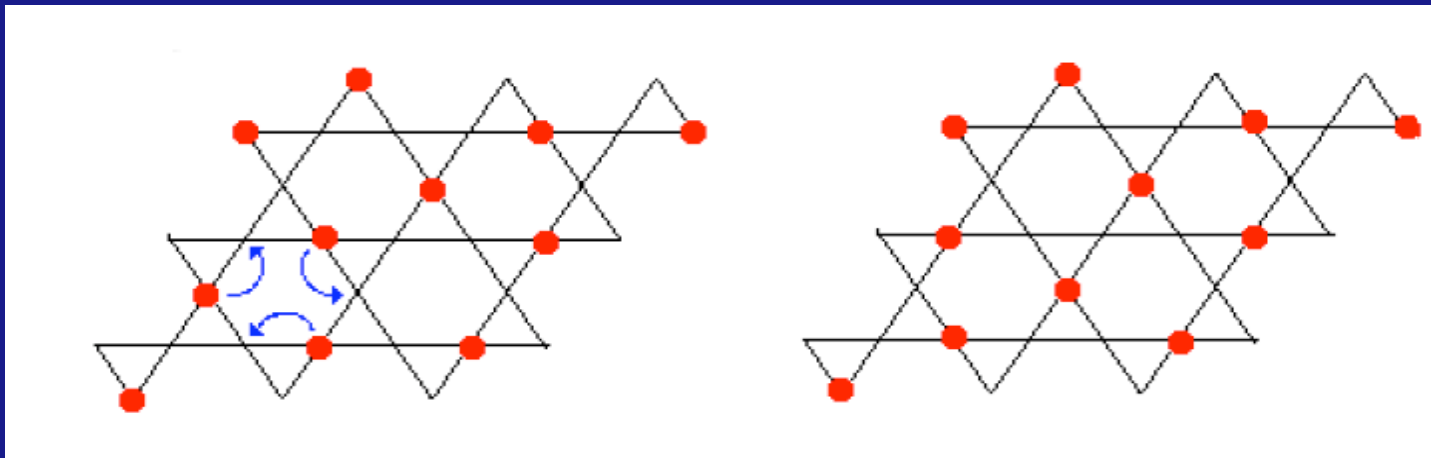
# A model of fractionalization

- Large Hilbert space sizes  $\rightarrow$  limit numerical investigation



Derive an **effective model Hamiltonian** encapsulating behaviour in the strong correlation limit

- Lowest order hopping process lifting degeneracy - **particle hopping around hexagons:**



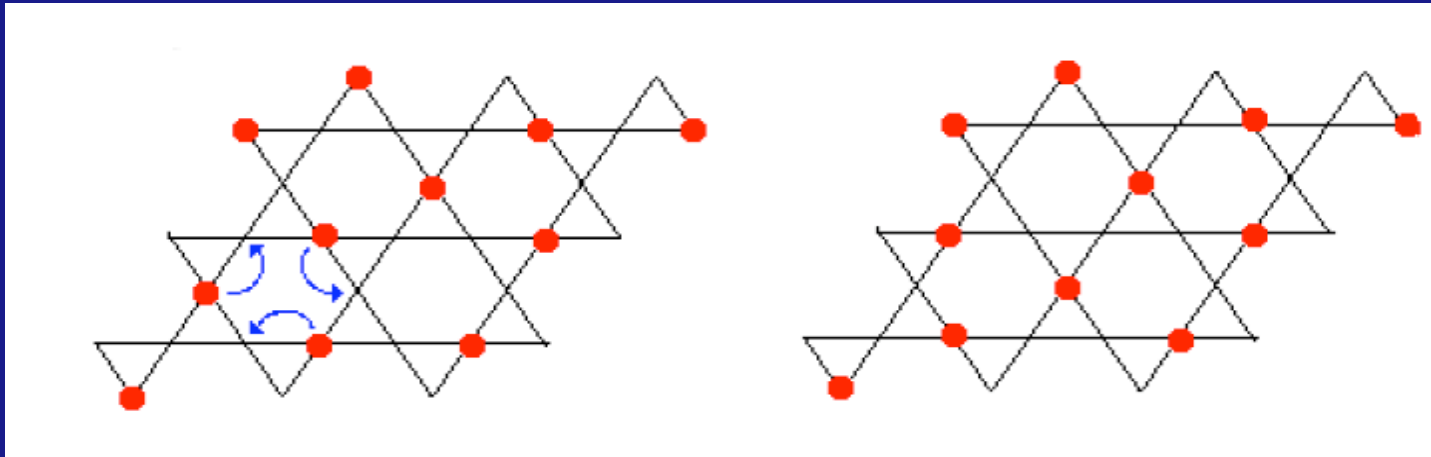
# A model of fractionalization

$$H = -t \sum_{\langle i,j \rangle} (c_i^\dagger c_j + H.c.) + V \sum_{\langle i,j \rangle} n_i n_j$$



$$H_{eff} = -g \sum_{\langle \text{hex} \rangle} (|\text{hex}\rangle \langle \text{hex}| + H.c.)$$

Where  $g = 12t^3/V^2$



# Effective model...

- Exact in the limit of infinitely large  $V$
- Reduces drastically Hilbert space size

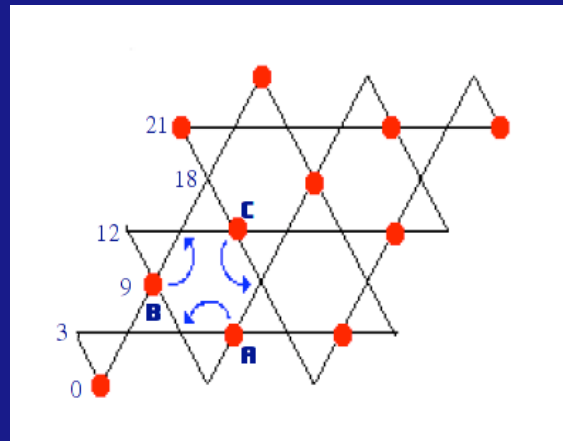
Example: No. of configurations for a 147-site cluster at 1/3 filling:

$$\binom{147}{49} \sim 10^{39}$$

No. of configurations for a 147-site cluster at 1/3 filling subject to the triangle rule:

$$\sim 10^{11}$$

- Has no fermionic sign problem!



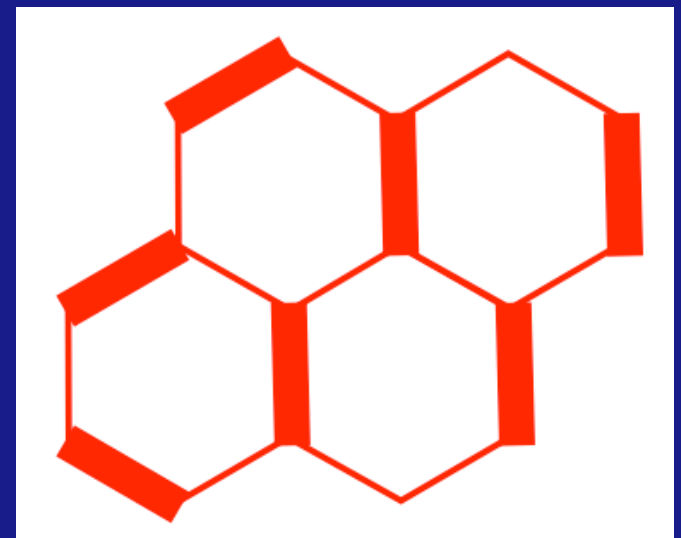
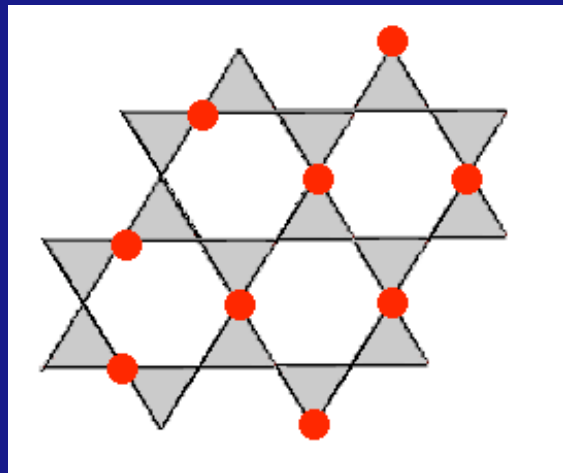
$$\langle final | \text{hexagon} \rangle \langle \text{hexagon} | initial \rangle \rightarrow -1$$

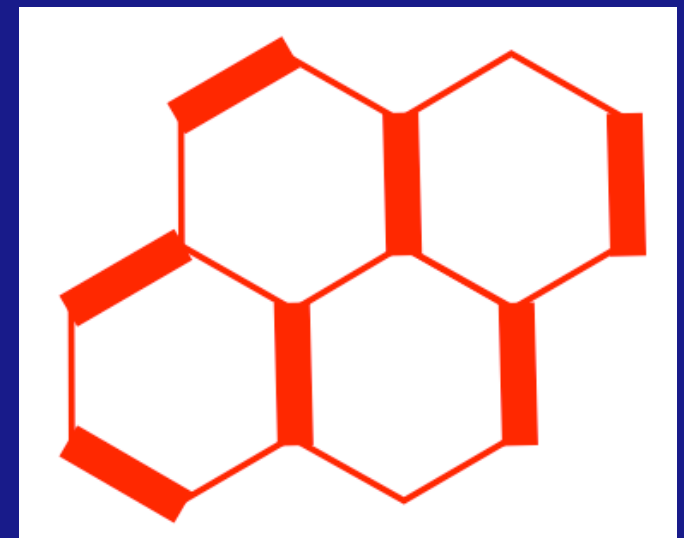
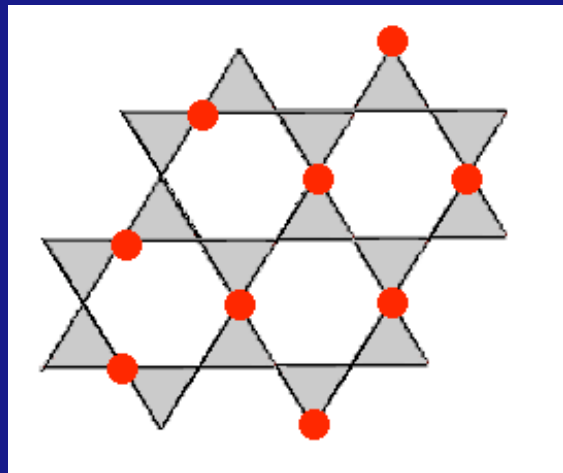
# Effective model...

➔ Is equivalent to a hard-core bosonic model!

➔ Can be mapped to a Quantum Dimer Model!

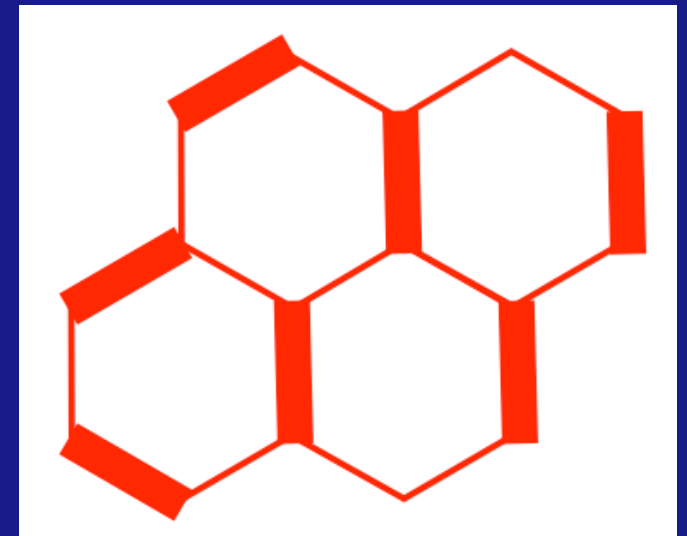
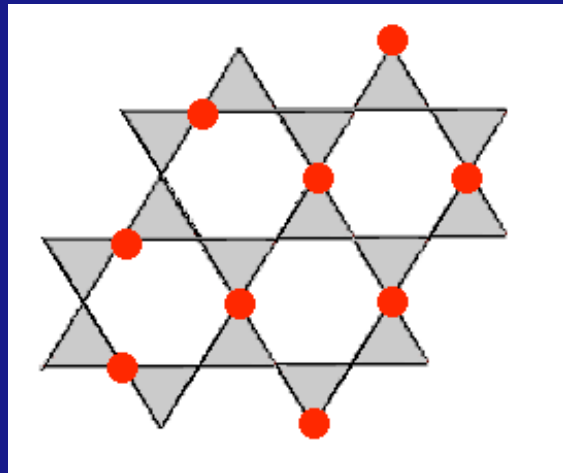
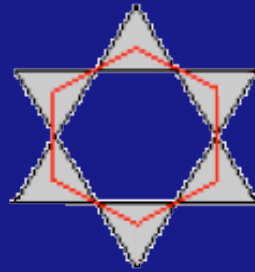
**-> kagome lattice model at 1/3 filling maps to honeycomb dimer covering**



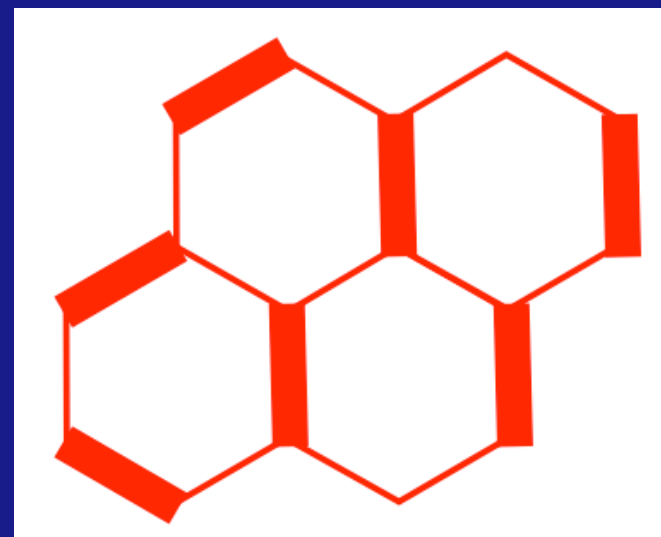
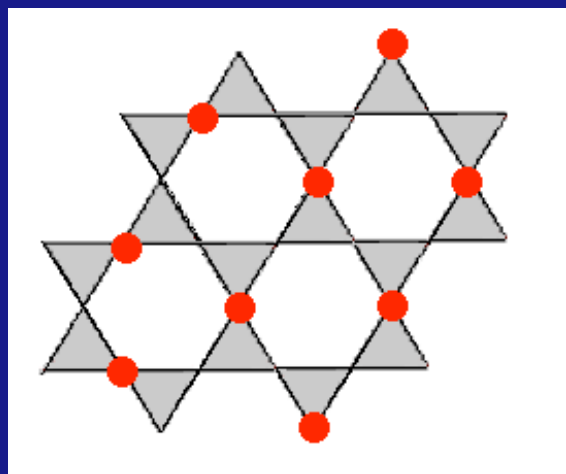
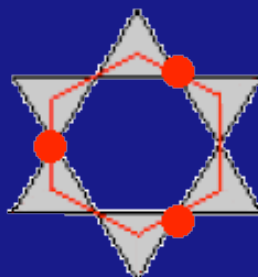
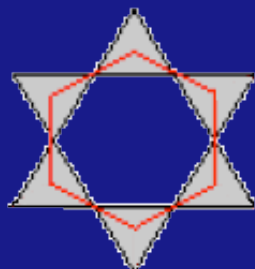
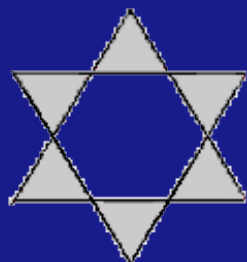


Mapping to Quantum Dimer Model

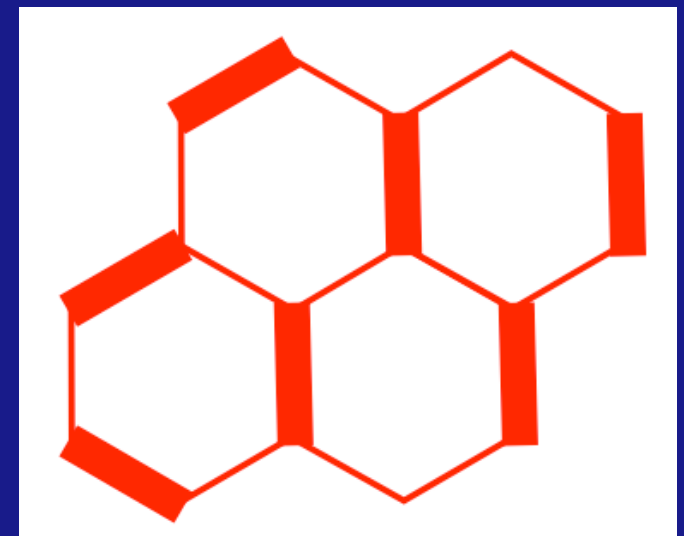
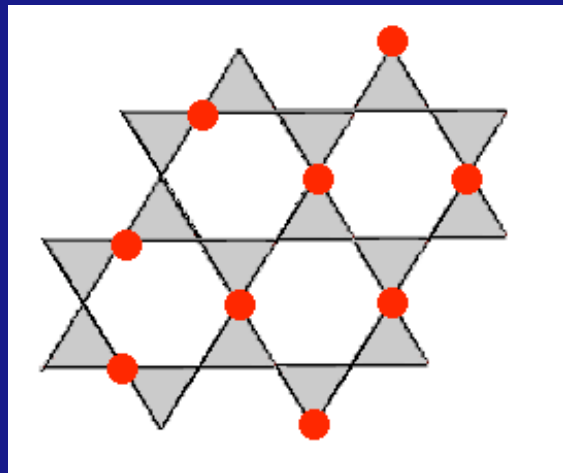
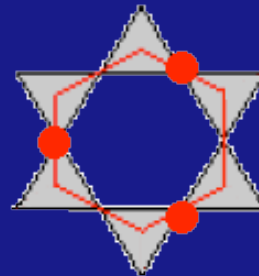
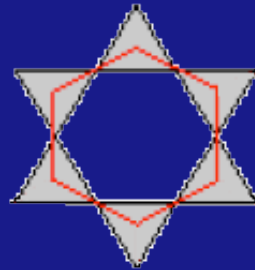




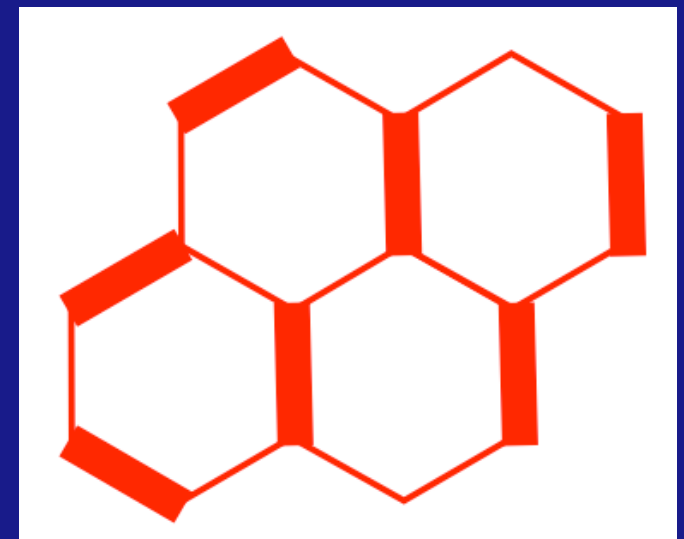
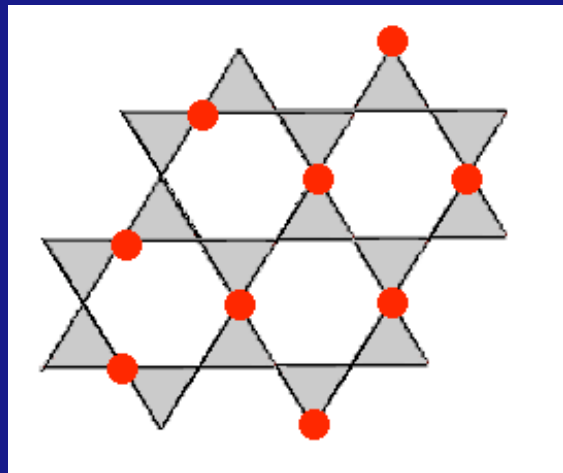
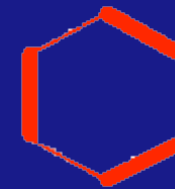
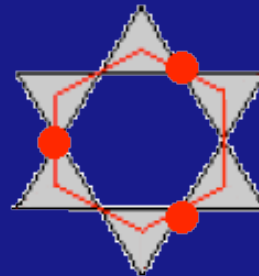
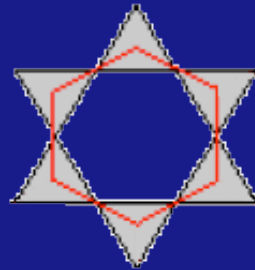
Mapping to Quantum Dimer Model



Mapping to Quantum Dimer Model



Mapping to Quantum Dimer Model



Mapping to Quantum Dimer Model

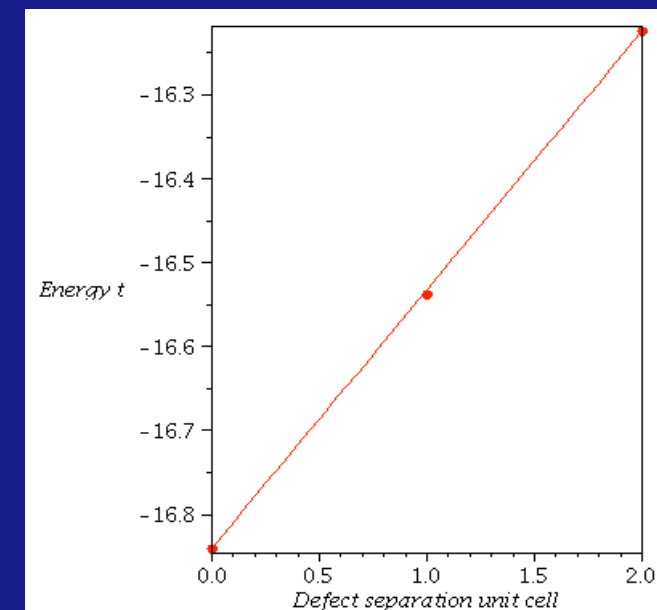
# Quantum Dimer Mapping



- Mapping-effective Hamiltonian to 'plaquette phase' ( $\mu=0$ ) of known system [8]:

$$H_{QDM} = \sum_{\langle \hexagon \rangle} -g(|\hexagon\rangle\langle\hexagon| + H.c) + \mu(|\hexagon\rangle\langle\hexagon| + |\hexagon\rangle\langle\hexagon|)$$

*Ground-state energies for a 147-site kagome lattice model*



- Fractional charges confined
- Numerically confirmed - exact diagonalisation gives ground-states energies
- Distance between defects  $1/\#$  flippable hexagons

# Investigating dynamical properties...

- With a 'doped system'-consider dynamical properties - add extra term to Hamiltonian

Original effective Hamiltonian

$$H_{doped} = H_{eff} - t \sum_{i,j} P(c_i^\dagger c_j + H.c.)P$$

Projected hopping operator

Describes a system at 1/3 filling +/- one particle

# Numerical Methods

- Model Hamiltonian basis transformation  
-> Lanczos recursion method [9]
- Analyse finite clusters from 25-75 sites
- Direct insight into system dynamics-  
from spectral function calculations

Spectral function -  $A(\mathbf{k}, \omega)$  gives probability for adding (+) or removing (-) a particle with momentum  $\mathbf{k}$  and energy  $\omega$  to the system...

$$A(\mathbf{k}, \omega) = A^{-}(\mathbf{k}, \omega) + A^{+}(\mathbf{k}, \omega)$$

Density of states- sum over all  $\mathbf{k}$  - space contributions:

$$D(\omega) = \frac{1}{N_k} \sum_{\mathbf{k}} A(\mathbf{k}, \omega)$$

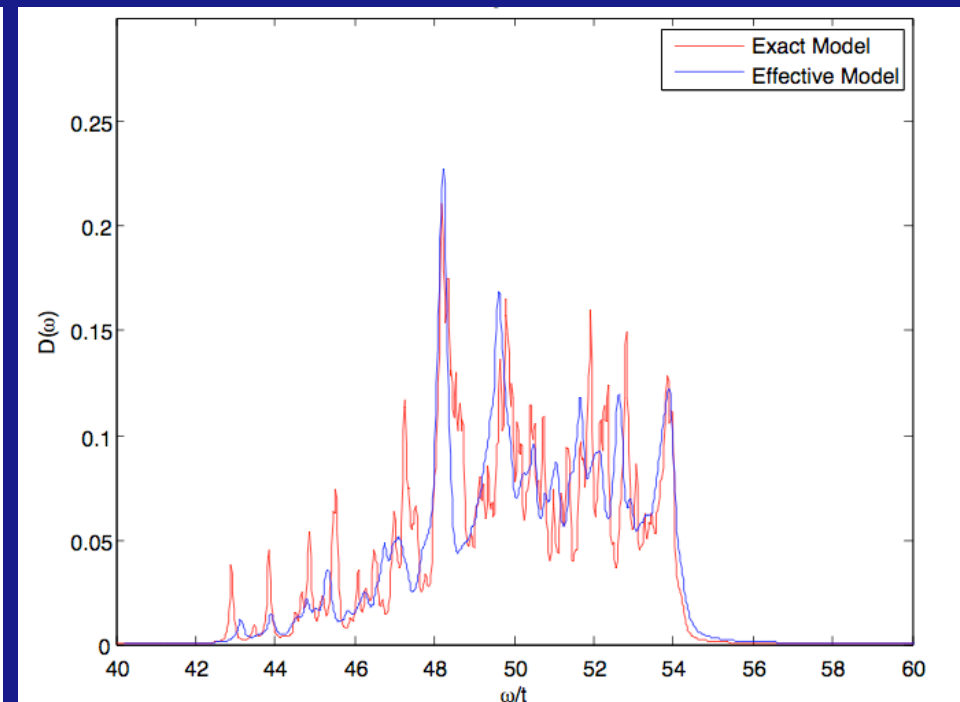
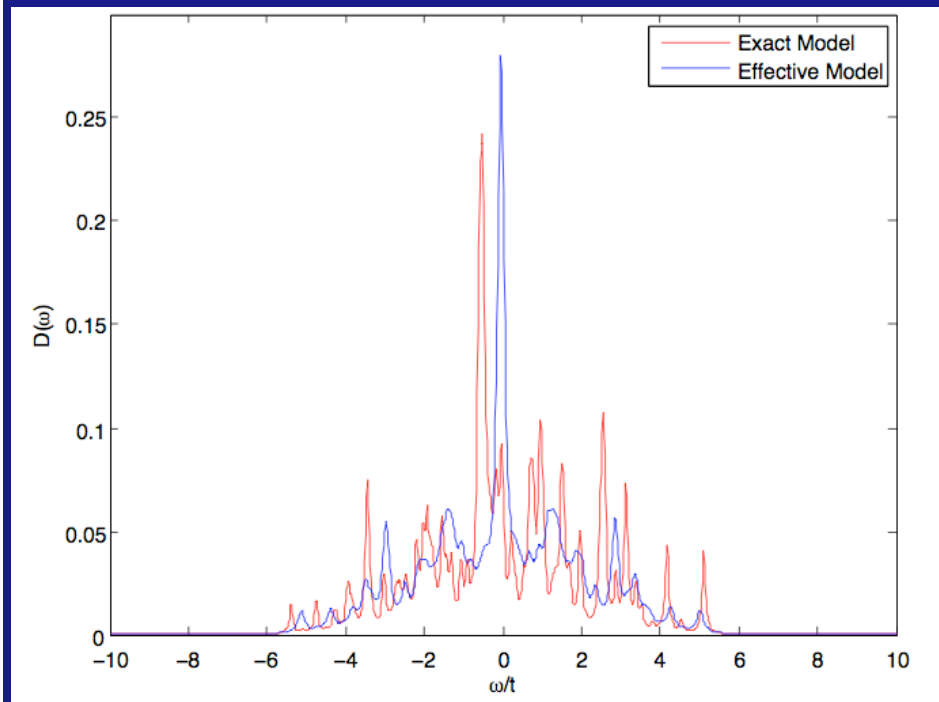
# How good is the model?

- Exact and effective models on a 27-site cluster are compared...

## Density of States - a comparison

Hole contribution

Particle contribution



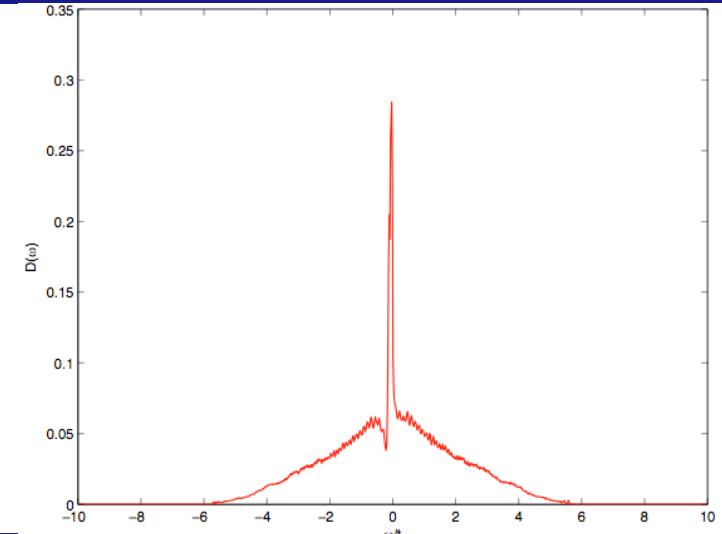
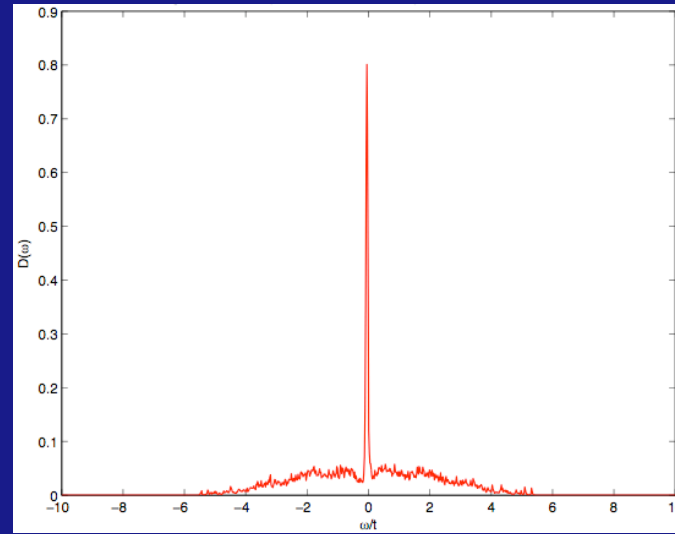


# Results

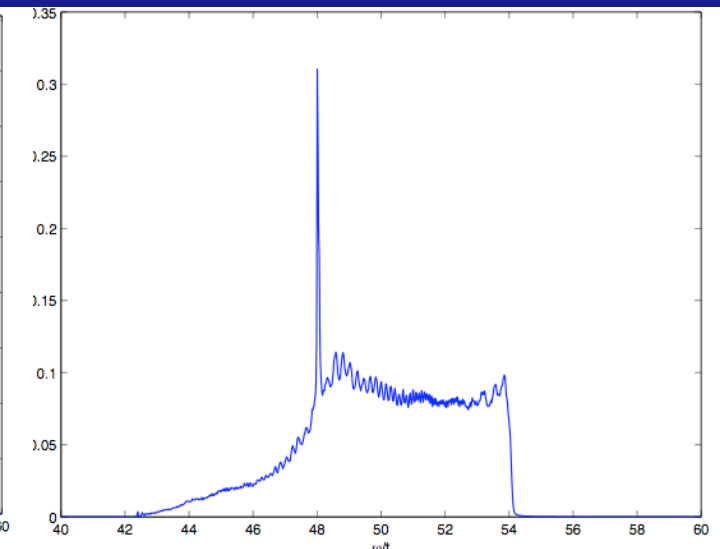
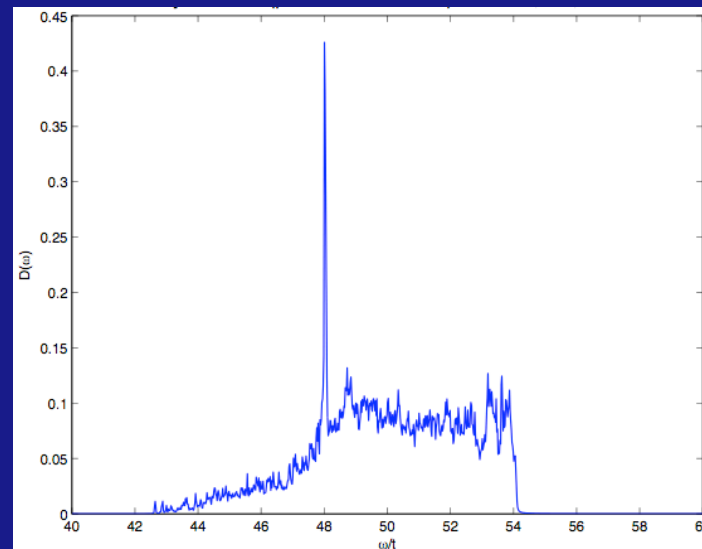
48-site cluster

75-site cluster

Hole contribution



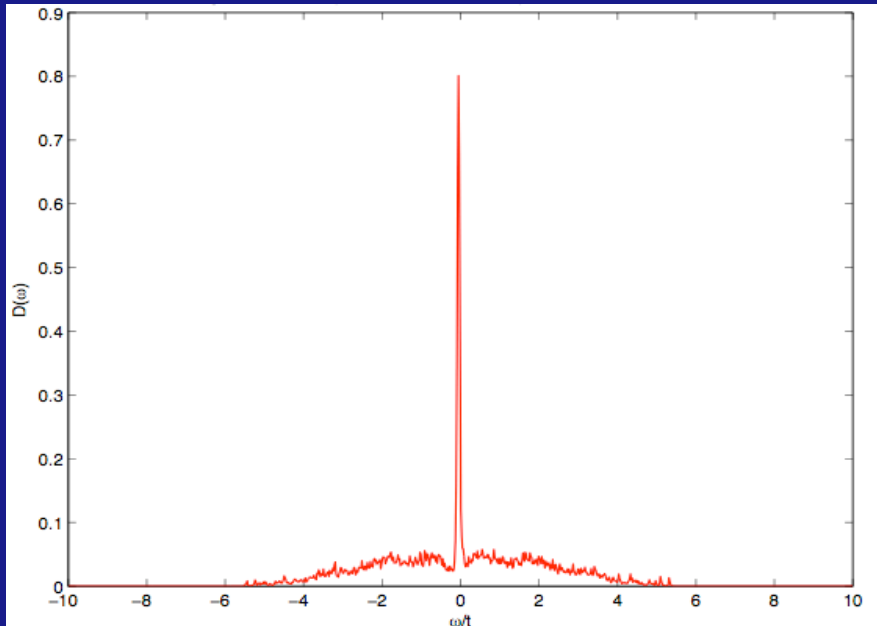
Particle contribution



Density of states figures show that finite-size effects decrease markedly with system size:

# Results

- Hole contribution is symmetric; the eigenspectrum for the  $1/3$  filled system in the presence of one hole defect is symmetric:



Hole contribution to the density of states

- Underlying **bipartiteness** for the particle hopping in the presence of one hole defect!
- A **gauge transformation** that changes the sign of each hopping process must exist...!

# Results

Eigenspectrum symmetry



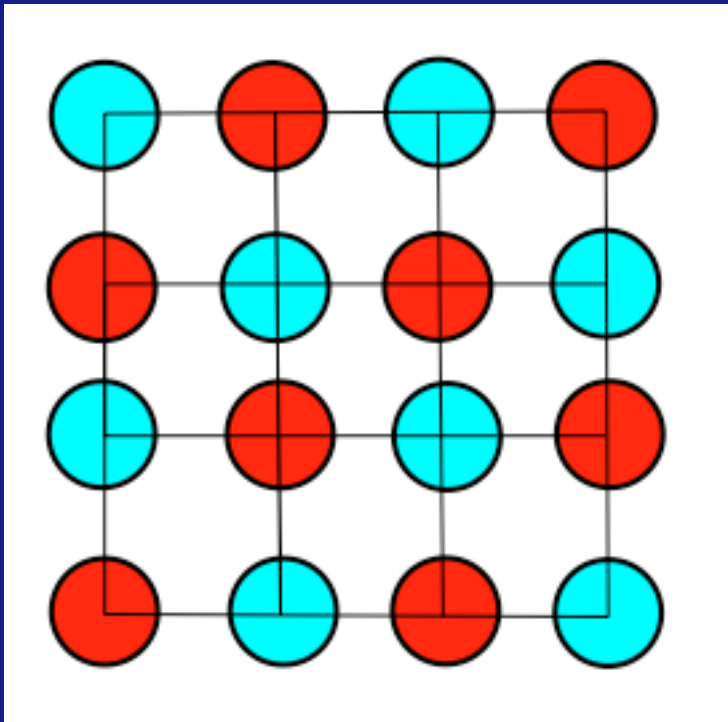
Bipartite hopping on  
kagome lattice

Bipartiteness



expressible in terms of a  
gauge transformation

## Example - 2D Square Lattice



A sites

B sites

$$|\tilde{b}_i\rangle = (-1)^{\sum_A n_i} |b_i\rangle$$

$$\implies \tilde{H}_{hop} = -H_{hop}$$

$$\implies Eig[H_{hop}] = Eig[-H_{hop}]$$

# Results

- Large peak in particle contribution - at zero momentum- full spectral weight of flat band contained in a single delta peak:

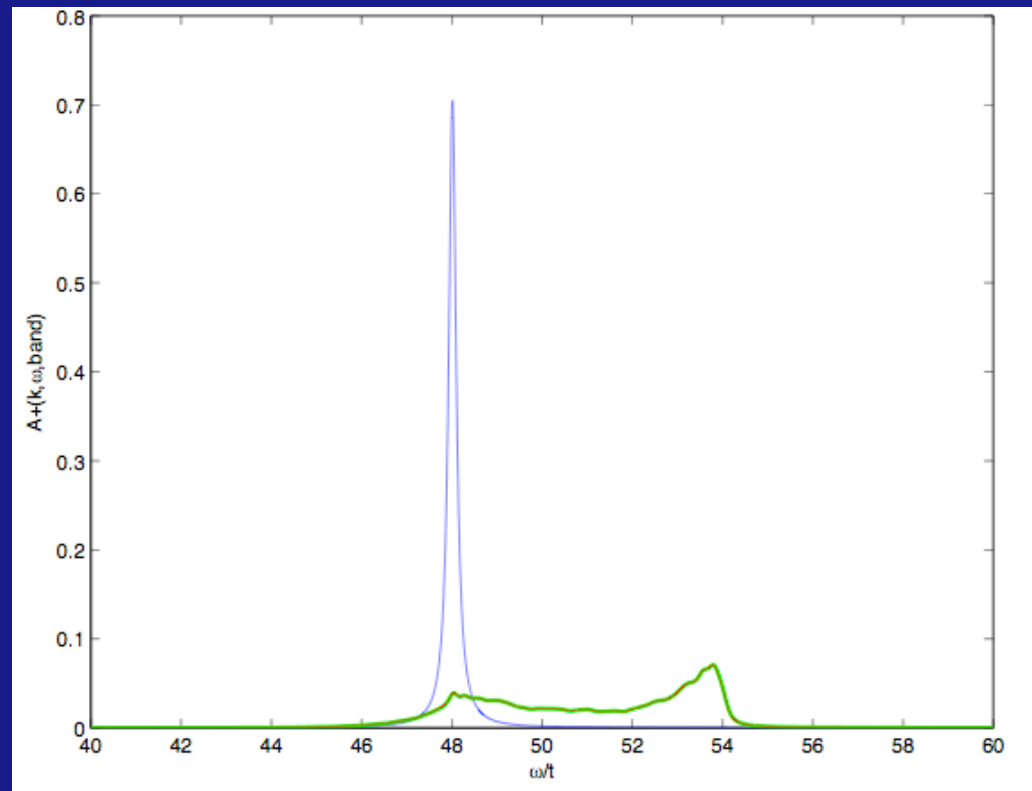
- GS wavefunction exact eigenfunction of the  $\tilde{H}|\tilde{\psi}^{N+1}\rangle = \tilde{E}|\tilde{\psi}^{N+1}\rangle$  effective Hamiltonian, in the limit of  $t/V \rightarrow 0$ .
- This can be shown analytically...

$$|\tilde{\psi}^{N+1}\rangle = c_{\mathbf{k}=0, \text{band } 1}^\dagger |\psi_0\rangle$$



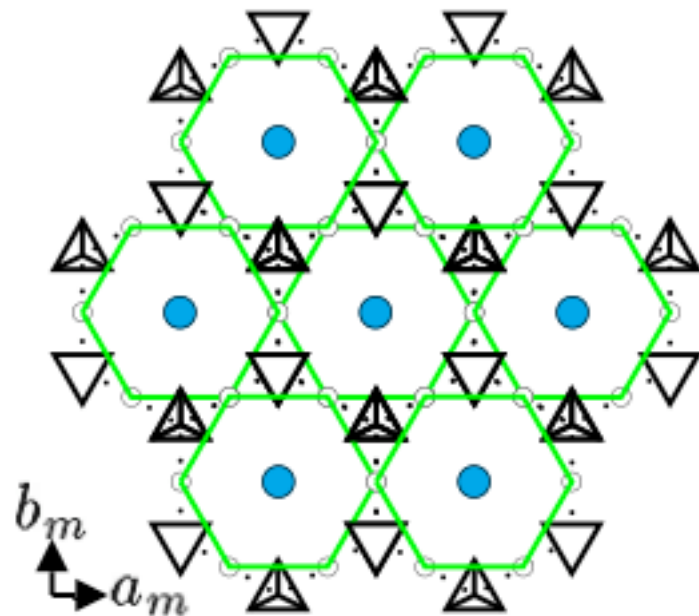
$$H|\tilde{\psi}^{N+1}\rangle = (\epsilon(\mathbf{k} = 0, \text{band } 1) + 2V + E_0)|\tilde{\psi}^{N+1}\rangle$$

Particle contribution to the spectral function for the three energy bands at  $\mathbf{k}=(0,0)$ , 75- site cluster



# Do such models model real systems?

- Materials which may provide the answer...  $\text{MH}_3(\text{XO}_4)_2$
- Here protons act as particles at  $1/3$  filling



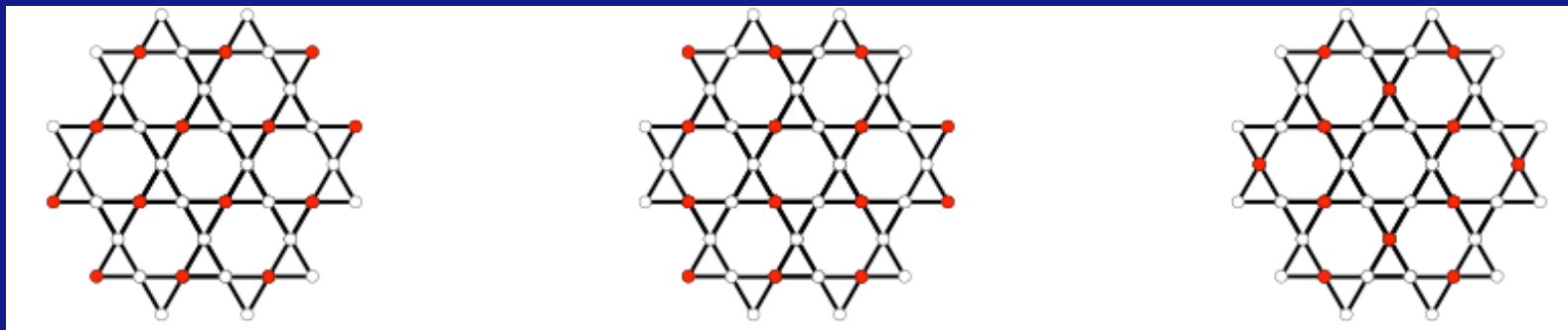
- possible position of protons
- M
- ▽  $\text{XO}_4$  tetrahedron (downward)
- ▲  $\text{XO}_4$  tetrahedron (upward)

M=Rb, Cs    X=S, Se

| Kagomé lattice

# Do such models model real systems?

- Model gives three possible charge-ordered states - material shows just two of these at different temperatures!
- Goal-to obtain a **phase diagram** of the model to compare with that of corresponding real materials
- Apply Random Phase Approximation to calculate charge susceptibilities; calculate spectral functions in the limit of small  $V$



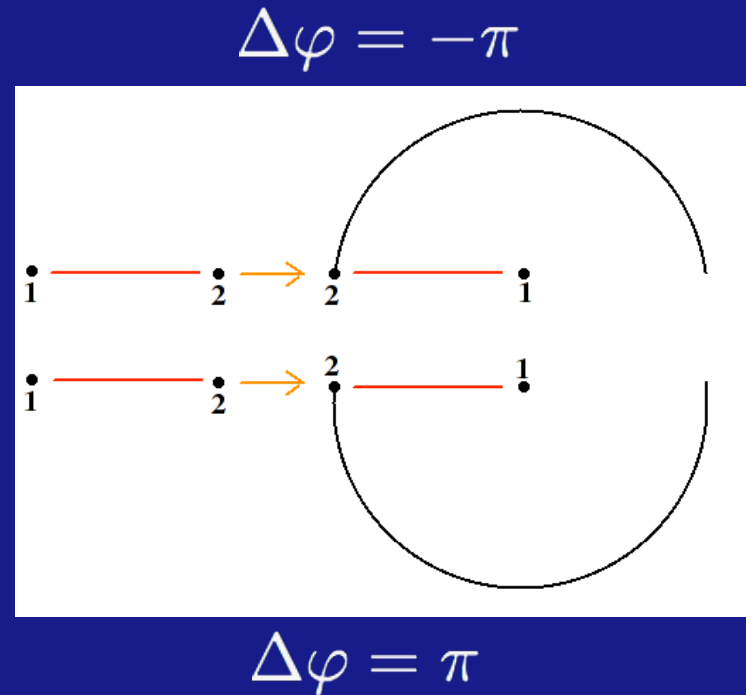
# Conclusion and Outlook

- With exact diagonalisation on finite size clusters we are able to analyse the dynamics of kagome lattice models at specific fillings
  - Understand most prominent features of spectrum - what is the physical interpretation?
  - Compare -bosonic and fermionic dynamics
  - Effective model is bipartite in nature-how can we understand this through a gauge transformation?
  - QDM mapping -> we have a confined ground state- evidence of this in the spectral function results?
- RPA treatment of Hubbard model/spectral function calculations - hope to compare the results of our theoretical model with real materials

**Thank you!**

# Fractionalization

- Fractional excitations exhibit **fractional statistics** [a]:



$$\psi(1, 2) \rightarrow \psi'(1, 2) = e^{i\nu\Delta\varphi} \psi(1, 2)$$

3D -> **fermionic/bosonic** statistics

2D -> possibility of **anyonic** statistics!